

**HARNETT COUNTY SHERIFF'S OFFICE &  
DETENTION CENTER  
GENERATOR PROJECT  
DEWBERRY PROJECT NO. 50190536**

**PROJECT MANUAL**

**PREPARED FOR:**

**Harnett County Sheriff  
175 Bain Street  
Lillington, NC 27564**

**May 14, 2026**

**PREPARED BY:**

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**Harnett County  
Sheriff's Office & Detention Center Generator**

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# HARNETT COUNTY SHERIFF'S OFFICE & DETENTION CENTER GENERATOR PROJECT DEWBERRY PROJECT NO. 50190536

## Seals

### Landscape Architect

Dewberry  
2610 Wycliff Rd, Suite 410  
Raleigh, NC 27607



05/15/2026

### Electrical Engineer

Dewberry  
2610 Wycliff Rd, Suite 410  
Raleigh, NC 27607



05/15/2026

**WEB ADVERTISEMENT:**

**ADVERTISEMENT FOR BIDS  
Harnett County Sheriff's Office & Detention Center Generator**

Sealed proposals for completion of the Harnett County Sheriff's Office & Detention Center Generator will be received on **Tuesday, June 23, 2026** in the office of Renea Warren-Ford, 455 McKinney Drive, Lillington, NC 27546. This project will be bid and awarded in accordance with North Carolina law. Sealed proposals from Contractors will be received until 2:00 p.m. All bids will be opened and read aloud starting at 2:00 p.m. All times are local prevailing times.

A Pre-Bid Conference will be held **at 10:00am, on Thursday, May 28th, 2026**, at the Harnett County Sheriff's Office 175 Bain St., Lillington NC 27546. Meet outside at the front entrance. Questions concerning the project shall be submitted in writing to David Sherk, Dewberry Engineers Inc, 2610 Wycliff Road, Suite 410 Raleigh, NC 27607. Email: [dsherk@dewberry.com](mailto:dsherk@dewberry.com)

Complete plans and specifications may be obtained from Dewberry Engineers Inc, 2610 Wycliff Road, Suite 410 Raleigh, NC 27607, during normal office hours beginning 8:00 am **Friday, May 15th, 2026**. Requests for electronic plans shall be sent to David Sherk at [dsherk@dewberry.com](mailto:dsherk@dewberry.com). If hard copies are desired they may be obtained with a Refundable plan deposit of \$50.00 - made payable to Harnett County. Questions concerning the project shall be submitted in writing to David Sherk, Dewberry Engineers Inc, 2610 Wycliff Road, Suite 410 Raleigh, NC 27607. Email: [dsherk@dewberry.com](mailto:dsherk@dewberry.com).

Harnett County reserves the right to reject any and all bids, waive informalities and irregularities in bidding and to accept bids which are considered to be in the best interest of the County. Harnett County also reserves the right to require any bidder to submit information needed to determine if said bidder is responsible within the meaning of N.C. Gen. Stat. 143-129.

Date: **May 14, 2026**  
For Publication

**NEWSPAPER ADVERTISEMENT:**

**ADVERTISEMENT FOR BIDS**

Sealed proposals from contractors will be received on **Tuesday June 23, @ 2pm** for the Harnett County Sheriff's Office & Detention Center Generator project. Additional information required to respond to this request for bids is available on our website at: [www.harnettcountysheriff.com](http://www.harnettcountysheriff.com). Minority and women-owned businesses are encouraged to participate. Harnett County reserves the right to reject any and all bids.

INVITATION FOR BIDS  
HARNETT COUNTY  
Sheriff's Office & Detention Center Generator

Separate sealed bids to furnish and install a new 1250 kW Generator and Automatic Transfer Switch for the Harnett County Sheriff's Office and Detention Center will be received by Harnett County at the Harnett County Resource Center and Library, 455 McKinney Drive, Lillington, North Carolina 27546 until **2:00 pm**, local time on **June 23, 2026** and then at said office be publicly opened and read aloud in **Conference Room A**. **The work includes furnishing and installing one 1250 kW standby generator that will serve as a backup power source for the entire Sheriff's Office and Detention Center. This work will include providing an automatic transfer switch and new power distribution equipment as detailed in the project documents. One ADD ALTERNATE for replacing the existing MDP will be considered.**

Each bid must be made on the blank forms provided with the bound copies of the CONTRACT DOCUMENTS and must be enclosed in an opaque sealed envelope and addressed to Renea Warren-Ford, Finance and Accounting Specialist 2, Harnett County, P.O. BOX 760, Lillington, North Carolina 27564. Each envelope should be clearly marked on the outside "Harnett Sheriff's Office & Detention Center Generator", with the name, address and license number of the bidder.

The CONTRACT DOCUMENTS may be examined at the following locations:

**Harnett County Sheriff's Office, 175 Bain Street, Lillington, NC 27546;**

Complete plans and specifications may be obtained from Dewberry Engineers Inc, 2610 Wycliff Road, Suite 410 Raleigh, NC 27607, during normal office hours beginning 8:00 am **Friday, May 15th, 2026**. Requests for electronic plans shall be sent to David Sherk at [dsherk@dewberry.com](mailto:dsherk@dewberry.com). If hard copies are desired they may be obtained with a Refundable plan deposit of \$50.00 - made payable to Harnett County. Questions concerning the project shall be submitted in writing to David Sherk, Dewberry Engineers Inc, 2610 Wycliff Road, Suite 410 Raleigh, NC 27607. Email: [dsherk@dewberry.com](mailto:dsherk@dewberry.com).

A Pre-Bid conference will be held May 28, 2026 at 10:00 am for this project at 175 Bain St, Lillington, NC 27546.

All Bidders questions and/or clarifications must be submitted in writing no later than 5:00 PM, local time on **June 11, 2026**. Bidders questions and/or clarifications received **after** this date will not receive a response. If warranted Bidders questions and/or clarifications will be answered by Addenda.

The Owner reserves the right to reject any and all bids and any part of a bid, and to waive informalities and technicalities in the bids.

Harnett County prohibits discrimination in any manner on the basis of race, color, creed, national origin, sex, age or handicap or sexual orientation and will pursue an affirmative policy of fostering, promoting, and conducting business with women and minority-owned business enterprises.

Neither the Owner nor the Engineer will be responsible for full or partial sets of Contract Documents, including any addendum, obtained from any other source.

Bidders will be required to show evidence that they are licensed to perform the work in the Bidding Documents as required by North Carolina General Statute, Chapter 87 and the Instructions to Bidders

This the 14<sup>th</sup> Day, of May, 2026  
HARNETT COUNTY

# INSTRUCTIONS TO BIDDERS

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## **ARTICLE 1 – DEFINED TERMS**

1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:

A. *Issuing Office* – The office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.

## **ARTICLE 2 – COPIES OF BIDDING DOCUMENTS**

2.01 Complete sets of the Bidding Documents in the number and for the deposit sum, if any, stated in the advertisement or invitation to bid may be obtained from the Issuing Office. The deposit will be non-refundable.

2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer/Landscape Architect assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

2.03 Owner and Engineer/Landscape Architect, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

## **ARTICLE 3 – QUALIFICATIONS OF BIDDERS**

3.01 To demonstrate Bidder's qualifications to perform the Work, within five days of Owner's request, Bidder shall submit written evidence such as financial data, previous experience, present commitments, and such other data as may be called for below.

A. *North Carolina General or Electrical Contractor License*

3.02 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder's representations and certifications.

## **ARTICLE 4 – EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA, AND SITE**

4.01 *Subsurface and Physical Conditions*

A. The Supplementary Conditions identify:

1. Those reports known to Owner of explorations and tests of subsurface conditions at or contiguous to the Site.
2. Those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).

B. Copies of reports and drawings referenced in Paragraph 4.01.A will be made available by Owner to any Bidder on request. Those reports and drawings are not part of the Contract

Documents, but the “technical data” contained therein upon which Bidder is entitled to rely as provided in Paragraph 4.02 of the General Conditions has been identified and established in Paragraph 4.02 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any “technical data” or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

4.02 *Underground Facilities (None Known)*

- A. Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to Owner and Engineer/Landscape Architect by owners of such Underground Facilities, including Owner, or others.

4.03 *Hazardous Environmental Condition (None Known)*

- A. The Supplementary Conditions identify any reports and drawings known to Owner relating to a Hazardous Environmental Condition identified at the Site.
- B. Copies of reports and drawings referenced in Paragraph 4.03.A will be made available by Owner to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the “technical data” contained therein upon which Bidder is entitled to rely as provided in Paragraph 4.06 of the General Conditions has been identified and established in Paragraph 4.06 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any “technical data” or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

4.04 Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 4.02, 4.03, and 4.04 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 4.06 of the General Conditions.

4.05 On request, Owner will provide Bidder access to the Site to conduct such examinations, investigations, explorations, tests, and studies as Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies. Bidder shall comply with all applicable Laws and Regulations relative to excavation and utility locates.

- 4.06 A. Reference is made to Article 7 of the Supplementary Conditions for the identification of the general nature of other work that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) that relates to the Work contemplated by these Bidding Documents. On request, Owner will provide to each Bidder for examination access to or

copies of contract documents (other than portions thereof related to price) for such other work.

- B. Paragraph 6.13.C of the General Conditions indicates that if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.07 It is the responsibility of each Bidder before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, and the other related data identified in the Bidding Documents;
- B. visit the Site and become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy Bidder as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work;
- D. carefully study all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) that have been identified in Paragraph 4.02 of the Supplementary Conditions as containing reliable "technical data," and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in the Paragraph 4.06 of the Supplementary Conditions as containing reliable "technical data";
- E. consider the information known to Bidder; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents; and (3) Bidder's safety precautions and programs;
- F. agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price(s) bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
- G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
- H. promptly give Engineer/Landscape Architect written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer/ Landscape Architect is acceptable to Bidder; and

- I. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work.

4.08 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 4, that without exception the Bid is premised upon performing and furnishing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, and procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given the Engineer/Landscape Architect written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in the Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the Work.

#### **ARTICLE 5 – PRE-BID CONFERENCE**

5.01 A Pre-Bid Conference will be held Thursday May 28<sup>th</sup> at 10:00am.

#### **ARTICLE 6 – SITE AND OTHER AREAS**

6.01 The Site is identified in the Bidding Documents. Easements for permanent structures or permanent changes in existing facilities are to be obtained and paid for by Owner unless otherwise provided in the Bidding Documents. All additional lands and access thereto required for temporary construction facilities, construction equipment, or storage of materials and equipment to be incorporated in the Work are to be obtained and paid for by Contractor.

## **ARTICLE 7 – INTERPRETATIONS AND ADDENDA**

- 7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to the Engineer/Landscape Architect in writing. Interpretations or clarifications considered necessary by Engineer/Landscape Architect in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by Engineer as having received the Bidding Documents. Questions received less than ten days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 7.02 Addenda may be issued to clarify, correct, or change the Bidding Documents as deemed advisable by Owner or Engineer/Landscape Architect.

## **ARTICLE 8 – BID SECURITY**

- 8.01 A 1% Bid Bond is required for this project.

## **ARTICLE 9 – CONTRACT TIMES**

- 9.01 The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

## **ARTICLE 10 – LIQUIDATED DAMAGES**

- 10.01 Provisions for liquidated damages, if any, are set forth in the Agreement.

## **ARTICLE 11 – SUBSTITUTE AND “OR-EQUAL” ITEMS**

- 11.01 The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, or those substitute or “or-equal” materials and equipment approved by Engineer/Landscape Architect and identified by Addendum. The materials and equipment described in the Bidding Documents establish a standard of required type, function and quality to be met by any proposed substitute or “or-equal” item. No item of material or equipment will be considered by Engineer/Landscape Architect as a substitute or “or-equal” unless written request for approval has been submitted by Bidder and has been received by Engineer/Landscape Architect at least 15 days prior to the date for receipt of Bids. Each such request shall conform to the requirements of Paragraph 6.05 of the General Conditions. The burden of proof of the merit of the proposed item is upon Bidder. Engineer/Landscape Architect’s decision of approval or disapproval of a proposed item will be final. If Engineer/Landscape Architect approves any proposed item, such approval will be set forth in an Addendum issued to all prospective Bidders. Bidders shall not rely upon approvals made in any other manner.

## **ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS AND OTHERS**

- 12.01 If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, individuals, or entities to be submitted to Owner in advance of a specified date prior to the Effective Date of the Agreement, the apparent Successful Bidder, and any other Bidder so

requested, shall within five days after Bid opening, submit to Owner a list of all such Subcontractors, Suppliers, individuals, or entities proposed for those portions of the Work for which such identification is required. Such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, individual, or entity if requested by Owner. If Owner or Engineer/Landscape Architect, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit a substitute, without an increase in the Bid.

- 12.02 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, individuals, or entities. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer/Landscape Architect makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer/Landscape Architect subject to revocation of such acceptance after the Effective Date of the Agreement as provided in Paragraph 6.06 of the General Conditions.
- 12.03 Contractor shall not be required to employ any Subcontractor, Supplier, individual, or entity against whom Contractor has reasonable objection.

#### **ARTICLE 13 – PREPARATION OF BID**

- 13.01 The Bid Form is included with the Bidding Documents. Additional copies may be obtained from Engineer/Landscape Architect.
- 13.02 All blanks on the Bid Form shall be completed in ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each [section, Bid item, alternative, adjustment unit price item, and unit price item] listed therein. In the case of optional alternatives the words “No Bid,” “No Change,” or “Not Applicable” may be entered.
- 13.03 A Bid by a corporation shall be executed in the corporate name by the president or a vice-president or other corporate officer accompanied by evidence of authority to sign. The corporate seal shall be affixed and attested by the secretary or an assistant secretary. The corporate address and state of incorporation shall be shown.
- 13.04 A Bid by a partnership shall be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The official address of the partnership shall be shown.
- 13.05 A Bid by a limited liability company shall be executed in the name of the firm by a member and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.
- 13.06 A Bid by an individual shall show the Bidder’s name and official address.

- 13.07 A Bid by a joint venture shall be executed by each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.
- 13.08 All names shall be printed in ink below the signatures.
- 13.09 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid Form.
- 13.10 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.
- 13.11 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number, if any, shall also be shown on the Bid Form.

#### **ARTICLE 14 – BASIS OF BID; COMPARISON OF BIDS**

##### 14.01 *Lump Sum*

- A. Bidders shall submit a Bid on a Lump Sum basis for each item of Work listed in the Bid Form Attachment A.

#### **ARTICLE 15 – SUBMITTAL OF BID**

- 15.01 With each copy of the Bidding Documents, a Bidder is furnished one separate unbound copy of the Bid Form, and the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the all the attachments outlined in Article 7 of the Bid Form.
- 15.02 A Bid shall be submitted no later than the date and time prescribed and at the place indicated in the advertisement or invitation to bid and shall be enclosed in a plainly marked package with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED." A mailed Bid shall be addressed to Renea Warren-Ford, Purchasing Specialist, 455 McKinney Drive, Lillington, NC 27546.

#### **ARTICLE 16 – MODIFICATION AND WITHDRAWAL OF BID**

- 16.01 A Bid may be modified or withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids.
- 16.02 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw

its Bid. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

## **ARTICLE 17 – OPENING OF BIDS**

17.01 Bids will be opened at the time and place indicated in the Advertisement or Invitation to Bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

## **ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE**

18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid prior to the end of this period.

## **ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT**

19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner further reserves the right to reject the Bid of any Bidder whom it finds, after reasonable inquiry and evaluation, to not be responsible. Owner may also reject the Bid of any Bidder if Owner believes that it would not be in the best interest of the Project to make an award to that Bidder. Owner also reserves the right to waive all informalities not involving price, time, or changes in the Work and to negotiate contract terms with the Successful Bidder.

19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.

19.03 In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices and other data, as may be requested in the Bid Form or prior to the Notice of Award.

19.04 In evaluating Bidders, Owner will consider the qualifications of Bidders and may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors, Suppliers, and other individuals or entities must be submitted as provided in the Supplementary Conditions.

19.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders, proposed Subcontractors, Suppliers, individuals, or entities proposed for those portions of the Work in accordance with the Contract Documents.

19.06 If the Contract is to be awarded, Owner will award the Contract to the responsible Bidder whose Bid, conforming with all the material terms and conditions of the Instructions to Bidders, is the lowest price, and other factors considered. If detailed in the Bid Form, factors

such as discounts, transportation costs, and life cycle costs may be used to determine which bidder, if any, is to be offered the award.

## **ARTICLE 20 – CONTRACT SECURITY AND INSURANCE**

20.01 Article 5 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner’s requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the executed Agreement to Owner, it shall be accompanied by such bonds.

## **ARTICLE 21 – SIGNING OF AGREEMENT**

21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the required number of unsigned counterparts of the Agreement along with the other Contract Documents which are identified in the Agreement as attached thereto. Within 15 days thereafter, Successful Bidder shall sign and deliver the required number of counterparts of the Agreement and attached documents to Owner. Within ten days thereafter, Owner shall deliver one fully signed counterpart to Successful Bidder with a complete set of the Drawings with appropriate identification.



**BID FORM**

Harnett County Sheriff's Office & Detention Center Generator

**ARTICLE 1 – BID RECIPIENT**

1.01 This Bid is submitted to: Renee Warren-Ford

Harnett County, 455 McKinney Drive, Lillington, NC 27546

1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

**ARTICLE 2 – BIDDER’S ACKNOWLEDGEMENTS**

2.01 Bidder accepts all of the terms and conditions of the Instructions to Bidders. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

**ARTICLE 3 – BIDDER’S REPRESENTATIONS**

3.01 In submitting this Bid, Bidder represents that:

A. Bidder has examined and carefully studied the Bidding Documents, other related data identified in the Bidding Documents, and the following Addenda, receipt of which is hereby acknowledged:

<u>Addendum No.</u>	<u>Addendum Date</u>
_____	_____
_____	_____
_____	_____

B. Bidder has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.

C. Bidder is familiar with and is satisfied as to all Laws and Regulations that may affect cost, progress, and performance of the Work.

D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) that have been identified in SC-4.02 as containing reliable "technical data," and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in SC-4.06 as containing reliable "technical data."

- E. Bidder has considered the information known to Bidder; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents; and (3) Bidder's safety precautions and programs.
- F. Based on the information and observations referred to in Paragraph 3.01.E above, Bidder does not consider that further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has given Engineer/Landscape Architect written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by Engineer is acceptable to Bidder.
- 1. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.

#### **ARTICLE 4 – BIDDER'S CERTIFICATION**

##### 4.01 Bidder certifies that:

- A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation;
- B. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid;
- C. Bidder has not solicited or induced any individual or entity to refrain from bidding; and
- D. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 4.01.D:
  - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process;
  - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;

3. “collusive practice” means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels; and
4. “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

#### **ARTICLE 5 – BASIS OF BID**

- 5.01 Bidder will complete the Work in accordance with the Contract Documents for the following price(s): **See Attachment A.**

#### **ARTICLE 6 – TIME OF COMPLETION**

- 6.01 Bidder agrees that the Work will be substantially complete within 525 calendar days after the date when the Contract Times commence to run as provided in Paragraph 2.03 of the General Conditions, and will be completed and ready for final payment in accordance with Paragraph 14.07 of the General Conditions within 585 calendar days after the date when the Contract Times commence to run.
- 6.02 Bidder accepts the provisions of the Agreement as to liquidated damages.

#### **ARTICLE 7 – ATTACHMENTS TO THIS BID**

- 7.01 The following documents are attached to and made a condition of the Bid:
- A. Bid Form and Attachment A
  - B. Minority Business Participation Requirements
  - C. Identification of Minority Business Participation
  - D. Minority Business Participation Requirements with Affidavit A or B
  - E. Qualifications of Bidders
  - F. Subcontractors and Material Suppliers List
  - G. Non-Collusion Affidavit
  - H. Bid Bond
  - I. Contractor’s License no.: \_\_\_\_\_

**ARTICLE 8 – DEFINED TERMS**

8.01 The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

**ARTICLE 9 – BID SUBMITTAL**

9.01 This Bid is submitted by:

If Bidder is:

An Individual

Name (typed or printed): \_\_\_\_\_

By: \_\_\_\_\_  
(Individual’s signature)

Doing business as: \_\_\_\_\_

A Partnership

Partnership Name: \_\_\_\_\_

By: \_\_\_\_\_  
(Signature of general partner -- attach evidence of authority to sign)

Name (typed or printed): \_\_\_\_\_

A Corporation

Corporation Name: \_\_\_\_\_ (SEAL)

State of Incorporation: \_\_\_\_\_

Type (General Business, Professional, Service, Limited Liability): \_\_\_\_\_

By: \_\_\_\_\_  
(Signature -- attach evidence of authority to sign)

Name (typed or printed): \_\_\_\_\_

Title: \_\_\_\_\_  
(CORPORATE SEAL)

Attest \_\_\_\_\_

Date of Qualification to do business in the State of North Carolina is \_\_\_\_ / \_\_\_\_ / \_\_\_\_.

A Joint Venture

Name of Joint Venture: \_\_\_\_\_

First Joint Venturer Name: \_\_\_\_\_ (SEAL)

By: \_\_\_\_\_  
(Signature of first joint venture partner -- attach evidence of authority to sign)

Name (typed or printed): \_\_\_\_\_

Title: \_\_\_\_\_

Second Joint Venturer Name: \_\_\_\_\_ (SEAL)

By: \_\_\_\_\_  
(Signature of second joint venture partner -- attach evidence of authority to sign)

Name (typed or printed): \_\_\_\_\_

Title: \_\_\_\_\_

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.)

Bidder's Business Address \_\_\_\_\_

\_\_\_\_\_

Phone No. \_\_\_\_\_ Fax No. \_\_\_\_\_

E-mail \_\_\_\_\_

SUBMITTED on \_\_\_\_\_, 20\_\_\_\_.

State Contractor License No. \_\_\_\_\_.

**SINGLE PRIME CONTRACT**

**ATTACHMENT A**

**FORM OF PROPOSAL**

Contract for Single Prime General Construction

TO: Harnett County Sheriff's Office  
175 Bain Street  
Lillington, NC 27546

FROM: \_\_\_\_\_  
BIDDER

\_\_\_\_\_

ADDRESS

\_\_\_\_\_

CITY STATE ZIP

1. BASE BID PROPOSAL:

Having become completely familiar with the local conditions affecting the cost of work at the place where work is to be executed, and having carefully examined the site conditions as they currently exist, and having carefully examined Bidding Documents prepared by Dewberry Engineers Inc.,

and titled: **Harnett County Sheriff's Office and Detention Center Generator**

Dated May 14, 2026 together with any addenda to such Bidding Documents as listed hereinafter, the undersigned hereby proposes and agrees to provide all labor, materials, plant, equipment, transportation and other facilities as necessary and/or required to execute all of the work described by the aforesaid Bidding Documents for the lump sum consideration of:

\_\_\_\_\_

\_\_\_\_\_ Dollars(\$ \_\_\_\_\_),

said amount being hereinafter referred to as the Base Bid or Base Bid Proposal.

**For separate prime bids to single prime contractor pursuant G.S.143-128, the separate prime contractor shall not submit a higher bid to any single prime contractor than the separate prime bid submitted to the owner for the same scope of work, including the cost of bonds. Therefore, if the separate prime contractor is NOT including bonds in its bid to a single prime contractor, the deduction for bonds shall be clearly shown in the bid to the single prime contractor.**

**2. BID BREAKDOWN:**

For the Owner's accounting purposes only, the following base bid cost breakdown is provided. It is agreed upon that this information will not be used for any decisions to determine the award of this contract. This information is required of the successful contractors within 72 hours.

TOTAL (equal to base bid amount) \_\_\_\_\_

**3. SUBCONTRACTOR LISTING:**

As required by state statute, single prime bidders shall show below the names of major subcontractor and the cost of the major contractors. Mechanical, electrical and plumbing must be shown. The amount of a bid submitted by a subcontractor to the general contractor under the single-prime system shall not exceed the amount bid, if any, for the same work by that subcontractor to the local school administrative unit under the separate-prime system. This information is required to be submitted at bid time. Failure to include this information shall be cause to disqualify or reject the bid.

	<u>Name of Subcontractors</u>	<u>Cost</u>
Electrical	_____	_____

4. ALTERNATES:

**Provide new panel MDP with Distribution Section**

\_\_\_\_\_ Dollars (\$ \_\_\_\_\_ . \_\_\_\_)

5. UNIT PRICES and ALLOWANCES:

There are not unit prices or allowances being bid under this work.


6. BASIS FOR AWARD:

Award is being made on the basis of the base bid amount plus the combination of alternates as the Owner chooses to accept.

**MINORITY BUSINESS PARTICIPATION REQUIREMENTS:**

Provide on the Bid: Under GS143-128.3 (c) the undersigned bidder shall identify on its bid the minority business that it will use on the project and the total dollar value of the bid that will be performed by the minority business and list the good faith efforts (Affidavit A) made to solicit participation.

Note: A contractor that performs all of the work with its own workforce may submit an Affidavit B to that effect in lieu of the Affidavit A required above.

After the bid opening: The Owner will consider all bids and alternated and determine the lowest responsible, responsive bidder. Upon notification of being the apparent low bidder, the bidder shall then submit within 72 hours of the notification of being the apparent low bidder, the following:

An Affidavit C that includes a description of the portion of work to be executed by minority businesses, expressed as a percentage of the total contract price, which is equal to or more than the 10% goal established. This affidavit shall give rise to the presumption that the bidder has made the required good faith effort;

OR

Affidavit D of its good faith effort to meet the goal. The document must include evidence of all good faith efforts that were implemented, including any advertisements, solicitations and other specific actions demonstrating recruitment and selection of minority businesses for participation in the contract.

**NOTE: Bidders must submit with their bid the BIDDER'S REPORT OF SUBCONTRACTOR SELECTION AND EFFORTS TO OBTAIN WOMEN AND MINORITY SUBCONTRACTORS and AFFIDAVIT A or AFFIDAVIT B as applicable. Failure to submit a required affidavit or documentation with the bid or after being notified apparent low bidder may be grounds for rejection of the bid.**

Firm: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

(Seal - if bid is by a corporation)



# State of North Carolina AFFIDAVIT A – Listing of Good Faith Efforts

County of \_\_\_\_\_

(Name of Bidder)

Affidavit of \_\_\_\_\_

I have made a good faith effort to comply under the following areas checked:

**Bidders must earn at least 50 points from the good faith efforts listed for their bid to be considered responsive.** (1 NC Administrative Code 30 I.0101)

- 1 – (10 pts)** Contacted minority businesses that reasonably could have been expected to submit a quote and that were known to the contractor, or available on State or local government maintained lists, at least 10 days before the bid date and notified them of the nature and scope of the work to be performed.
- 2 --(10 pts)** Made the construction plans, specifications and requirements available for review by prospective minority businesses, or providing these documents to them at least 10 days before the bids are due.
- 3 – (15 pts)** Broken down or combined elements of work into economically feasible units to facilitate minority participation.
- 4 – (10 pts)** Worked with minority trade, community, or contractor organizations identified by the Office of Historically Underutilized Businesses and included in the bid documents that provide assistance in recruitment of minority businesses.
- 5 – (10 pts)** Attended prebid meetings scheduled by the public owner.
- 6 – (20 pts)** Provided assistance in getting required bonding or insurance or provided alternatives to bonding or insurance for subcontractors.
- 7 – (15 pts)** Negotiated in good faith with interested minority businesses and did not reject them as unqualified without sound reasons based on their capabilities. Any rejection of a minority business based on lack of qualification should have the reasons documented in writing.
- 8 – (25 pts)** Provided assistance to an otherwise qualified minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letters of credit, including waiving credit that is ordinarily required. Assisted minority businesses in obtaining the same unit pricing with the bidder's suppliers in order to help minority businesses in establishing credit.
- 9 – (20 pts)** Negotiated joint venture and partnership arrangements with minority businesses in order to increase opportunities for minority business participation on a public construction or repair project when possible.
- 10 - (20 pts)** Provided quick pay agreements and policies to enable minority contractors and suppliers to meet cash-flow demands.

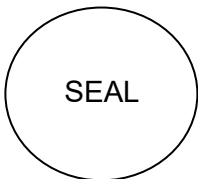
The undersigned, if apparent low bidder, will enter into a formal agreement with the firms listed in the Identification of Minority Business Participation schedule conditional upon scope of contract to be executed with the Owner. Substitution of contractors must be in accordance with GS143-128.2(d) Failure to abide by this statutory provision will constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of the minority business commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

# State of North Carolina --AFFIDAVIT B-- Intent to Perform Contract with Own Workforce.

County of \_\_\_\_\_

Affidavit of \_\_\_\_\_

(Name of Bidder)

I hereby certify that it is our intent to perform 100% of the work required for the \_\_\_\_\_

\_\_\_\_\_ contract.

(Name of Project)

In making this certification, the Bidder states that the Bidder does not customarily subcontract elements of this type project, and normally performs and has the capability to perform and will perform all elements of the work on this project with his/her own current work forces; and

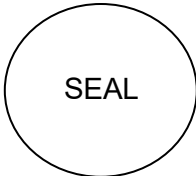
The Bidder agrees to provide any additional information or documentation requested by the owner in support of the above statement.

The undersigned hereby certifies that he or she has read this certification and is authorized to bind the Bidder to the commitments herein contained.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Notary Public \_\_\_\_\_

My commission expires \_\_\_\_\_

# State of North Carolina - AFFIDAVIT C - Portion of the Work to be Performed by Minority Firms

County of \_\_\_\_\_

**(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)**

If the portion of the work to be executed by minority businesses as defined in GS143-128.2(g) is equal to or greater than 10% of the bidders total contract price, then the bidder must complete this affidavit. This affidavit shall be provided by the apparent lowest responsible, responsive bidder within **72 hours** after notification of being low bidder.

Affidavit of \_\_\_\_\_ I do hereby certify that on the \_\_\_\_\_  
(Name of Bidder)

Project ID# \_\_\_\_\_ Amount of Bid \$ \_\_\_\_\_  
(Project Name)

I will expend a minimum of \_\_\_\_\_% of the total dollar amount of the contract with minority business enterprises. Minority businesses will be employed as construction subcontractors, vendors, suppliers or providers of professional services. Such work will be subcontracted to the following firms listed below. Attach additional sheets if required

Name and Phone Number	*Minority Category	Work description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

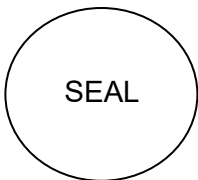
Pursuant to GS143-128.2(d), the undersigned will enter into a formal agreement with Minority Firms for work listed in this schedule conditional upon execution of a contract with the Owner. Failure to fulfill this commitment may constitute a breach of the contract.

The undersigned hereby certifies that he or she has read the terms of this commitment and is authorized to bind the bidder to the commitment herein set forth.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_  
 Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_  
 Notary Public \_\_\_\_\_  
 My commission expires \_\_\_\_\_

# State of North Carolina AFFIDAVIT D – Good Faith Efforts

County of \_\_\_\_\_

**(Note this form is to be submitted only by the apparent lowest responsible, responsive bidder.)**

If the goal of 10% participation by minority business **is not** achieved, the Bidder shall provide the following documentation to the Owner of his good faith efforts:

(Name of Bidder)

Affidavit of: \_\_\_\_\_

I do certify the attached documentation as true and accurate representation of my good faith efforts.

(Attach additional sheets if required)

Name and Phone Number	*Minority Category	Work description	Dollar Value

\*Minority categories: Black, African American (**B**), Hispanic (**H**), Asian American (**A**) American Indian (**I**), Female (**F**) Socially and Economically Disadvantaged (**D**)

Documentation of the Bidder's good faith efforts to meet the goals set forth in these provisions.

Examples of documentation include, but are not limited to, the following evidence:

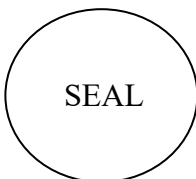
- A. Copies of solicitations for quotes to at least three (3) minority business firms from the source list provided by the State for each subcontract to be let under this contract (if 3 or more firms are shown on the source list). Each solicitation shall contain a specific description of the work to be subcontracted, location where bid documents can be reviewed, representative of the Prime Bidder to contact, and location, date and time when quotes must be received.
- B. Copies of quotes or responses received from each firm responding to the solicitation.
- C. A telephone log of follow-up calls to each firm sent a solicitation.
- D. For subcontracts where a minority business firm is not considered the lowest responsible sub-bidder, copies of quotes received from all firms submitting quotes for that particular subcontract.
- E. Documentation of any contacts or correspondence to minority business, community, or contractor organizations in an attempt to meet the goal.
- F. Copy of pre-bid roster.
- G. Letter documenting efforts to provide assistance in obtaining required bonding or insurance for minority business.
- H. Letter detailing reasons for rejection of minority business due to lack of qualification.
- I. Letter documenting proposed assistance offered to minority business in need of equipment, loan capital, lines of credit, or joint pay agreements to secure loans, supplies, or letter of credit, including waiving credit that is ordinarily required.

Failure to provide the documentation as listed in these provisions may result in rejection of the bid and award to the next lowest responsible and responsive bidder.

Date: \_\_\_\_\_ Name of Authorized Officer: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_



State of North Carolina, County of \_\_\_\_\_  
 Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_  
 Notary Public \_\_\_\_\_  
 My commission expires \_\_\_\_\_

**NON-COLLUSION AFFIDAVIT**

State of \_\_\_\_\_)

County of \_\_\_\_\_)

\_\_\_\_\_ being first duly sworn, deposes and says that:

(1) He is the \_\_\_\_\_, of \_\_\_\_\_  
(Owner/Officer/Partner/Representative or Agent)  
\_\_\_\_\_ the BIDDER that has submitted the attached BID;

(2) He is fully informed respecting the preparation and contents of the attached BID and of all pertinent circumstances respecting such BID;

(3) Such BID is genuine and is not a collusive or sham BID;

(4) Neither the said BIDDER nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, have in any way colluded, conspired, connived or agreed, directly or indirectly, with any other BIDDER, firm, or person to submit a collusive or sham BID in connection with the Contract for which the attached BID has been submitted; or to refrain from bidding in connection with such Contract; or have in any manner, directly or indirectly, sought by agreement or collusion, or communication, or conference with any BIDDER, firm, or person to fix the price or prices in the attached BID or of any other BIDDER, or to fix any overhead, profit, or cost elements of BID price or the BID price of any other BIDDER, or to secure through any collusion, conspiracy, connivance, or unlawful agreement any advantage against (Recipient), or any person interested in the proposed Contract.

(5) The price or prices quoted in the attached BID are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the BIDDER or any other of its agents, representatives, owners, employees or parties in interest, including this affidavit.

By: \_\_\_\_\_

\_\_\_\_\_  
(Title)

Subscribed and sworn to before this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
Notary Public: \_\_\_\_\_

My commission expires: \_\_\_\_\_

## QUALIFICATIONS OF BIDDERS

The Bidder shall furnish the following information, designed to assist the Owner in determining whether or not the Bidder is qualified to perform the work described in the Contract Documents:

1. List three references with contact person and telephone number who are qualified to objectively judge the results of similar work performed by the Bidder in the last 3 years.
  - A.
  - B.
  - C.
2. List previous contracting experience, including contract dollar amount.
  - A.
  - B.
  - C.
3. List key personnel and brief history of experience for each.
  - A.
  - B.
  - C.
4. Name address, telephone number and title of officer or person best able to answer questions regarding bidders ability to complete the work.
  - A.
  - B.
  - C.

NOTE - The Bidder shall attach additional sheets of information as needed to answer questions.

# Notice of Award

Date: \_\_\_\_\_

Project: Harnett County Sheriff's Office & Detention Center Generator	
Owner: Harnett County	Owner's Contract No.:
Contract: Harnett County Sheriff's Office & Detention Center Generator	Engineer/LA's Project No.: 50190536
Bidder:	
Bidder's Address: <i>[send Notice of Award Certified Mail, Return Receipt Requested]</i>	

You are notified that your Bid dated \_\_\_\_\_ for the above Contract has been considered. You are the Successful Bidder and are awarded a Contract for \_\_\_\_\_.

The Contract Price of your Contract is \_\_\_\_\_ Dollars (\$ \_\_\_\_\_).

\_\_\_ copies of the proposed Contract Documents (except Drawings) accompany this Notice of Award.

\_\_\_ sets of the Drawings will be delivered separately or otherwise made available to you immediately.

You must comply with the following conditions precedent within 10 days of the date you receive this Notice of Award.

1. Deliver to the Owner \_\_\_ fully executed counterparts of the Contract Documents.
2. Deliver with the executed Contract Documents the Contract security [Bonds] as specified in the Instructions to Bidders (Article 20), General Conditions (Paragraph 5.01), and Supplementary Conditions (Paragraph SC-5.01).
3. Other conditions precedent: \_\_\_\_\_

Failure to comply with these conditions within the time specified will entitle Owner to consider you in default, annul this Notice of Award, and declare your Bid security forfeited.

Within ten days after you comply with the above conditions, Owner will return to you one fully executed counterpart of the Contract Documents.

\_\_\_\_\_  
Owner  
By: \_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Contractor  
Accepted By: \_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Title

Copy to Engineer/Landscape Architect

# Notice to Proceed

Date: \_\_\_\_\_

---

Project: Harnett County Sheriff's Office & Detention Center Generator

---

Owner: Harnett County	Owner's Contract No.:
Contract: Harnett County Sheriff's Office & Detention Center Generator	Engineer's Project No.: 50190536

---

Contractor:

---

Contractor's Address: *[send Certified Mail, Return Receipt Requested]*

---

You are notified that the Contract Times under the above Contract will commence to run on \_\_\_\_\_. On or before that date, you are to start performing your obligations under the Contract Documents. In accordance with Article 4 of the Agreement, the number of days to achieve Substantial Completion is **525**, and the number of days to achieve readiness for final payment is **585**.

Before you may start any Work at the Site, Paragraph 2.01.B of the General Conditions provides that you and Owner must each deliver to the other (with copies to Engineer and other identified additional insureds and loss payees) certificates of insurance which each is required to purchase and maintain in accordance with the Contract Documents.

Also, before you may start any Work at the Site, you must:

---

Contractor	Owner
_____	Given by:
Authorized Signature	_____
_____	Authorized Signature
Title	_____
_____	Title
Date	_____
_____	Date

Copy to Engineer

# AGREEMENT

THIS AGREEMENT is by and between Harnett County (“Owner”) and \_\_\_\_\_ (“Contractor”).

Owner and Contractor hereby agree as follows:

## ARTICLE 1 – WORK

- 1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:
- A. The work includes, but is not limited to, providing a 1250kW generator, automatic transfer switch and power distribution equipment as shown on the Drawings.
- 1.02 The Project for which the Work under the Contract Documents may be the whole or only a part is generally described as follows:
- A. Harnett County Sheriff’s Office & Detention Center Generator

## ARTICLE 2 – ENGINEER/LANDSCAPE ARCHITECT

- 2.01 The Project has been designed by Dewberry Engineers Inc. (Engineer/Landscape Architect), which is to act as Owner’s representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer/Landscape Architect in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents.

## ARTICLE 3 – CONTRACT TIMES

- 3.01 *Time of the Essence*
- A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.02 *Days to Achieve Substantial Completion and Final Payment*
- A. The Work will be substantially completed within 525 days after the date when the Contract Times commence to run as provided in Paragraph 2.03 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 14.07 of the General Conditions within 585 days after the date when the Contract Times commence to run.
- 4.03 *Liquidated Damages*
- A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial loss if the Work is not completed within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. The parties also recognize the delays, expense, and

difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty), Contractor shall pay Owner \$500 for each day that expires after the time specified in Paragraph 4.02 above for Substantial Completion until the Work is substantially complete. After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time or any proper extension thereof granted by Owner, Contractor shall pay Owner \$500 for each day that expires after the time specified in Paragraph 4.02 above for completion and readiness for final payment until the Work is completed and ready for final payment.

## **ARTICLE 5 – CONTRACT PRICE**

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents an amount in current funds equal to the sum of the amounts determined pursuant to Paragraphs 5.01.A below:

- A. For all Unit Prices quoted and accepted shall apply throughout the life of the Contract, except as otherwise specifically noted. Unit Prices shall be applied, as appropriate, to compute the total value of changes in the base bid quantity of the work in accordance with the Contract Documents.

The Bid prices for Unit Price Work set forth as of the Effective Date of the Agreement are based on estimated quantities. As provided in Paragraph 11.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer/Landscape Architect as provided in Paragraph 9.07 of the General Conditions.

## **ARTICLE 6 – PAYMENT PROCEDURES**

6.01 *Submittal and Processing of Payments*

- A. Contractor shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by Engineer/ Landscape Architect as provided in the General Conditions.

6.02 *Progress Payments; Retainage*

- A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment on or about the 20th day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below. All such payments will be measured by the schedule of values established as provided in Paragraph 2.07.A of the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no schedule of values, as provided in the General Requirements.
  - 1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Engineer/Landscape Architect may determine or Owner

may withhold, including but not limited to liquidated damages, in accordance with Paragraph 14.02 of the General Conditions.

- a. 95 percent of Work completed (with the balance being retainage). If the Work has been 50 percent completed as determined by Engineer/Landscape Architect, and if the character and progress of the Work have been satisfactory to Owner and Engineer/Landscape Architect, then as long as the character and progress of the Work remain satisfactory to Owner and Engineer/Landscape Architect, there will be no additional retainage; and
  - b. 95 percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
- B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts as Engineer/Landscape Architect shall determine in accordance with Paragraph 14.02.B.5 of the General Conditions and less 200 percent of Engineer/Landscape Architect's estimate of the value of Work to be completed or corrected as shown on the tentative list of items to be completed or corrected attached to the certificate of Substantial Completion.

### 6.03 *Final Payment*

- A. Upon final completion and acceptance of the Work in accordance with Paragraph 14.07 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer/Landscape Architect as provided in said Paragraph 14.07.

## **ARTICLE 7 – INTEREST**

- 7.01 All moneys not paid when due as provided in Article 14 of the General Conditions shall bear interest at the rate of 5 percent per annum.

## **ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS**

- 8.01 In order to induce Owner to enter into this Agreement, Contractor makes the following representations:
- A. Contractor has examined and carefully studied the Contract Documents and the other related data identified in the Bidding Documents.
  - B. Contractor has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
  - C. Contractor is familiar with and is satisfied as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.
  - D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities), if any, that

have been identified in Paragraph SC-4.02 of the Supplementary Conditions as containing reliable "technical data," and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in Paragraph SC-4.06 of the Supplementary Conditions as containing reliable "technical data."

- E. Contractor has considered the information known to Contractor; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, including any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Contract Documents; and (3) Contractor's safety precautions and programs.
- F. Based on the information and observations referred to in Paragraph 8.01.E above, Contractor does not consider that further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract Documents.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer/Landscape Architect written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer/Landscape Architect is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

## ARTICLE 9 – CONTRACT DOCUMENTS

### 9.01 *Contents*

- A. The Contract Documents consist of the following:
  - 1. This Agreement (pages 1 to 7, inclusive).
  - 2. Performance bond (pages All, inclusive).
  - 3. Payment bond (pages All, inclusive).
  - 4. Other bonds
    - a. Bid Bond (pages All to, inclusive).
  - 5. General Conditions (pages 1 to 62, inclusive).
  - 6. Supplementary Conditions (pages 1 to 7, inclusive).

7. Specifications as listed in the table of contents of the Project Manual.
- B. The Drawings consisting of 8 sheets with each bearing the following general title: Harnett County Neills Creek Park Tennis Courts.
8. Addenda (numbers , inclusive).
9. Exhibits to this Agreement (enumerated as follows):
  - a. Contractor's Bid (pages All, inclusive).
  - b. Documentation submitted by Contractor prior to Notice of Award (pages All, inclusive).
10. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
  - a. Notice to Proceed (pages All, inclusive).
  - b. Work Change Directives.
  - c. Change Orders.
- B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 9.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in Paragraph 3.04 of the General Conditions.

## **ARTICLE 10 – MISCELLANEOUS**

### **10.01 *Terms***

- A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

### **10.02 *Assignment of Contract***

- A. No assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

10.03 *Successors and Assigns*

- A. Owner and Contractor each binds itself, its partners, successors, assigns, and legal representatives to the other party hereto, its partners, successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

10.04 *Severability*

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

10.05 *Contractor's Certifications*

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:
  - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process or in the Contract execution;
  - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
  - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
  - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement. Counterparts have been delivered to Owner and Contractor. All portions of the Contract Documents have been signed or have been identified by Owner and Contractor or on their behalf.

This Agreement will be effective on \_\_\_\_\_ (which is the Effective Date of the Agreement).

OWNER:

CONTRACTOR

Harnett County \_\_\_\_\_

\_\_\_\_\_

By: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

(If Contractor is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)

Attest: \_\_\_\_\_

Attest: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address for giving notices:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Address for giving notices:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

License No.: \_\_\_\_\_

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of this Agreement.)

Agent for service of process:  
\_\_\_\_\_

**APPROVAL BY ATTORNEY**

This is to certify that \_\_\_\_\_, Attorney for Harnett County has examined the foregoing contract and bond and hereby certifies that the same is all in proper legal form.

\_\_\_\_\_ Date: \_\_\_\_\_  
County Attorney

**APPROVAL BY FINANCE OFFICER**

This instrument has been pre-audited in accordance with the Local Government Budget and Fiscal Control Act.

\_\_\_\_\_ Date: \_\_\_\_\_  
Kimberly Honeycutt, Finance Director

# PERFORMANCE BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

---

CONTRACTOR (*Name and Address*):                      SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

Harnett County  
420 McKinney Parkway  
Lillington, NC 27546

CONTRACT

Effective Date of Agreement:  
Amount:  
Description (*Name and Location*):

BOND

Bond Number:  
Date (*Not earlier than Effective Date of Agreement*):  
Amount:  
Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Performance Bond to be duly executed by an authorized officer, agent, or representative.

**CONTRACTOR AS PRINCIPAL**

**SURETY**

\_\_\_\_\_  
Contractor's Name and Corporate Seal (Seal)

\_\_\_\_\_  
Surety's Name and Corporate Seal (Seal)

By: \_\_\_\_\_  
Signature

By: \_\_\_\_\_  
Signature (Attach Power of Attorney)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

Attest: \_\_\_\_\_  
Signature

Attest: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

*Note: Provide execution by additional parties, such as joint venturers, if necessary.*

Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner for the performance of the Contract, which is incorporated herein by reference.

1. If Contractor performs the Contract, Surety and Contractor have no obligation under this Bond, except to participate in conferences as provided in Paragraph 2.1.
2. If there is no Owner Default, Surety's obligation under this Bond shall arise after:
  - 2.1 Owner has notified Contractor and Surety, at the addresses described in Paragraph 9 below, that Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with Contractor and Surety to be held not later than 15 days after receipt of such notice to discuss methods of performing the Contract. If Owner, Contractor, and Surety agree, Contractor shall be allowed a reasonable time to perform the Contract, but such an agreement shall not waive Owner's right, if any, subsequently to declare a Contractor Default; and
  - 2.2 Owner has declared a Contractor Default and formally terminated Contractor's right to complete the Contract. Such Contractor Default shall not be declared earlier than 20 days after Contractor and Surety have received notice as provided in Paragraph 2.1; and
  - 2.3 Owner has agreed to pay the Balance of the Contract Price to:
    1. Surety in accordance with the terms of the Contract; or
    2. Another contractor selected pursuant to Paragraph 3.3 to perform the Contract.
3. When Owner has satisfied the conditions of Paragraph 2, Surety shall promptly, and at Surety's expense, take one of the following actions:
  - 3.1 Arrange for Contractor, with consent of Owner, to perform and complete the Contract; or
  - 3.2 Undertake to perform and complete the Contract itself, through its agents or through independent contractors; or
  - 3.3 Obtain bids or negotiated proposals from qualified contractors acceptable to Owner for a contract for performance and completion of the Contract, arrange for a contract to be prepared for execution by Owner and contractor selected with Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Contract, and pay to Owner the amount of damages as described in Paragraph 5 in excess of the Balance of the Contract Price incurred by Owner resulting from Contractor Default; or
  - 3.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:
    1. After investigation, determine the amount for which it may be liable to Owner and, as soon as practicable after the amount is determined, tender payment therefor to Owner; or
    2. Deny liability in whole or in part and notify Owner citing reasons therefor.
4. If Surety does not proceed as provided in Paragraph 3 with reasonable promptness, Surety shall be deemed to be in default on this Bond 15 days after receipt of an additional written notice from Owner to Surety demanding that Surety perform its obligations under this Bond, and Owner shall be entitled to enforce any remedy available to Owner. If Surety proceeds as provided in Paragraph 3.4, and Owner refuses the payment tendered or Surety has denied liability, in whole or in part, without further notice Owner shall be entitled to enforce any remedy available to Owner.
5. After Owner has terminated Contractor's right to complete the Contract, and if Surety elects to act under Paragraph 3.1, 3.2, or 3.3 above, then the responsibilities of Surety to Owner shall not be greater than those of Contractor under the Contract, and the responsibilities of Owner to Surety shall not be greater than those of Owner under the Contract. To the limit of the amount of this Bond, but subject to commitment by Owner of the Balance of the Contract Price to mitigation of costs and damages on the Contract, Surety is obligated without duplication for:

- 5.1 The responsibilities of Contractor for correction of defective Work and completion of the Contract;
- 5.2 Additional legal, design professional, and delay costs resulting from Contractor's Default, and resulting from the actions of or failure to act of Surety under Paragraph 3; and
- 5.3 Liquidated damages, or if no liquidated damages are specified in the Contract, actual damages caused by delayed performance or non-performance of Contractor.

6. Surety shall not be liable to Owner or others for obligations of Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than Owner or its heirs, executors, administrators, or successors.

7. Surety hereby waives notice of any change, including changes of time, to Contract or to related subcontracts, purchase orders, and other obligations.

8. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the Work or part of the Work is located, and shall be instituted within two years after Contractor Default or within two years after Contractor ceased working or within two years after Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

9. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the address shown on the signature page.

10. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

11. Definitions.

- 11.1 Balance of the Contract Price: The total amount payable by Owner to Contractor under the Contract after all proper adjustments have been made, including allowance to Contractor of any amounts received or to be received by Owner in settlement of insurance or other Claims for damages to which Contractor is entitled, reduced by all valid and proper payments made to or on behalf of Contractor under the Contract.
- 11.2 Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.
- 11.3 Contractor Default: Failure of Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Contract.
- 11.4 Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or otherwise comply with the other terms thereof.

FOR INFORMATION ONLY – *(Name, Address and Telephone)*  
Surety Agency or Broker:  
Owner's Representative (*Engineer or other party*): Dewberry Engineers Inc.

# PAYMENT BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

---

CONTRACTOR (*Name and Address*):

SURETY (*Name, and Address of Principal Place of Business*):

OWNER (*Name and Address*):

Harnett County  
420 McKinney Parkway  
Lillington, NC 27546

CONTRACT

Effective Date of Agreement:

Amount:

Description (*Name and Location*): Harnett County Sheriff's Office & Detention Center Generator

BOND

Bond Number:

Date (*Not earlier than Effective Date of Agreement*):

Amount:

Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms set forth below, do each cause this Payment Bond to be duly executed by an authorized officer, agent, or representative.

**CONTRACTOR AS PRINCIPAL**

**SURETY**

\_\_\_\_\_  
Contractor's Name and Corporate Seal (Seal)

\_\_\_\_\_  
Surety's Name and Corporate Seal (Seal)

By: \_\_\_\_\_  
Signature

By: \_\_\_\_\_  
Signature (Attach Power of Attorney)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

Attest: \_\_\_\_\_  
Signature

Attest: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

*Note: Provide execution by additional parties, such as joint venturers, if necessary.*

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner to pay for labor, materials, and equipment furnished by Claimants for use in the performance of the Contract, which is incorporated herein by reference.
2. With respect to Owner, this obligation shall be null and void if Contractor:
  - 2.1 Promptly makes payment, directly or indirectly, for all sums due Claimants, and
  - 2.2 Defends, indemnifies, and holds harmless Owner from all claims, demands, liens, or suits alleging non-payment by Contractor by any person or entity who furnished labor, materials, or equipment for use in the performance of the Contract, provided Owner has promptly notified Contractor and Surety (at the addresses described in Paragraph 12) of any claims, demands, liens, or suits and tendered defense of such claims, demands, liens, or suits to Contractor and Surety, and provided there is no Owner Default.
3. With respect to Claimants, this obligation shall be null and void if Contractor promptly makes payment, directly or indirectly, for all sums due.
4. Surety shall have no obligation to Claimants under this Bond until:
  - 4.1 Claimants who are employed by or have a direct contract with Contractor have given notice to Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.
  - 4.2 Claimants who do not have a direct contract with Contractor:
    1. Have furnished written notice to Contractor and sent a copy, or notice thereof, to Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials or equipment were furnished or supplied, or for whom the labor was done or performed; and
    2. Have either received a rejection in whole or in part from Contractor, or not received within 30 days of furnishing the above notice any communication from Contractor by which Contractor had indicated the claim will be paid directly or indirectly; and
    3. Not having been paid within the above 30 days, have sent a written notice to Surety (at the address described in Paragraph 12) and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to Contractor.
5. If a notice by a Claimant required by Paragraph 4 is provided by Owner to Contractor or to Surety, that is sufficient compliance.
6. When a Claimant has satisfied the conditions of Paragraph 4, the Surety shall promptly and at Surety's expense take the following actions:
  - 6.1 Send an answer to that Claimant, with a copy to Owner, within 45 days after receipt of the claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed.
  - 6.2 Pay or arrange for payment of any undisputed amounts.
7. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety.
8. Amounts owed by Owner to Contractor under the Contract shall be used for the performance of the Contract and to satisfy claims, if any, under any performance bond. By Contractor furnishing and Owner accepting this Bond, they agree that all funds earned by Contractor in the performance of the Contract are dedicated to satisfy obligations of Contractor and Surety under this Bond, subject to Owner's priority to use the funds for the completion of the Work.

9. Surety shall not be liable to Owner, Claimants, or others for obligations of Contractor that are unrelated to the Contract. Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10. Surety hereby waives notice of any change, including changes of time, to the Contract or to related subcontracts, purchase orders, and other obligations.

11. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the Work or part of the Work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Paragraph 4.1 or Paragraph 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the addresses shown on the signature page. Actual receipt of notice by Surety, Owner, or Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory Bond and not as a common law bond.

14. Upon request of any person or entity appearing to be a potential beneficiary of this Bond, Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

15. Definitions

15.1 Claimant: An individual or entity having a direct contract with Contractor, or with a first-tier subcontractor of Contractor, to furnish labor, materials, or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms “labor, materials or equipment” that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Contract, architectural and engineering services required for performance of the Work of Contractor and Contractor’s subcontractors, and all other items for which a mechanic’s lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

15.2 Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

15.3 Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract, or to perform and complete or otherwise comply with the other terms thereof.

FOR INFORMATION ONLY – *(Name, Address, and Telephone)*

Surety Agency or Broker:

Owner’s Representative *(Engineer or other)*: Dewberry Engineers Inc.

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by

**ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE**

and

Issued and Published Jointly by



AMERICAN COUNCIL OF ENGINEERING COMPANIES

ASSOCIATED GENERAL CONTRACTORS OF AMERICA

AMERICAN SOCIETY OF CIVIL ENGINEERS

PROFESSIONAL ENGINEERS IN PRIVATE PRACTICE  
*A Practice Division of the*  
NATIONAL SOCIETY OF PROFESSIONAL ENGINEERS

Endorsed by



CONSTRUCTION SPECIFICATIONS INSTITUTE

These General Conditions have been prepared for use with the Suggested Forms of Agreement Between Owner and Contractor (EJCDC C-520 or C-525, 2007 Editions). Their provisions are interrelated and a change in one may necessitate a change in the other. Comments concerning their usage are contained in the Narrative Guide to the EJCDC Construction Documents (EJCDC C-001, 2007 Edition). For guidance in the preparation of Supplementary Conditions, see Guide to the Preparation of Supplementary Conditions (EJCDC C-800, 2007 Edition).

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# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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## ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

### 1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents and printed with initial capital letters, the terms listed below will have the meanings indicated which are applicable to both the singular and plural thereof. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  2. *Agreement*—The written instrument which is evidence of the agreement between Owner and Contractor covering the Work.
  3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  4. *Asbestos*—Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
  5. *Bid*—The offer or proposal of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  6. *Bidder*—The individual or entity who submits a Bid directly to Owner.
  7. *Bidding Documents*—The Bidding Requirements and the proposed Contract Documents (including all Addenda).
  8. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid security of acceptable form, if any, and the Bid Form with any supplements.
  9. *Change Order*—A document recommended by Engineer which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.
  10. *Claim*—A demand or assertion by Owner or Contractor seeking an adjustment of Contract Price or Contract Times, or both, or other relief with respect to the terms of the Contract. A demand for money or services by a third party is not a Claim.
  11. *Contract*—The entire and integrated written agreement between the Owner and Contractor concerning the Work. The Contract supersedes prior negotiations, representations, or agreements, whether written or oral.

12. *Contract Documents*—Those items so designated in the Agreement. Only printed or hard copies of the items listed in the Agreement are Contract Documents. Approved Shop Drawings, other Contractor submittals, and the reports and drawings of subsurface and physical conditions are not Contract Documents.
13. *Contract Price*—The moneys payable by Owner to Contractor for completion of the Work in accordance with the Contract Documents as stated in the Agreement (subject to the provisions of Paragraph 11.03 in the case of Unit Price Work).
14. *Contract Times*—The number of days or the dates stated in the Agreement to: (i) achieve Milestones, if any; (ii) achieve Substantial Completion; and (iii) complete the Work so that it is ready for final payment as evidenced by Engineer's written recommendation of final payment.
15. *Contractor*—The individual or entity with whom Owner has entered into the Agreement.
16. *Cost of the Work*—See Paragraph 11.01 for definition.
17. *Drawings*—That part of the Contract Documents prepared or approved by Engineer which graphically shows the scope, extent, and character of the Work to be performed by Contractor. Shop Drawings and other Contractor submittals are not Drawings as so defined.
18. *Effective Date of the Agreement*—The date indicated in the Agreement on which it becomes effective, but if no such date is indicated, it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.
19. *Engineer*—The individual or entity named as such in the Agreement.
20. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.
21. *General Requirements*—Sections of Division 1 of the Specifications.
22. *Hazardous Environmental Condition*—The presence at the Site of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material in such quantities or circumstances that may present a substantial danger to persons or property exposed thereto.
23. *Hazardous Waste*—The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.
24. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
25. *Liens*—Charges, security interests, or encumbrances upon Project funds, real property, or personal property.
26. *Milestone*—A principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.

27. *Notice of Award*—The written notice by Owner to the Successful Bidder stating that upon timely compliance by the Successful Bidder with the conditions precedent listed therein, Owner will sign and deliver the Agreement.
28. *Notice to Proceed*—A written notice given by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work under the Contract Documents.
29. *Owner*—The individual or entity with whom Contractor has entered into the Agreement and for whom the Work is to be performed.
30. *PCBs*—Polychlorinated biphenyls.
31. *Petroleum*—Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Waste and crude oils.
32. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
33. *Project*—The total construction of which the Work to be performed under the Contract Documents may be the whole, or a part.
34. *Project Manual*—The bound documentary information prepared for bidding and constructing the Work. A listing of the contents of the Project Manual, which may be bound in one or more volumes, is contained in the table(s) of contents.
35. *Radioactive Material*—Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.
36. *Resident Project Representative*—The authorized representative of Engineer who may be assigned to the Site or any part thereof.
37. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
38. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements to support scheduled performance of related construction activities.
39. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

40. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work.
41. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by Owner which are designated for the use of Contractor.
42. *Specifications*—That part of the Contract Documents consisting of written requirements for materials, equipment, systems, standards and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable thereto.
43. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work at the Site.
44. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
45. *Successful Bidder*—The Bidder submitting a responsive Bid to whom Owner makes an award.
46. *Supplementary Conditions*—That part of the Contract Documents which amends or supplements these General Conditions.
47. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or Subcontractor.
48. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
49. *Unit Price Work*—Work to be paid for on the basis of unit prices.
50. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.
51. *Work Change Directive*—A written statement to Contractor issued on or after the Effective Date of the Agreement and signed by Owner and recommended by Engineer ordering an

addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times.

## 1.02 Terminology

A. The words and terms discussed in Paragraph 1.02.B through F are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.

### B. *Intent of Certain Terms or Adjectives:*

1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.09 or any other provision of the Contract Documents.

### C. *Day:*

1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.

### D. *Defective:*

1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - a. does not conform to the Contract Documents; or
  - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
  - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 14.04 or 14.05).

### E. *Furnish, Install, Perform, Provide:*

1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
  2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
  3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
  4. When “furnish,” “install,” “perform,” or “provide” is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of Contractor, “provide” is implied.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

## **ARTICLE 2 – PRELIMINARY MATTERS**

### *2.01 Delivery of Bonds and Evidence of Insurance*

- A. When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Insurance:* Before any Work at the Site is started, Contractor and Owner shall each deliver to the other, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance which either of them or any additional insured may reasonably request) which Contractor and Owner respectively are required to purchase and maintain in accordance with Article 5.

### *2.02 Copies of Documents*

- A. Owner shall furnish to Contractor up to ten printed or hard copies of the Drawings and Project Manual. Additional copies will be furnished upon request at the cost of reproduction.

### *2.03 Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Agreement or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Agreement. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is earlier.

## 2.04 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to the date on which the Contract Times commence to run.

## 2.05 *Before Starting Construction*

- A. *Preliminary Schedules:* Within 10 days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), Contractor shall submit to Engineer for timely review:
  - 1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract Documents;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

## 2.06 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.05.A, procedures for handling Shop Drawings and other submittals, processing Applications for Payment, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit instructions, receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

## 2.07 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference attended by Contractor, Engineer, and others as appropriate will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.05.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
  - 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of

the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.

2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to component parts of the Work.

### **ARTICLE 3 – CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE**

#### **3.01 *Intent***

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents. Any labor, documentation, services, materials, or equipment that reasonably may be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the indicated result will be provided whether or not specifically called for, at no additional cost to Owner.
- C. Clarifications and interpretations of the Contract Documents shall be issued by Engineer as provided in Article 9.

#### **3.02 *Reference Standards***

- A. Standards, Specifications, Codes, Laws, and Regulations
  1. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard, specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  2. No provision of any such standard, specification, manual, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the Contract Documents. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.

#### **3.03 *Reporting and Resolving Discrepancies***

- A. *Reporting Discrepancies:*

1. *Contractor's Review of Contract Documents Before Starting Work:* Before undertaking each part of the Work, Contractor shall carefully study and compare the Contract Documents and check and verify pertinent figures therein and all applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy which Contractor discovers, or has actual knowledge of, and shall obtain a written interpretation or clarification from Engineer before proceeding with any Work affected thereby.
2. *Contractor's Review of Contract Documents During Performance of Work:* If, during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) any standard, specification, manual, or code, or (c) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 6.16.A) until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in Paragraph 3.04.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. *Resolving Discrepancies:*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between the provisions of the Contract Documents and:
  - a. the provisions of any standard, specification, manual, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference in the Contract Documents); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions thereof by either a Change Order or a Work Change Directive.
- B. The requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, by one or more of the following ways:
  1. A Field Order;
  2. Engineer's approval of a Shop Drawing or Sample (subject to the provisions of Paragraph 6.17.D.3); or

3. Engineer's written interpretation or clarification.

### 3.05 *Reuse of Documents*

- A. Contractor and any Subcontractor or Supplier shall not:
  1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions; or
  2. reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

### 3.06 *Electronic Data*

- A. Unless otherwise stated in the Supplementary Conditions, the data furnished by Owner or Engineer to Contractor, or by Contractor to Owner or Engineer, that may be relied upon are limited to the printed copies (also known as hard copies). Files in electronic media format of text, data, graphics, or other types are furnished only for the convenience of the receiving party. Any conclusion or information obtained or derived from such electronic files will be at the user's sole risk. If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.
- B. Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within 60 days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the transferring party.
- C. When transferring documents in electronic media format, the transferring party makes no representations as to long term compatibility, usability, or readability of documents resulting from the use of software application packages, operating systems, or computer hardware differing from those used by the data's creator.

## **ARTICLE 4 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS; REFERENCE POINTS**

### 4.01 *Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work. Owner will obtain in a timely manner and pay for easements for permanent structures or permanent changes in existing facilities. If Contractor and Owner are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the

Contract Price or Contract Times, or both, as a result of any delay in Owner's furnishing the Site or a part thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which the Work is to be performed and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

#### 4.02 *Subsurface and Physical Conditions*

A. *Reports and Drawings:* The Supplementary Conditions identify:

- 1. those reports known to Owner of explorations and tests of subsurface conditions at or contiguous to the Site; and
- 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities).

B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:

- 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
- 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
- 3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions, or information.

#### 4.03 *Differing Subsurface or Physical Conditions*

A. *Notice:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed either:

- 1. is of such a nature as to establish that any "technical data" on which Contractor is entitled to rely as provided in Paragraph 4.02 is materially inaccurate; or
- 2. is of such a nature as to require a change in the Contract Documents; or
- 3. differs materially from that shown or indicated in the Contract Documents; or

4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except as aforesaid) until receipt of written order to do so.

- B. *Engineer's Review:* After receipt of written notice as required by Paragraph 4.03.A, Engineer will promptly review the pertinent condition, determine the necessity of Owner's obtaining additional exploration or tests with respect thereto, and advise Owner in writing (with a copy to Contractor) of Engineer's findings and conclusions.

C. *Possible Price and Times Adjustments:*

1. The Contract Price or the Contract Times, or both, will be equitably adjusted to the extent that the existence of such differing subsurface or physical condition causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
  - a. such condition must meet any one or more of the categories described in Paragraph 4.03.A; and
  - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraphs 9.07 and 11.03.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times if:
  - a. Contractor knew of the existence of such conditions at the time Contractor made a final commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract; or
  - b. the existence of such condition could reasonably have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such final commitment; or
  - c. Contractor failed to give the written notice as required by Paragraph 4.03.A.
3. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, a Claim may be made therefor as provided in Paragraph 10.05. However, neither Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors shall be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

#### 4.04 *Underground Facilities*

A. *Shown or Indicated:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:

1. Owner and Engineer shall not be responsible for the accuracy or completeness of any such information or data provided by others; and
2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
  - a. reviewing and checking all such information and data;
  - b. locating all Underground Facilities shown or indicated in the Contract Documents;
  - c. coordination of the Work with the owners of such Underground Facilities, including Owner, during construction; and
  - d. the safety and protection of all such Underground Facilities and repairing any damage thereto resulting from the Work.

B. *Not Shown or Indicated:*

1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated with reasonable accuracy in the Contract Documents, Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer. Engineer will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
2. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price or Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not shown or indicated with reasonable accuracy in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 10.05.

#### 4.05 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

#### 4.06 *Hazardous Environmental Condition at Site*

- A. *Reports and Drawings:* The Supplementary Conditions identify those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at the Site.
- B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
  - 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
  - 3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work. Contractor shall be responsible for a Hazardous Environmental Condition created with any materials brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible.
- D. If Contractor encounters a Hazardous Environmental Condition or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, Contractor shall immediately: (i) secure or otherwise isolate such condition; (ii) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 6.16.A); and (iii) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to

permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 4.06.E.

- E. Contractor shall not be required to resume Work in connection with such condition or in any affected area until after Owner has obtained any required permits related thereto and delivered written notice to Contractor: (i) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work; or (ii) specifying any special conditions under which such Work may be resumed safely. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, either party may make a Claim therefor as provided in Paragraph 10.05.
- F. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of an adjustment in Contract Price or Contract Times as a result of deleting such portion of the Work, then either party may make a Claim therefor as provided in Paragraph 10.05. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 7.
- G. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition: (i) was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be included within the scope of the Work, and (ii) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.G shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- H. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.H shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- I. The provisions of Paragraphs 4.02, 4.03, and 4.04 do not apply to a Hazardous Environmental Condition uncovered or revealed at the Site.

## ARTICLE 5 – BONDS AND INSURANCE

### 5.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish performance and payment bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all of Contractor's obligations under the Contract Documents. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 13.07, whichever is later, except as provided otherwise by Laws or Regulations or by the Contract Documents. Contractor shall also furnish such other bonds as are required by the Contract Documents.
- B. All bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. All bonds signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed each bond.
- C. If the surety on any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of Paragraph 5.01.B, Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the requirements of Paragraphs 5.01.B and 5.02.

### 5.02 *Licensed Sureties and Insurers*

- A. All bonds and insurance required by the Contract Documents to be purchased and maintained by Owner or Contractor shall be obtained from surety or insurance companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds or insurance policies for the limits and coverages so required. Such surety and insurance companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary Conditions.

### 5.03 *Certificates of Insurance*

- A. Contractor shall deliver to Owner, with copies to each additional insured and loss payee identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Owner or any other additional insured) which Contractor is required to purchase and maintain.
- B. Owner shall deliver to Contractor, with copies to each additional insured and loss payee identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Contractor or any other additional insured) which Owner is required to purchase and maintain.

- C. Failure of Owner to demand such certificates or other evidence of Contractor's full compliance with these insurance requirements or failure of Owner to identify a deficiency in compliance from the evidence provided shall not be construed as a waiver of Contractor's obligation to maintain such insurance.
- D. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor.
- E. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner in the Contract Documents.

#### 5.04 *Contractor's Insurance*

- A. Contractor shall purchase and maintain such insurance as is appropriate for the Work being performed and as will provide protection from claims set forth below which may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable:
  - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts;
  - 2. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees;
  - 3. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees;
  - 4. claims for damages insured by reasonably available personal injury liability coverage which are sustained:
    - a. by any person as a result of an offense directly or indirectly related to the employment of such person by Contractor, or
    - b. by any other person for any other reason;
  - 5. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom; and
  - 6. claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.
- B. The policies of insurance required by this Paragraph 5.04 shall:
  - 1. with respect to insurance required by Paragraphs 5.04.A.3 through 5.04.A.6 inclusive, be written on an occurrence basis, include as additional insureds (subject to any customary exclusion regarding professional liability) Owner and Engineer, and any other individuals or entities identified in the Supplementary Conditions, all of whom shall be listed as additional insureds, and include coverage for the respective officers, directors, members, partners,

employees, agents, consultants, and subcontractors of each and any of all such additional insureds, and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby;

2. include at least the specific coverages and be written for not less than the limits of liability provided in the Supplementary Conditions or required by Laws or Regulations, whichever is greater;
3. include contractual liability insurance covering Contractor's indemnity obligations under Paragraphs 6.11 and 6.20;
4. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured identified in the Supplementary Conditions to whom a certificate of insurance has been issued (and the certificates of insurance furnished by the Contractor pursuant to Paragraph 5.03 will so provide);
5. remain in effect at least until final payment and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work in accordance with Paragraph 13.07; and
6. include completed operations coverage:
  - a. Such insurance shall remain in effect for two years after final payment.
  - b. Contractor shall furnish Owner and each other additional insured identified in the Supplementary Conditions, to whom a certificate of insurance has been issued, evidence satisfactory to Owner and any such additional insured of continuation of such insurance at final payment and one year thereafter.

#### 5.05 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 5.04, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.

#### 5.06 *Property Insurance*

- A. Unless otherwise provided in the Supplementary Conditions, Owner shall purchase and maintain property insurance upon the Work at the Site in the amount of the full replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
  1. include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of

them, each of whom is deemed to have an insurable interest and shall be listed as a loss payee;

2. be written on a Builder's Risk "all-risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage (other than that caused by flood), and such other perils or causes of loss as may be specifically required by the Supplementary Conditions.
  3. include expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects);
  4. cover materials and equipment stored at the Site or at another location that was agreed to in writing by Owner prior to being incorporated in the Work, provided that such materials and equipment have been included in an Application for Payment recommended by Engineer;
  5. allow for partial utilization of the Work by Owner;
  6. include testing and startup; and
  7. be maintained in effect until final payment is made unless otherwise agreed to in writing by Owner, Contractor, and Engineer with 30 days written notice to each other loss payee to whom a certificate of insurance has been issued.
- B. Owner shall purchase and maintain such equipment breakdown insurance or additional property insurance as may be required by the Supplementary Conditions or Laws and Regulations which will include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, each of whom is deemed to have an insurable interest and shall be listed as a loss payee.
- C. All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 5.06 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other loss payee to whom a certificate of insurance has been issued and will contain waiver provisions in accordance with Paragraph 5.07.
- D. Owner shall not be responsible for purchasing and maintaining any property insurance specified in this Paragraph 5.06 to protect the interests of Contractor, Subcontractors, or others in the Work to the extent of any deductible amounts that are identified in the Supplementary Conditions. The risk of loss within such identified deductible amount will be borne by Contractor, Subcontractors, or others suffering any such loss, and if any of them wishes property insurance coverage within the limits of such amounts, each may purchase and maintain it at the purchaser's own expense.

- E. If Contractor requests in writing that other special insurance be included in the property insurance policies provided under this Paragraph 5.06, Owner shall, if possible, include such insurance, and the cost thereof will be charged to Contractor by appropriate Change Order. Prior to commencement of the Work at the Site, Owner shall in writing advise Contractor whether or not such other insurance has been procured by Owner.

5.07 *Waiver of Rights*

- A. Owner and Contractor intend that all policies purchased in accordance with Paragraph 5.06 will protect Owner, Contractor, Subcontractors, and Engineer, and all other individuals or entities identified in the Supplementary Conditions as loss payees (and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them) in such policies and will provide primary coverage for all losses and damages caused by the perils or causes of loss covered thereby. All such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any of the insureds or loss payees thereunder. Owner and Contractor waive all rights against each other and their respective officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them for all losses and damages caused by, arising out of or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Subcontractors and Engineer, and all other individuals or entities identified in the Supplementary Conditions as loss payees (and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them) under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner as trustee or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them for:
1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
  2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial utilization pursuant to Paragraph 14.05, after Substantial Completion pursuant to Paragraph 14.04, or after final payment pursuant to Paragraph 14.07.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 5.07.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them.

5.08 *Receipt and Application of Insurance Proceeds*

- A. Any insured loss under the policies of insurance required by Paragraph 5.06 will be adjusted with Owner and made payable to Owner as fiduciary for the loss payees, as their interests may appear, subject to the requirements of any applicable mortgage clause and of Paragraph 5.08.B. Owner shall deposit in a separate account any money so received and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof, and the Work and the cost thereof covered by an appropriate Change Order.
- B. Owner as fiduciary shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within 15 days after the occurrence of loss to Owner's exercise of this power. If such objection be made, Owner as fiduciary shall make settlement with the insurers in accordance with such agreement as the parties in interest may reach. If no such agreement among the parties in interest is reached, Owner as fiduciary shall adjust and settle the loss with the insurers and, if required in writing by any party in interest, Owner as fiduciary shall give bond for the proper performance of such duties.

5.09 *Acceptance of Bonds and Insurance; Option to Replace*

- A. If either Owner or Contractor has any objection to the coverage afforded by or other provisions of the bonds or insurance required to be purchased and maintained by the other party in accordance with Article 5 on the basis of non-conformance with the Contract Documents, the objecting party shall so notify the other party in writing within 10 days after receipt of the certificates (or other evidence requested) required by Paragraph 2.01.B. Owner and Contractor shall each provide to the other such additional information in respect of insurance provided as the other may reasonably request. If either party does not purchase or maintain all of the bonds and insurance required of such party by the Contract Documents, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage. Without prejudice to any other right or remedy, the other party may elect to obtain equivalent bonds or insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and a Change Order shall be issued to adjust the Contract Price accordingly.

5.10 *Partial Utilization, Acknowledgment of Property Insurer*

- A. If Owner finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 14.05, no such use or occupancy shall commence before the insurers providing the property insurance pursuant to Paragraph 5.06 have acknowledged notice thereof and in writing effected any changes in coverage necessitated thereby. The insurers providing the property insurance shall consent by endorsement on the policy or policies, but the property insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy.

## ARTICLE 6 – CONTRACTOR’S RESPONSIBILITIES

### 6.01 *Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction. Contractor shall not be responsible for the negligence of Owner or Engineer in the design or specification of a specific means, method, technique, sequence, or procedure of construction which is shown or indicated in and expressly required by the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

### 6.02 *Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours. Contractor will not permit the performance of Work on a Saturday, Sunday, or any legal holiday without Owner’s written consent (which will not be unreasonably withheld) given after prior written notice to Engineer.

### 6.03 *Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start-up, and completion of the Work.
- B. All materials and equipment incorporated into the Work shall be as specified or, if not specified, shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

#### 6.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.07 as it may be adjusted from time to time as provided below.
1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.07) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times. Such adjustments will comply with any provisions of the General Requirements applicable thereto.
  2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 12. Adjustments in Contract Times may only be made by a Change Order.

#### 6.05 *Substitutes and "Or-Equals"*

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or-equal" item or no substitution is permitted, other items of material or equipment or material or equipment of other Suppliers may be submitted to Engineer for review under the circumstances described below.
1. *"Or-Equal" Items:* If in Engineer's sole discretion an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by Engineer as an "or-equal" item, in which case review and approval of the proposed item may, in Engineer's sole discretion, be accomplished without compliance with some or all of the requirements for approval of proposed substitute items. For the purposes of this Paragraph 6.05.A.1, a proposed item of material or equipment will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that:
      - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
      - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole; and
      - 3) it has a proven record of performance and availability of responsive service.
    - b. Contractor certifies that, if approved and incorporated into the Work:
      - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
      - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.

2. *Substitute Items:*

- a. If in Engineer's sole discretion an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item under Paragraph 6.05.A.1, it will be considered a proposed substitute item.
- b. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is essentially equivalent to that named and an acceptable substitute therefor. Requests for review of proposed substitute items of material or equipment will not be accepted by Engineer from anyone other than Contractor.
- c. The requirements for review by Engineer will be as set forth in Paragraph 6.05.A.2.d, as supplemented by the General Requirements, and as Engineer may decide is appropriate under the circumstances.
- d. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
  - 1) shall certify that the proposed substitute item will:
    - a) perform adequately the functions and achieve the results called for by the general design,
    - b) be similar in substance to that specified, and
    - c) be suited to the same use as that specified;
  - 2) will state:
    - a) the extent, if any, to which the use of the proposed substitute item will prejudice Contractor's achievement of Substantial Completion on time,
    - b) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
    - c) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty;
  - 3) will identify:
    - a) all variations of the proposed substitute item from that specified, and
    - b) available engineering, sales, maintenance, repair, and replacement services; and
  - 4) shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including costs of redesign and claims of other contractors affected by any resulting change.

- B. *Substitute Construction Methods or Procedures:* If a specific means, method, technique, sequence, or procedure of construction is expressly required by the Contract Documents, Contractor may furnish or utilize a substitute means, method, technique, sequence, or procedure of construction approved by Engineer. Contractor shall submit sufficient information to allow Engineer, in Engineer's sole discretion, to determine that the substitute proposed is equivalent to that expressly called for by the Contract Documents. The requirements for review by Engineer will be similar to those provided in Paragraph 6.05.A.2.
- C. *Engineer's Evaluation:* Engineer will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to Paragraphs 6.05.A and 6.05.B. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No "or equal" or substitute will be ordered, installed or utilized until Engineer's review is complete, which will be evidenced by a Change Order in the case of a substitute and an approved Shop Drawing for an "or equal." Engineer will advise Contractor in writing of any negative determination.
- D. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- E. *Engineer's Cost Reimbursement:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor pursuant to Paragraphs 6.05.A.2 and 6.05.B. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- F. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute or "or-equal" at Contractor's expense.

#### 6.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor shall not employ any Subcontractor, Supplier, or other individual or entity (including those acceptable to Owner as indicated in Paragraph 6.06.B), whether initially or as a replacement, against whom Owner may have reasonable objection. Contractor shall not be required to employ any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against whom Contractor has reasonable objection.
- B. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, or other individuals or entities to be submitted to Owner in advance for acceptance by Owner by a specified date prior to the Effective Date of the Agreement, and if Contractor has submitted a list thereof in accordance with the Supplementary Conditions, Owner's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the Bidding Documents or the Contract Documents) of any such Subcontractor, Supplier, or other individual or entity so identified may be revoked on the basis of reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity, and the Contract Price will be adjusted by the difference in the cost occasioned by such replacement, and an appropriate Change Order will be issued. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or

entity, whether initially or as a replacement, shall constitute a waiver of any right of Owner or Engineer to reject defective Work.

- C. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions. Nothing in the Contract Documents:
1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier or other individual or entity; nor
  2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.
- D. Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work under a direct or indirect contract with Contractor.
- E. Contractor shall require all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work to communicate with Engineer through Contractor.
- F. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- G. All Work performed for Contractor by a Subcontractor or Supplier will be pursuant to an appropriate agreement between Contractor and the Subcontractor or Supplier which specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer. Whenever any such agreement is with a Subcontractor or Supplier who is listed as a loss payee on the property insurance provided in Paragraph 5.06, the agreement between the Contractor and the Subcontractor or Supplier will contain provisions whereby the Subcontractor or Supplier waives all rights against Owner, Contractor, Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or loss payees (and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them) for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work. If the insurers on any such policies require separate waiver forms to be signed by any Subcontractor or Supplier, Contractor will obtain the same.

#### 6.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its

use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.

- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 6.08 *Permits*

- A. Unless otherwise provided in the Supplementary Conditions, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of opening of Bids, or, if there are no Bids, on the Effective Date of the Agreement. Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

#### 6.09 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work. However, it shall not be Contractor's responsibility to make certain that the Specifications and Drawings are in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Changes in Laws or Regulations not known at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids) having an effect on the cost or time of performance of the Work shall be the subject of an adjustment in Contract Price or Contract Times. If Owner

and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

#### 6.10 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

#### 6.11 *Use of Site and Other Areas*

##### A. *Limitation on Use of Site and Other Areas:*

1. Contractor shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site and other areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and other areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof, or of any adjacent land or areas resulting from the performance of the Work.
2. Should any claim be made by any such owner or occupant because of the performance of the Work, Contractor shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law.
3. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused by or based upon Contractor's performance of the Work.

B. *Removal of Debris During Performance of the Work:* During the progress of the Work Contractor shall keep the Site and other areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.

C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.

D. *Loading Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

## 6.12 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, and written interpretations and clarifications in good order and annotated to show changes made during construction. These record documents together with all approved Samples and a counterpart of all approved Shop Drawings will be available to Engineer for reference. Upon completion of the Work, these record documents, Samples, and Shop Drawings will be delivered to Engineer for Owner.

## 6.13 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify owners of adjacent property and of Underground Facilities and other utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 6.13.A.2 or 6.13.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts

any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).

- F. Contractor's duties and responsibilities for safety and for protection of the Work shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 14.07.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

#### 6.14 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

#### 6.15 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

#### 6.16 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

#### 6.17 *Shop Drawings and Samples*

- A. Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals (as required by Paragraph 2.07). Each submittal will be identified as Engineer may require.

##### 1. *Shop Drawings:*

- a. Submit number of copies specified in the General Requirements.
- b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 6.17.D.

##### 2. *Samples:*

- a. Submit number of Samples specified in the Specifications.

- b. Clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 6.17.D.
- B. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. *Submittal Procedures:*

1. Before submitting each Shop Drawing or Sample, Contractor shall have:
  - a. reviewed and coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
  - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
  - c. determined and verified the suitability of all materials offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
  - d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review and approval of that submittal.
3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be both a written communication separate from the Shop Drawings or Sample submittal; and, in addition, by a specific notation made on each Shop Drawing or Sample submitted to Engineer for review and approval of each such variation.

D. *Engineer's Review:*

1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction (except where a particular means, method, technique, sequence, or procedure of construction is specifically and expressly called for by the

Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.

3. Engineer's review and approval shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 6.17.C.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer's review and approval shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 6.17.C.1.

E. *Resubmittal Procedures:*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.

6.18 *Continuing the Work*

- A. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by Paragraph 15.04 or as Owner and Contractor may otherwise agree in writing.

6.19 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on representation of Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
  1. observations by Engineer;
  2. recommendation by Engineer or payment by Owner of any progress or final payment;

3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
4. use or occupancy of the Work or any part thereof by Owner;
5. any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice of acceptability by Engineer;
6. any inspection, test, or approval by others; or
7. any correction of defective Work by Owner.

#### 6.20 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable .
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 6.20.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 6.20.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
  1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
  2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

## 6.21 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable law.
- B. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.
- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this Paragraph 6.21, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 6.17.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria required by the Contract Documents.

## **ARTICLE 7 – OTHER WORK AT THE SITE**

### 7.01 *Related Work at Site*

- A. Owner may perform other work related to the Project at the Site with Owner's employees, or through other direct contracts therefor, or have other work performed by utility owners. If such other work is not noted in the Contract Documents, then:
  - 1. written notice thereof will be given to Contractor prior to starting any such other work; and
  - 2. if Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times that should be allowed as a result of such other work, a Claim may be made therefor as provided in Paragraph 10.05.
- B. Contractor shall afford each other contractor who is a party to such a direct contract, each utility owner, and Owner, if Owner is performing other work with Owner's employees, proper and safe

access to the Site, provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work, and properly coordinate the Work with theirs. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected. The duties and responsibilities of Contractor under this Paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of Contractor in said direct contracts between Owner and such utility owners and other contractors.

- C. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 7, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

#### 7.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work on the Project at the Site, the following will be set forth in Supplementary Conditions:
  - 1. the individual or entity who will have authority and responsibility for coordination of the activities among the various contractors will be identified;
  - 2. the specific matters to be covered by such authority and responsibility will be itemized; and
  - 3. the extent of such authority and responsibilities will be provided.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

#### 7.03 *Legal Relationships*

- A. Paragraphs 7.01.A and 7.02 are not applicable for utilities not under the control of Owner.
- B. Each other direct contract of Owner under Paragraph 7.01.A shall provide that the other contractor is liable to Owner and Contractor for the reasonable direct delay and disruption costs incurred by Contractor as a result of the other contractor's wrongful actions or inactions.
- C. Contractor shall be liable to Owner and any other contractor under direct contract to Owner for the reasonable direct delay and disruption costs incurred by such other contractor as a result of Contractor's wrongful action or inactions.

## ARTICLE 8 – OWNER’S RESPONSIBILITIES

### 8.01 *Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

### 8.02 *Replacement of Engineer*

- A. In case of termination of the employment of Engineer, Owner shall appoint an engineer to whom Contractor makes no reasonable objection, whose status under the Contract Documents shall be that of the former Engineer.

### 8.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

### 8.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in Paragraphs 14.02.C and 14.07.C.

### 8.05 *Lands and Easements; Reports and Tests*

- A. Owner’s duties with respect to providing lands and easements and providing engineering surveys to establish reference points are set forth in Paragraphs 4.01 and 4.05. Paragraph 4.02 refers to Owner’s identifying and making available to Contractor copies of reports of explorations and tests of subsurface conditions and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

### 8.06 *Insurance*

- A. Owner’s responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 5.

### 8.07 *Change Orders*

- A. Owner is obligated to execute Change Orders as indicated in Paragraph 10.03.

### 8.08 *Inspections, Tests, and Approvals*

- A. Owner’s responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 13.03.B.

### 8.09 *Limitations on Owner’s Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor’s means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws

and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

8.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 4.06.

8.11 *Evidence of Financial Arrangements*

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents.

8.12 *Compliance with Safety Program*

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed pursuant to Paragraph 6.13.D.

**ARTICLE 9 – ENGINEER'S STATUS DURING CONSTRUCTION**

9.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract Documents.

9.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 9.09. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

### 9.03 *Project Representative*

- A. If Owner and Engineer agree, Engineer will furnish a Resident Project Representative to assist Engineer in providing more extensive observation of the Work. The authority and responsibilities of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 9.09. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

### 9.04 *Authorized Variations in Work*

- A. Engineer may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These may be accomplished by a Field Order and will be binding on Owner and also on Contractor, who shall perform the Work involved promptly. If Owner or Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, and the parties are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

### 9.05 *Rejecting Defective Work*

- A. Engineer will have authority to reject Work which Engineer believes to be defective, or that Engineer believes will not produce a completed Project that conforms to the Contract Documents or that will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Engineer will also have authority to require special inspection or testing of the Work as provided in Paragraph 13.04, whether or not the Work is fabricated, installed, or completed.

### 9.06 *Shop Drawings, Change Orders and Payments*

- A. In connection with Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, see Paragraph 6.17.
- B. In connection with Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, see Paragraph 6.21.
- C. In connection with Engineer's authority as to Change Orders, see Articles 10, 11, and 12.
- D. In connection with Engineer's authority as to Applications for Payment, see Article 14.

### 9.07 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations

on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of Paragraph 10.05.

9.08 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. All matters in question and other matters between Owner and Contractor arising prior to the date final payment is due relating to the acceptability of the Work, and the interpretation of the requirements of the Contract Documents pertaining to the performance of the Work, will be referred initially to Engineer in writing within 30 days of the event giving rise to the question.
- B. Engineer will, with reasonable promptness, render a written decision on the issue referred. If Owner or Contractor believes that any such decision entitles them to an adjustment in the Contract Price or Contract Times or both, a Claim may be made under Paragraph 10.05. The date of Engineer's decision shall be the date of the event giving rise to the issues referenced for the purposes of Paragraph 10.05.B.
- C. Engineer's written decision on the issue referred will be final and binding on Owner and Contractor, subject to the provisions of Paragraph 10.05.
- D. When functioning as interpreter and judge under this Paragraph 9.08, Engineer will not show partiality to Owner or Contractor and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity.

9.09 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 9 or under any other provision of the Contract Documents nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 14.07.A will only be to determine generally that their content complies with the requirements of,

and in the case of certificates of inspections, tests, and approvals that the results certified indicate compliance with, the Contract Documents.

- E. The limitations upon authority and responsibility set forth in this Paragraph 9.09 shall also apply to the Resident Project Representative, if any, and assistants, if any.

#### 9.10 *Compliance with Safety Program*

- A. While at the Site, Engineer's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Engineer has been informed pursuant to Paragraph 6.13.D.

### **ARTICLE 10 – CHANGES IN THE WORK; CLAIMS**

#### 10.01 *Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work by a Change Order, or a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
- B. If Owner and Contractor are unable to agree on entitlement to, or on the amount or extent, if any, of an adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a Work Change Directive, a Claim may be made therefor as provided in Paragraph 10.05.

#### 10.02 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented as provided in Paragraph 3.04, except in the case of an emergency as provided in Paragraph 6.16 or in the case of uncovering Work as provided in Paragraph 13.04.D.

#### 10.03 *Execution of Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders recommended by Engineer covering:
  - 1. changes in the Work which are: (i) ordered by Owner pursuant to Paragraph 10.01.A, (ii) required because of acceptance of defective Work under Paragraph 13.08.A or Owner's correction of defective Work under Paragraph 13.09, or (iii) agreed to by the parties;
  - 2. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive; and
  - 3. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by Engineer pursuant to Paragraph 10.05; provided that, in lieu of

executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, Contractor shall carry on the Work and adhere to the Progress Schedule as provided in Paragraph 6.18.A.

#### 10.04 *Notification to Surety*

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

#### 10.05 *Claims*

- A. *Engineer's Decision Required:* All Claims, except those waived pursuant to Paragraph 14.09, shall be referred to the Engineer for decision. A decision by Engineer shall be required as a condition precedent to any exercise by Owner or Contractor of any rights or remedies either may otherwise have under the Contract Documents or by Laws and Regulations in respect of such Claims.
- B. *Notice:* Written notice stating the general nature of each Claim shall be delivered by the claimant to Engineer and the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto. The responsibility to substantiate a Claim shall rest with the party making the Claim. Notice of the amount or extent of the Claim, with supporting data shall be delivered to the Engineer and the other party to the Contract within 60 days after the start of such event (unless Engineer allows additional time for claimant to submit additional or more accurate data in support of such Claim). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of Paragraph 12.01.B. A Claim for an adjustment in Contract Times shall be prepared in accordance with the provisions of Paragraph 12.02.B. Each Claim shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to Engineer and the claimant within 30 days after receipt of the claimant's last submittal (unless Engineer allows additional time).
- C. *Engineer's Action:* Engineer will review each Claim and, within 30 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any, take one of the following actions in writing:
  - 1. deny the Claim in whole or in part;
  - 2. approve the Claim; or
  - 3. notify the parties that the Engineer is unable to resolve the Claim if, in the Engineer's sole discretion, it would be inappropriate for the Engineer to do so. For purposes of further resolution of the Claim, such notice shall be deemed a denial.
- D. In the event that Engineer does not take action on a Claim within said 30 days, the Claim shall be deemed denied.

- E. Engineer's written action under Paragraph 10.05.C or denial pursuant to Paragraphs 10.05.C.3 or 10.05.D will be final and binding upon Owner and Contractor, unless Owner or Contractor invoke the dispute resolution procedure set forth in Article 16 within 30 days of such action or denial.
- F. No Claim for an adjustment in Contract Price or Contract Times will be valid if not submitted in accordance with this Paragraph 10.05.

## **ARTICLE 11 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK**

### *11.01 Cost of the Work*

- A. *Costs Included:* The term Cost of the Work means the sum of all costs, except those excluded in Paragraph 11.01.B, necessarily incurred and paid by Contractor in the proper performance of the Work. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, the costs to be reimbursed to Contractor will be only those additional or incremental costs required because of the change in the Work or because of the event giving rise to the Claim. Except as otherwise may be agreed to in writing by Owner, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 11.01.B, and shall include only the following items:
  - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.
  - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
  - 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 11.01.

4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
  - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
  - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
  - c. Rentals of all construction equipment and machinery, and the parts thereof whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
  - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
  - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
  - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 5.06.D), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
  - g. The cost of utilities, fuel, and sanitary facilities at the Site.
  - h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the Site, express and courier services, and similar petty cash items in connection with the Work.
  - i. The costs of premiums for all bonds and insurance Contractor is required by the Contract Documents to purchase and maintain.

B. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:

1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 11.01.A.1 or specifically covered by Paragraph 11.01.A.4, all of which are to be considered administrative costs covered by the Contractor's fee.
  2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraphs 11.01.A.
- C. *Contractor's Fee:* When all the Work is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 12.01.C.
- D. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to Paragraphs 11.01.A and 11.01.B, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

## 11.02 Allowances

- A. Allowances are not being used on this project.

## 11.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by Contractor will be made by Engineer subject to the provisions of Paragraph 9.07.

- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Owner or Contractor may make a Claim for an adjustment in the Contract Price in accordance with Paragraph 10.05 if:
  - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
  - 2. there is no corresponding adjustment with respect to any other item of Work; and
  - 3. Contractor believes that Contractor is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price and the parties are unable to agree as to the amount of any such increase or decrease.

## **ARTICLE 12 – CHANGE OF CONTRACT PRICE; CHANGE OF CONTRACT TIMES**

### **12.01 *Change of Contract Price***

- A. The Contract Price may only be changed by a Change Order. Any Claim for an adjustment in the Contract Price shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- B. The value of any Work covered by a Change Order or of any Claim for an adjustment in the Contract Price will be determined as follows:
  - 1. where the Work involved is covered by unit prices contained in the Contract Documents, by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 11.03); or
  - 2. where the Work involved is not covered by unit prices contained in the Contract Documents, by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 12.01.C.2); or
  - 3. where the Work involved is not covered by unit prices contained in the Contract Documents and agreement to a lump sum is not reached under Paragraph 12.01.B.2, on the basis of the Cost of the Work (determined as provided in Paragraph 11.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 12.01.C).
- C. *Contractor's Fee:* The Contractor's fee for overhead and profit shall be determined as follows:
  - 1. a mutually acceptable fixed fee; or
  - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. for costs incurred under Paragraphs 11.01.A.1 and 11.01.A.2, the Contractor's fee shall be 15 percent;

- b. for costs incurred under Paragraph 11.01.A.3, the Contractor's fee shall be five percent;
- c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 12.01.C.2.a and 12.01.C.2.b is that the Subcontractor who actually performs the Work, at whatever tier, will be paid a fee of 15 percent of the costs incurred by such Subcontractor under Paragraphs 11.01.A.1 and 11.01.A.2 and that any higher tier Subcontractor and Contractor will each be paid a fee of five percent of the amount paid to the next lower tier Subcontractor;
- d. no fee shall be payable on the basis of costs itemized under Paragraphs 11.01.A.4, 11.01.A.5, and 11.01.B;
- e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 12.01.C.2.a through 12.01.C.2.e, inclusive.

#### 12.02 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Claim for an adjustment in the Contract Times shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- C. Any adjustment of the Contract Times covered by a Change Order or any Claim for an adjustment in the Contract Times will be determined in accordance with the provisions of this Article 12.

#### 12.03 *Delays*

- A. Where Contractor is prevented from completing any part of the Work within the Contract Times due to delay beyond the control of Contractor, the Contract Times will be extended in an amount equal to the time lost due to such delay if a Claim is made therefor as provided in Paragraph 12.02.A. Delays beyond the control of Contractor shall include, but not be limited to, acts or neglect by Owner, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, abnormal weather conditions, or acts of God.
- B. If Owner, Engineer, or other contractors or utility owners performing other work for Owner as contemplated by Article 7, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.

- C. If Contractor is delayed in the performance or progress of the Work by fire, flood, epidemic, abnormal weather conditions, acts of God, acts or failures to act of utility owners not under the control of Owner, or other causes not the fault of and beyond control of Owner and Contractor, then Contractor shall be entitled to an equitable adjustment in Contract Times, if such adjustment is essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays described in this Paragraph 12.03.C.
- D. Owner, Engineer, and their officers, directors, members, partners, employees, agents, consultants, or subcontractors shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.
- E. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delays within the control of Contractor. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of Contractor.

## **ARTICLE 13 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK**

### *13.01 Notice of Defects*

- A. Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor. Defective Work may be rejected, corrected, or accepted as provided in this Article 13.

### *13.02 Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and governmental agencies with jurisdictional interests will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

### *13.03 Tests and Inspections*

- A. Contractor shall give Engineer timely notice of readiness of the Work for all required inspections, tests, or approvals and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. Owner shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:
  - 1. for inspections, tests, or approvals covered by Paragraphs 13.03.C and 13.03.D below;
  - 2. that costs incurred in connection with tests or inspections conducted pursuant to Paragraph 13.04.B shall be paid as provided in Paragraph 13.04.C; and

3. as otherwise specifically provided in the Contract Documents.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work; or acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work. Such inspections, tests, or approvals shall be performed by organizations acceptable to Owner and Engineer.
- E. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation.
- F. Uncovering Work as provided in Paragraph 13.03.E shall be at Contractor's expense unless Contractor has given Engineer timely notice of Contractor's intention to cover the same and Engineer has not acted with reasonable promptness in response to such notice.

#### 13.04 *Uncovering Work*

- A. If any Work is covered contrary to the written request of Engineer, it must, if requested by Engineer, be uncovered for Engineer's observation and replaced at Contractor's expense.
- B. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, furnishing all necessary labor, material, and equipment.
- C. If it is found that the uncovered Work is defective, Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05.
- D. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

### 13.05 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

### 13.06 *Correction or Removal of Defective Work*

- A. Promptly after receipt of written notice, Contractor shall correct all defective Work, whether or not fabricated, installed, or completed, or, if the Work has been rejected by Engineer, remove it from the Project and replace it with Work that is not defective. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or removal (including but not limited to all costs of repair or replacement of work of others).
- B. When correcting defective Work under the terms of this Paragraph 13.06 or Paragraph 13.07, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.

### 13.07 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents) or by any specific provision of the Contract Documents, any Work is found to be defective, or if the repair of any damages to the land or areas made available for Contractor's use by Owner or permitted by Laws and Regulations as contemplated in Paragraph 6.11.A is found to be defective, Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. repair such defective land or areas; or
  - 2. correct such defective Work; or
  - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others or other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute

resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others) will be paid by Contractor.

- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this Paragraph 13.07, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this Paragraph 13.07 are in addition to any other obligation or warranty. The provisions of this Paragraph 13.07 shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

#### 13.08 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner (and, prior to Engineer's recommendation of final payment, Engineer) prefers to accept it, Owner may do so. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness) and for the diminished value of the Work to the extent not otherwise paid by Contractor pursuant to this sentence. If any such acceptance occurs prior to Engineer's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work, and Owner shall be entitled to an appropriate decrease in the Contract Price, reflecting the diminished value of Work so accepted. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05. If the acceptance occurs after such recommendation, an appropriate amount will be paid by Contractor to Owner.

#### 13.09 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer in accordance with Paragraph 13.06.A, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, Owner may, after seven days written notice to Contractor, correct, or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 13.09, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, take possession of Contractor's tools, appliances, construction equipment and machinery at the Site, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored

elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this Paragraph.

- C. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 13.09 will be charged against Contractor, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, Owner may make a Claim therefor as provided in Paragraph 10.05. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 13.09.

## **ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COMPLETION**

### *14.01 Schedule of Values*

- A. The Schedule of Values established as provided in Paragraph 2.07.A will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed.

### *14.02 Progress Payments*

#### *A. Applications for Payments:*

1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens and evidence that the materials and equipment are covered by appropriate property insurance or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.

3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

B. *Review of Applications:*

1. Engineer will, within 10 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to Owner or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
  - a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 9.07, and any other qualifications stated in the recommendation); and
  - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract Documents; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work, or
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or

- c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
  - d. to make any examination to ascertain how or for what purposes Contractor has used the moneys paid on account of the Contract Price, or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 14.02.B.2. Engineer may also refuse to recommend any such payment or, because of subsequently discovered evidence or the results of subsequent inspections or tests, revise or revoke any such payment recommendation previously made, to such extent as may be necessary in Engineer's opinion to protect Owner from loss because:
- a. the Work is defective, or completed Work has been damaged, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work or complete Work in accordance with Paragraph 13.09; or
  - d. Engineer has actual knowledge of the occurrence of any of the events enumerated in Paragraph 15.02.A.

*C. Payment Becomes Due:*

- 1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended will (subject to the provisions of Paragraph 14.02.D) become due, and when due will be paid by Owner to Contractor.

*D. Reduction in Payment:*

- 1. Owner may refuse to make payment of the full amount recommended by Engineer because:
  - a. claims have been made against Owner on account of Contractor's performance or furnishing of the Work;
  - b. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
  - c. there are other items entitling Owner to a set-off against the amount recommended; or
  - d. Owner has actual knowledge of the occurrence of any of the events enumerated in Paragraphs 14.02.B.5.a through 14.02.B.5.c or Paragraph 15.02.A.

2. If Owner refuses to make payment of the full amount recommended by Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, when Contractor remedies the reasons for such action.
3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 14.02.C.1 and subject to interest as provided in the Agreement.

#### 14.03 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to Owner no later than the time of payment free and clear of all Liens.

#### 14.04 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete (except for items specifically listed by Contractor as incomplete) and request that Engineer issue a certificate of Substantial Completion.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the tentative certificate during which to make written objection to Engineer as to any provisions of the certificate or attached list. If, after considering such objections, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the tentative certificate to Owner, notify Contractor in writing, stating the reasons therefor. If, after consideration of Owner's objections, Engineer considers the Work substantially complete, Engineer will, within said 14 days, execute and deliver to Owner and Contractor a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of delivery of the tentative certificate of Substantial Completion, Engineer will deliver to Owner and Contractor a written recommendation as to division of responsibilities pending final payment between Owner and Contractor with respect to security, operation, safety, and protection of the Work, maintenance, heat, utilities, insurance, and warranties and guarantees. Unless Owner and Contractor agree otherwise in writing and so inform Engineer in writing prior to Engineer's issuing the definitive certificate of Substantial Completion,

Engineer's aforesaid recommendation will be binding on Owner and Contractor until final payment.

- E. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the tentative list.

#### 14.05 *Partial Utilization*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
  - 1. Owner at any time may request Contractor in writing to permit Owner to use or occupy any such part of the Work which Owner believes to be ready for its intended use and substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 14.04.A through D for that part of the Work.
  - 2. Contractor at any time may notify Owner and Engineer in writing that Contractor considers any such part of the Work ready for its intended use and substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
  - 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 14.04 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
  - 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 5.10 regarding property insurance.

#### 14.06 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

## 14.07 *Final Payment*

### A. *Application for Payment:*

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, marked-up record documents (as provided in Paragraph 6.12), and other documents, Contractor may make application for final payment following the procedure for progress payments.
2. The final Application for Payment shall be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents, including but not limited to the evidence of insurance required by Paragraph 5.04.B.6;
  - b. consent of the surety, if any, to final payment;
  - c. a list of all Claims against Owner that Contractor believes are unsettled; and
  - d. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of or Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 14.07.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (i) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (ii) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien.

### B. *Engineer's Review of Application and Acceptance:*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract Documents have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of payment and present the Application for Payment to Owner for payment. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable subject to the provisions of Paragraph 14.09. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

### C. *Payment Becomes Due:*

1. Thirty days after the presentation to Owner of the Application for Payment and accompanying documentation, the amount recommended by Engineer, less any sum Owner is entitled to set off against Engineer's recommendation, including but not limited to liquidated damages, will become due and will be paid by Owner to Contractor.

#### 14.08 *Final Completion Delayed*

- A. If, through no fault of Contractor, final completion of the Work is significantly delayed, and if Engineer so confirms, Owner shall, upon receipt of Contractor's final Application for Payment (for Work fully completed and accepted) and recommendation of Engineer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by Owner for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if bonds have been furnished as required in Paragraph 5.01, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by Contractor to Engineer with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

#### 14.09 *Waiver of Claims*

- A. The making and acceptance of final payment will constitute:
  1. a waiver of all Claims by Owner against Contractor, except Claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 14.06, from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from Contractor's continuing obligations under the Contract Documents; and
  2. a waiver of all Claims by Contractor against Owner other than those previously made in accordance with the requirements herein and expressly acknowledged by Owner in writing as still unsettled.

### **ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION**

#### 15.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by notice in writing to Contractor and Engineer which will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be granted an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension if Contractor makes a Claim therefor as provided in Paragraph 10.05.

#### 15.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will justify termination for cause:

1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule established under Paragraph 2.07 as adjusted from time to time pursuant to Paragraph 6.04);
  2. Contractor's disregard of Laws or Regulations of any public body having jurisdiction;
  3. Contractor's repeated disregard of the authority of Engineer; or
  4. Contractor's violation in any substantial way of any provisions of the Contract Documents.
- B. If one or more of the events identified in Paragraph 15.02.A occur, Owner may, after giving Contractor (and surety) seven days written notice of its intent to terminate the services of Contractor:
1. exclude Contractor from the Site, and take possession of the Work and of all Contractor's tools, appliances, construction equipment, and machinery at the Site, and use the same to the full extent they could be used by Contractor (without liability to Contractor for trespass or conversion);
  2. incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere; and
  3. complete the Work as Owner may deem expedient.
- C. If Owner proceeds as provided in Paragraph 15.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Owner arising out of or relating to completing the Work, such excess will be paid to Contractor. If such claims, costs, losses, and damages exceed such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this Paragraph, Owner shall not be required to obtain the lowest price for the Work performed.
- D. Notwithstanding Paragraphs 15.02.B and 15.02.C, Contractor's services will not be terminated if Contractor begins within seven days of receipt of notice of intent to terminate to correct its failure to perform and proceeds diligently to cure such failure within no more than 30 days of receipt of said notice.
- E. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue. Any retention or payment of moneys due Contractor by Owner will not release Contractor from liability.

- F. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 5.01.A, the termination procedures of that bond shall supersede the provisions of Paragraphs 15.02.B and 15.02.C.

#### 15.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses;
  3. all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred in settlement of terminated contracts with Subcontractors, Suppliers, and others; and
  4. reasonable expenses directly attributable to termination.
- B. Contractor shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

#### 15.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (i) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (ii) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (iii) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the Contract and recover from Owner payment on the same terms as provided in Paragraph 15.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this Paragraph 15.04 are not intended to preclude Contractor from making a Claim under Paragraph 10.05 for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this Paragraph.

## **ARTICLE 16 – DISPUTE RESOLUTION**

### *16.01 Methods and Procedures*

- A. Either Owner or Contractor may request mediation of any Claim submitted to Engineer for a decision under Paragraph 10.05 before such decision becomes final and binding. The mediation will be governed by the Construction Industry Mediation Rules of the American Arbitration Association in effect as of the Effective Date of the Agreement. The request for mediation shall be submitted in writing to the American Arbitration Association and the other party to the Contract. Timely submission of the request shall stay the effect of Paragraph 10.05.E.
- B. Owner and Contractor shall participate in the mediation process in good faith. The process shall be concluded within 60 days of filing of the request. The date of termination of the mediation shall be determined by application of the mediation rules referenced above.
- C. If the Claim is not resolved by mediation, Engineer's action under Paragraph 10.05.C or a denial pursuant to Paragraphs 10.05.C.3 or 10.05.D shall become final and binding 30 days after termination of the mediation unless, within that time period, Owner or Contractor:
  - 1. elects in writing to invoke any dispute resolution process provided for in the Supplementary Conditions; or
  - 2. agrees with the other party to submit the Claim to another dispute resolution process; or
  - 3. gives written notice to the other party of the intent to submit the Claim to a court of competent jurisdiction.

## **ARTICLE 17 – MISCELLANEOUS**

### *17.01 Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
  - 1. delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended; or
  - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

### *17.02 Computation of Times*

- A. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

17.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract Documents. The provisions of this Paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

17.04 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

17.05 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

17.06 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

## **SUPPLEMENTARY CONDITIONS**

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract EJCDC (2007 Edition) and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

### **ARTICLE SC-2 - PRELIMINARY MATTERS**

SC - 2.02.A. Amend the first sentence of Paragraph 2.02.A. of the General Conditions to read as follows: "Owner shall furnish to Contractor PDF's of the Contract Documents." and so amended Paragraph 2.02.A. remains in effect.

SC - 2.03.A. Delete paragraph 2.03.A. in its entirety and insert the following in its place:

"The Contract Times will commence to run on the day indicated on the Notice To Proceed. A Notice To Proceed may be given at any time within 30 days after the Effective Date of the Agreement."

### **ARTICLE SC-4 - AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; REFERENCE POINTS**

SC - 4.02.A.1. Amend Paragraph 4.02.A.1., to include the addition of the following: "No subsurface investigation has been performed for the project.

SC - 4.06.A. Amend paragraph 4.06.A., to include the addition of the following: "No drawings or reports relative to hazardous environmental conditions identified at the project sites have been utilized by the Engineer/Landscape Architect in preparing the Contract Documents. Project is on the Harnett County Sheriff's Office and Detention Center property located at 175 Bain St, Lillington, NC. Must comply with Harnett County Sheriff for access and time to work"

### **ARTICLE SC-5 - BONDS AND INSURANCE**

SC - 5.04.C. Amend the limits of liability for the insurance required by Paragraph 5.04. of the General Conditions shall include providing for the following coverages for not less than the following amounts or greater where required by Laws and Regulations:

1. Worker's Compensation, and related coverages under paragraphs 5.04.A.1. and A.2. of the General Conditions:
  - a. State: Statutory
  - b. Applicable Federal (e.g. Longshoremen's) Statutory
  - c. Employer's Liability \$500,000
  
2. Contractor's General Liability under paragraphs 5.04.A.3. and A.6. of the General Conditions which shall include completed operations and product liability coverages and eliminate the exclusion with respect to property under the care, custody, and control of the Contractor:

- a. General Aggregate  
\$2,000,000
  - b. Products – Completed Operations Aggregate  
\$1,000,000
  - c. Personal and Advertising Injury  
\$1,000,000
  - d. Each Occurrence (Bodily Injury and Property Damage)  
\$1,000,000
  - e. Property Damage Liability ( insurance will provide  
Explosion, Collapse, and underground coverages  
where applicable)
  - f. Excess or Umbrella Liability
    - 1.) General Aggregate  
\$5,000,000
    - 2.) Each Occurrence  
\$5,000,000
3. Automobile Liability under paragraph 5.40.A.6. of the General Conditions:
- a. Combined Single Limit of  
\$1,000,000
4. The Contractual Liability coverage required by paragraph 5.04.B.4. of the General Conditions shall be provided by the Contractor as part of the Contractor’s General Liability coverage.”

**ARTICLE SC-6 – CONTRACTOR’S RESPONSIBILITIES**

SC – 6.06. Amend Paragraph 6.06 to include “The Contractor shall not award work valued at more than fifty (50%) percent of the Contract Price to Subcontractor(s), without prior written approval of the Owner.”

SC-6.17. Amend Paragraph 6.17 to include Contractor shall furnish required submittals with sufficient information and accuracy in order to obtain required approval of an item with no more than three submittals. Engineer/Landscape Architect will record Engineer/Landscape Architect’s time for reviewing subsequent submittals of Shop Drawings, samples, or other items requiring approval and Contractor shall reimburse Owner for Engineer’s charges for such time.

A. In the event that Contractor requests a change of a previously approved item, Contractor shall reimburse Owner for Engineer/Landscape Architect’s charges for its review time unless the need for such change is beyond the control of Contractor.

**ARTICLE SC-7 - OTHER WORK**

SC-7.02. Delete paragraph 7.02.B in its entirety and replace with the following “The Contractor shall have the authority and be responsible for coordination of the activities among the other prime contractors and subcontractors on the Site to ensure a safe, efficient working environment. This authority covers scheduling delivery of materials, storage of materials, sequencing of construction involving different crafts, resolving interface issues between crafts, scheduling testing, and all other aspects of the Work that do not impact the design or function of the Work.”

**ARTICLE SC-9 – ENGINEER/LANDSCAPE ARCHITECT’S STATUS DURING CONSTRUCTION**

SC-9.03 Amend Paragraph 9.03 to include the following: The Resident Project Representative (RPR) will be Engineer/ Landscape Architect 's employee or agent at the Site, will act as directed by and under the supervision of Engineer/ Landscape Architect, and will confer with Engineer/ Landscape Architect regarding RPR's actions. RPR's dealings in matters pertaining to the Work in general shall be with Engineer/ Landscape Architect and Contractor. RPR's dealings with Subcontractors shall be through or with the full knowledge and approval of Contractor. The RPR shall:

1. *Schedules*: Review the progress schedule, schedule of Shop Drawing and Sample submittals, and schedule of values prepared by Contractor and consult with Engineer/ Landscape Architect concerning acceptability.
2. *Conferences and Meetings*: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences and other project-related meetings, and prepare and circulate copies of minutes thereof.
3. *Liaison*:
  - a. Serve as Engineer/ Landscape Architect’s liaison with Contractor, working principally through Contractor’s authorized representative, assist in providing information regarding the intent of the Contract Documents.
  - b. Assist Engineer/ Landscape Architect in serving as Owner’s liaison with Contractor when Contractor’s operations affect Owner’s on-Site operations.
  - c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.
4. *Interpretation of Contract Documents*: Report to Engineer/ Landscape Architect when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer/

Landscape Architect.

5. *Shop Drawings and Samples:*
  - a. Record date of receipt of Samples and approved Shop Drawings.
  - b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer/ Landscape Architect of availability of Samples for examination.
6. *Modifications:* Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR's recommendations, to Engineer/ Landscape Architect. Transmit to Contractor in writing decisions as issued by Engineer/ Landscape Architect.
7. *Review of Work and Rejection of Defective Work:*
  - a. Conduct on-Site observations of Contractor's work in progress to assist Engineer/ Landscape Architect in determining if the Work is in general proceeding in accordance with the Contract Documents.
  - b. Report to Engineer/ Landscape Architect whenever RPR believes that any part of Contractor's work in progress will not produce a completed Project that conforms generally to the Contract Documents or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer/ Landscape Architect of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection or approval.
8. *Inspections, Tests, and System Startups:*
  - a. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.
  - b. Observe, record, and report to Engineer/ Landscape Architect appropriate details relative to the test procedures and systems start-ups.
9. *Records:*

- a. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
- b. Maintain records for use in preparing Project documentation.

10. *Reports:*

- a. Furnish to Engineer/ Landscape Architect periodic reports as required of progress of the Work and of Contractor's compliance with the progress schedule and schedule of Shop Drawing and Sample submittals.
- b. Draft and recommend to Engineer/ Landscape Architect proposed Change Orders, Work Change Directives, and Field Orders. Obtain backup material from Contractor.
- c. Immediately notify Engineer/ Landscape Architect of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, damage to property by fire or other causes, or the discovery of any Hazardous Environmental Condition.

11. *Payment Requests:* Review Applications for Payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer/ Landscape Architect, noting particularly the relationship of the payment requested to the schedule of values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

12. *Certificates, Operation and Maintenance Manuals:* During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Specifications to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer/ Landscape Architect for review and forwarding to Owner prior to payment for that part of the Work.

13. *Completion:*

- a. Participate in a Substantial Completion inspection, assist in the determination of Substantial Completion and the preparation of lists of items to be completed or corrected.
- b. Participate in a final inspection in the company of Engineer/

Landscape Architect, Owner, and Contractor and prepare a final list of items to be completed and deficiencies to be remedied.

- c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer/ Landscape Architect concerning acceptance and issuance of the Notice of Acceptability of the Work.

C. The RPR shall not:

1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including “or-equal” items).
2. Exceed limitations of Engineer/ Landscape Architect’s authority as set forth in the Contract Documents.
3. Undertake any of the responsibilities of Contractor, Subcontractors, Suppliers, or Contractor’s superintendent.
4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor’s work unless such advice or directions are specifically required by the Contract Documents.
5. Advise on, issue directions regarding, or assume control over safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer/ Landscape Architect.
7. Accept Shop Drawing or Sample submittals from anyone other than Contractor.
8. Authorize Owner to occupy the Project in whole or in part.

**ARTICLE SC-12-CHANGE OF CONTRACT PRICE; CHANGE OF CONTRACT TIMES**

SC- 12.03 Amend Paragraph 12.03 to include the following: Contract Time extensions for adverse weather delays shall be granted according to the number of days when precipitation exceeds the historical average number of rain events of 0.1 inches rainfall or greater, as established by the US Army Corps of Engineers. The evaluation of weather will be based on the total number of such days over the entire Contract Time. In addition, time extensions for “impact” days may also be granted if the Contractor can demonstrate that his work plans or production were materially impacted by precipitation which occurred on a previous day. To be considered, the Contractor shall submit detailed documentation relative to each individual

“impact” day.”

1. *Required Documentation:* Contractor to install and maintain a rain gauge on site. Contractor to keep a daily log of rain totals that can be made available anytime upon request by the Owner during the course of the project.

**PROCEDURE FOR REPORTING NORTH CAROLINA  
SALES TAX EXPENDITURE**

1. The following procedure in handling the North Carolina Sales Tax is applicable to this project. Contractors shall comply fully with the requirements outlines hereinafter, in order that the Owner may recover the amount of the tax permitted under the law.
- 2.1 It shall be the Contractor's responsibility to furnish the Owner documentary evidence showing the materials used and sales tax paid by the Contractor and each of his subcontractors.
- 2.2 The documentary evidence shall consist of a certified statement, by the Contractor and each of his subcontractors individually, showing total purchases of materials from each separate vendor and total sales taxes paid each vendor. The certified statement must show the invoice number, or numbers, covered and inclusive dates of such invoices.
- 2.3 Materials used from Contractor's or subcontractor's warehouse stock shall be shown in a certified statement at warehouse stock prices.
- 2.4 The Contractor shall not be required to certify the subcontractor's statements.
- 2.5 The documentary evidence to be furnished to Owners eligible for sales or use tax refunds covers sales and/or use taxes paid on building materials used by Contractors and subcontractors in the performance of Contracts with churches, orphanages, hospitals not operated for profits, educational institutions not operated for profit and other charitable or religious institutions or organizations not operated for profit and incorporated cities, towns and counties in this State. The documentary evidence is to be submitted to the above-named institutions, organizations, and governmental units to be included in claims for refunds provided by G.S. 105.164.14 and is to include the purchases of building materials, supplies, fixtures and equipment which become a part of or annexed to buildings or structures being erected, altered or repaired under the contracts with such institutions, organizations or governmental units.
3. The Contractor to whom an award is made on this project will be required to follow the procedure outlined above.
4. The Contractor is advised that all requests for payment, partial or final, for work completed under this Contract must include a sales tax report submitted in accordance with the procedures outlined above.

# Change Order

No. \_\_\_\_\_

Date of Issuance: \_\_\_\_\_ Effective Date: \_\_\_\_\_

Project:	Owner:	Owner's Contract No.:
Contract:	Date of Contract:	
Contractor:	Engineer's Project No.:	

**The Contract Documents are modified as follows upon execution of this Change Order:**

Description: \_\_\_\_\_  
\_\_\_\_\_

**Attachments (list documents supporting change):**

\_\_\_\_\_

**CHANGE IN CONTRACT PRICE:**

**CHANGE IN CONTRACT TIMES:**

Original Contract Price:  
\$ \_\_\_\_\_

Original Contract Times:  Working  Calendar days  
Substantial completion (days or date): \_\_\_\_\_  
Ready for final payment (days or date): \_\_\_\_\_

[Increase] [Decrease] from previously approved Change Orders No. \_\_\_\_\_ to No. \_\_\_\_\_  
\$ \_\_\_\_\_

[Increase] [Decrease] from previously approved Change Orders No. \_\_\_\_\_ to No. \_\_\_\_\_:  
Substantial completion (days): \_\_\_\_\_  
Ready for final payment (days): \_\_\_\_\_

Contract Price prior to this Change Order:  
\$ \_\_\_\_\_

Contract Times prior to this Change Order:  
Substantial completion (days or date): \_\_\_\_\_  
Ready for final payment (days or date): \_\_\_\_\_

[Increase] [Decrease] of this Change Order:  
\$ \_\_\_\_\_

[Increase] [Decrease] of this Change Order:  
Substantial completion (days or date): \_\_\_\_\_  
Ready for final payment (days or date): \_\_\_\_\_

Contract Price incorporating this Change  
\$ \_\_\_\_\_

Contract Times with all approved Change Orders:  
Substantial completion (days or date): \_\_\_\_\_  
Ready for final payment (days or date): \_\_\_\_\_

**RECOMMENDED:**

**ACCEPTED:**

**ACCEPTED:**

By: \_\_\_\_\_  
Engineer (Authorized Signature)

By: \_\_\_\_\_  
Owner (Authorized Signature)

By: \_\_\_\_\_  
Contractor (Authorized Signature)

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Approved by Funding Agency (if applicable):

\_\_\_\_\_

Date: \_\_\_\_\_

# Change Order

## Instructions

### A. GENERAL INFORMATION

This document was developed to provide a uniform format for handling contract changes that affect Contract Price or Contract Times. Changes that have been initiated by a Work Change Directive must be incorporated into a subsequent Change Order if they affect Price or Times.

Changes that affect Contract Price or Contract Times should be promptly covered by a Change Order. The practice of accumulating Change Orders to reduce the administrative burden may lead to unnecessary disputes.

If Milestones have been listed in the Agreement, any effect of a Change Order thereon should be addressed.

For supplemental instructions and minor changes not involving a change in the Contract Price or Contract Times, a Field Order should be used.

### B. COMPLETING THE CHANGE ORDER FORM

Engineer normally initiates the form, including a description of the changes involved and attachments based upon documents and proposals submitted by Contractor, or requests from Owner, or both.

Once Engineer has completed and signed the form, all copies should be sent to Owner or Contractor for approval, depending on whether the Change Order is a true order to the Contractor or the formalization of a negotiated agreement for a previously performed change. After approval by one contracting party, all copies should be sent to the other party for approval. Engineer should make distribution of executed copies after approval by both parties.

If a change only applies to price or to times, cross out the part of the tabulation that does not apply.

# Certificate of Substantial Completion

Project: Harnett County Sheriff's Office & Detention Center Generator

Owner: Harnett County, NC

Owner's Contract No.:

Contract: Neill's Creek Park Tennis Courts

Engineer/LA's Project No.:  
50190536

**This [tentative] [definitive] Certificate of Substantial Completion applies to:**

- All Work under the Contract Documents:       The following specified portions of the Work:

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\_\_\_\_\_  
Date of Substantial Completion

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer/ Landscape Architect, and found to be substantially complete. The Date of Substantial Completion of the Project or portion thereof designated above is hereby declared and is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below.

A (tentative) (definitive) list of items to be completed or corrected is attached hereto. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

**The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance and warranties shall be as provided in the Contract Documents except as amended as follows:**

- Amended Responsibilities                       Not Amended

Owner's Amended Responsibilities:

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Contractor's Amended Responsibilities:

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The following documents are attached to and made part of this Certificate:

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This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract Documents.

\_\_\_\_\_  
Executed by Engineer/Landscape Architect      Date \_\_\_\_\_

\_\_\_\_\_  
Accepted by Contractor      Date \_\_\_\_\_

\_\_\_\_\_  
Accepted by Owner      Date \_\_\_\_\_

## SECTION 321313 - CONCRETE PAVING

### PART 1 - GENERAL

#### 1.1 SCOPE:

##### A. Work included in this section:

1. Concrete curb and gutter
2. Reinforced Concrete paving (Service, Fire Lanes and Emergency Access Areas)

##### B. Layout:

1. See details and dimensions on the drawings.
2. Thickness of paving is noted or detailed on the drawings.
3. All edges and lines shall be smooth and continuous with no jogs or form joint breaks visible.

#### 1.2 References - American Society for Testing & Materials (ASTM)

#### 1.3 Codes and Standards: Comply with applicable provisions of ACI 301 "Specifications for Structure Concrete Buildings", ACI 318, "Building Code Requirements for Reinforced Concrete", and ACI 347, "Recommended Practice for Concrete Formwork".

#### 1.4 Testing: Owner's testing laboratory will perform sampling and testing as indicated in Field Quality Control paragraph. The Contractor shall have an experienced concrete placement representative with good communication (English) skills at each concrete pour in order to address any testing or quality issues such as low slump concrete, air content deficiencies, addition of water, rejection of concrete, etc.

#### 1.5 Field Quality Control: During placement of concrete, the following tests and sampling shall be made:

Sampling: ASTM C 172

Slump: ASTM C 143

Air Content: ASTM C 173

Compressive Strength: ASTM C 39; one specimen tested at seven (7) days, and one specimen tested at twenty-eight (28) days, and one retained for later testing if required.

- 1.6 Concrete Mixes: Contractor shall employ an acceptable testing laboratory to perform materials evaluation and testing, and to design concrete mixes.
- 1.7 Color Additives: Where indicated on drawings, mix color additives to concrete mix prior to placement. Submit for review the manufacturer's product data including mixing instructions.
- A. Manufacture's Qualifications.
1. Sufficient plant facilities to provide quality and quantity of materials as required without delaying progress of work.
  2. Minimum of 10 year's experience in producing iron oxide pigments to be added to concrete.
- B. Mock-ups:
1. Construct mock-ups of concrete with pigments for approval by Architect.
  2. Approved mock-ups shall become the standard for color, appearance and workmanship.
  3. Mock-ups shall not remain as part of the completed work. At Architect's direction, demolish mock-ups and remove debris.
- C. Storage:
1. Handle materials in accordance with manufacturer's instructions.
  2. Protect materials during handling and mixing to prevent damage or contamination.

## PART 2 - PRODUCTS

- 2.1 Materials:
- A. Concrete: Conforming to ASTM C94-73a., standard weight, with minimum compressive strength of 4,000 psi and 3,000 psi at 28 days at locations designated on the plans. When placed, concrete shall have a slump between 3 and 5 inches. (All concrete placed on or above sub-grade shall be 4,000 psi. Concrete used for footings or totally below grade may be 3,000 psi.) Use air-entraining admixture in all concrete, providing not less than 4% nor more than 6% entrained air for concrete exposed to freezing and thawing, and from 2% to 4% for other concrete.
- B. Forms:
1. Form Materials: Plywood, metal, metal-framed plywood, or other approved panel-type materials to provide full-depth, continuous, straight, and smooth exposed surfaces.
    - a. Use flexible or uniformly curved forms for curves with a radius of 100 feet or less. **Do not use notched and bent forms.**



2. Color to be selected by Architect
3. Compliance with ASTM C 979
4. Material is Natural and synthetic, milled, blended iron oxide in dry powder form.
5. Produce uniform and consistent color.
6. Must be permanent, inert, stable to atmospheric conditions, sunfast, weather resistant, alkali resistant, water insoluble, lime proof, and non-bleeding.

B. Liquid Iron Oxide pigments

1. SGS Integral Colors for Ready Mix Concrete
2. Color to be selected by Architect
3. Material is predispersed iron oxide pigments containing high pigment solids in aqueous base liquid.
4. Free of deleterious fillers and extenders.
5. Particle size: 95 to 99 percent minus 325 mesh
6. Specific Gravity: 1.9 to 2.0

PART 3 - EXECUTION

3.1 Preparation of sub-grade:

- A. Where dimensions permit, sub-grades shall be proof-rolled with a loaded 20 ton dump truck or pneumatic-tired roller in the presence of the Soils Technician prior to placement of improvements. Any areas found not satisfactory shall be immediately reported to the Architect and repairs made by the Contractor prior to continuing work.
- B. Sub-grade shall be maintained in a smooth, compacted condition, in conformity to the required section and established grade until the concrete is placed. The sub-grade shall be in a moist condition when concrete is placed.
- C. No concrete shall be placed on a sub-grade that is frozen or muddy.

3.2 Placement of forms:

- A. Set forms to alignment and grade conforming to dimensions and grades on the drawings.
- B. Forms shall be held rigidly in place by use of stakes placed at intervals not to exceed 48".
- C. Clamps, spreaders, and braces shall be used where required to insure rigidity in forms.
- D. Forms may not be removed less than two hours after concrete has been placed. Do not allow forms to remain in place more than 24 hours after the concrete has been placed. In no case shall the forms be removed while concrete is plastic or may slump in any direction.

- E. Forms shall be cleaned and coated with clear, stainless form oil each time before concrete is placed.

### 3.3 Concrete Placement:

- A. Concrete shall be placed in forms in a manner that prevents concrete splatter on adjacent improvements. In no instance shall the concrete be allowed to free fall from a height greater than 36".
- B. Thoroughly consolidate concrete in the forms by tamping and spading so that there are no rock pockets at the forms, and cement mortar entirely covers top surfaces. Concrete may be compacted by means of mechanical vibrators, provided no movement in the forms will occur.

### 3.4 Joints:

- A. Alignment: Expansion and contraction joints shall normally be constructed at right angles to the edge of walks and curbs. Dowels, steel reinforcement bars, and tie-bars shall be installed if indicated by notes or details on the drawings. The Contractor may generally scale the location of joints on the drawings and make slight adjustments as necessary to promote consistency and pattern.
- B. Expansion Joint (E/J):
- C. Form expansion joints by means of a pre-formed expansion joint filler material cut and shaped to the full depth of the cross-section.
- D. Provide expansion joints at the ends of all curb returns and whenever concrete paving abuts the building wall, manholes and other cast-iron frames and structures, and previous concrete pours.
- E. Expansion joints are not required between concrete paving and asphalt paving.
- F. Provide expansion joints as detailed on the drawings and generally at intervals not exceeding 300 sq. ft. or intervals of 20' separation in any direction unless approved by the Architect or Owners' Representative.

### 3.5 Contraction Joint (C/J):

- A. Sawn (Contraction) joints must be a least  $\frac{1}{4}$  of the thickness of the concrete pavement to promote shrinkage cracking along the joints. For linear sidewalks, the Contractor may choose to construct contraction joints by use of 1/8" thick separators of a section conforming to the cross-section of the walk and curb. Coat separators with a clear, stainless form oil prior to pouring concrete. Separators shall be removed as soon as practical after the concrete has set sufficiently to preserve width and shape of joint. Repair quickly any concrete dislocated by the removal of the separator. After separator plates have been removed, all exposed edges and joints shall be rounded with a proper edging tool.
- B. The Contractor shall submit and review the location of contraction joints with the Architect for approval of the pattern prior to installation. For linear walks, the contraction joint spacing shall be approximately 5 feet on center. Contraction joints in large paved areas shall not result in sections exceeding 100 sq. ft. intervals.

3.6 In Service, Fire Lane, Emergency access paving, the maximum contraction joint spacing shall not exceed 15 feet in all directions.

3.7 Finishing:

- A. After striking off and consolidating concrete, smooth the exposed surface by screeding and floating to compact the surface and produce a uniform texture.
- B. After floating, test surface for trueness with a 10 foot long straightedge. Distribute concrete as required to remove surface irregularities, and refloat repaired areas to provide a continuous smooth finish.
- C. Work edges of walk, back top edge of curb, transverse joints and construction joints with an approved edging tool.
- D. After completion of floating and when excess moisture and surface sheen has disappeared, install light broom finish by drawing a fine-hair broom across the concrete surface, perpendicular to the line of traffic and install "window pane" finish in all pedestrian areas.
- E. Point-up any minor honeycombed areas and replace areas or sections of major honeycombing.
- F. Protect the curb and walks from traffic or use for at least 14 days after placement.
- G. Top of curb face shall be rounded with an edging tool to a radius of 3" and surfaces shall be floated and finished with a smooth wood float until true to grade and section and uniform in texture. All surfaces shall be floated and finished as specified for curb face.
- H. Immediately after removing forms from any faces that will be visually apparent, the face shall be rubbed with a wood or concrete rubbing block and water until all blemishes, form marks, and tool marks have been removed.
- I. Except at severe grade changes or curves, finished surfaces shall generally not vary more than 1/4" over a 10 feet straight edge. Irregularities exceeding this degree of smoothness shall be corrected to the satisfaction of the Architect.
- J. All concrete poured shall be of consistent color and appearance throughout the project. All stains must be removed prior to inspection.
- K. Contractor shall be responsible to control rinse water run off and prevent pollution.

3.8 Curing & Protection

- A. Horizontal concrete surfaces shall be maintained in moist condition for seven days after the pour. This may be accomplished by initial light wetting for the first two days, followed by waterproof covering for the remaining five days. Protect from freezing.
- B. An alternate method of curing using an approved curing compound may be used if approved by the Owner's Representative and the Architect in lieu of water curing. Curing compound conforming to ASTM C309 may be applied immediately after trowelling at a rate not to exceed 300 square feet per gallon.
- C. Protection:
  - 1. After curing, debris shall be removed and the backfill shall be placed as required.

2. Completed work shall be protected from damage until accepted by the Owner. The Contractor shall repair damaged concrete and clean any discolored concrete to the satisfaction of the Architect. Damaged work shall be removed from the site and reconstructed for the entire length between regularly occurring joints, and not by finishing the damaged portion only.

3.9 Iron Oxide pigments application:

- A. Add pigments to mixer in same order for each batch
- B. DO NOT add pigment to mixer as first concrete material. Add liquid pigments to concrete batch after pre-wetted aggregate and before cement addition.
- C. Add liquid pigments to concrete batch automatically by use of metering, volumetric, or weight measuring system or manually by weight or volume in accordance with manufacturer's instructions.
- D. Recycle liquid pigments while in their container before use to ensure uniformity and proper.
- E. Maintain consistent amounts of batch water in each batch
- F. DO NOT fog with water or cover surface of colored concrete during initial curing process for a minimum of 48 hours.

3.10 Cleaning

- A. Clean concrete of efflorescence in accordance with manufacturer's instructions.
- B. Ensure concrete has sufficiently cured before cleaning.
- C. Use concrete cleaner approved by pigment manufacturer and Architect. Do NOT use cleaners containing acid.

END OF SECTION 321313

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## SECTION 26 0100

### BASIC ELECTRICAL REQUIREMENTS

#### PART 1 - GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this and the other sections of Division 26.
- B. All sections of Division 26 are interrelated. Where materials are required to complete work associated with equipment in a specific section, but the materials are not specified within that specific section, the requirements for those materials shall be as specified elsewhere in Division 26.

##### 1.2 SUMMARY

- A. This Section includes general administrative and procedural requirements for electrical installations. The following administrative and procedural requirements are included in this Section to expand the requirements specified in Division 01:
  - 1. Submittals.
  - 2. Coordination drawings.
  - 3. Fault Current, Device Coordination.
  - 4. Record documents.
  - 5. Maintenance manuals.
  - 6. Rough-ins.
  - 7. Electrical installations.
  - 8. Cutting and patching.
  - 9. Inspections
- B. Related Sections: The following sections contain requirements that relate to this section:
  - 1. Division 26 Section "Basic Electrical Materials and Methods," for materials and methods common to the remainder of Division 26.

##### 1.3 SUBMITTALS

- A. General: Follow the procedures specified in Division 01 Section "Submittal Procedures".
- B. Specific Requirements to Electrical Product Data and Shop Drawing Submittals:
  - 1. Submit newly prepared information, drawn to scale where applicable. Do not reproduce Contract Documents or use Contract Document images in the preparation of submittals.
  - 2. Any deviations from Contract Documents shall be clearly noted and highlighted, encircled, or otherwise visually identified.
  - 3. Product Data and Shop Drawings are separate items and shall be submitted with separate submittal numbers. Where both Product Data and Shop Drawings are required by the same specification section (i.e., Fire alarm) both items shall be submitted for review at the same time. Product Data and Shop Drawings will be reviewed separately by Engineer, but Engineer reserves the right to withhold review until both items have been received.

4. Submittal Documents Quality: Facsimile documents are prohibited. Submittals containing sheets copied from facsimile documents will be automatically Rejected and returned to Contractor without review. Also, submittals containing poor quality copies will be automatically Rejected and returned to Contractor without review.
  5. Submittal Document Binding: Use report covers with 3-hole, dual-prong tang fasteners or slide fasteners. Velo- and comb bound documents are also acceptable. Use of 3-ring binders is prohibited and will be automatically Rejected and returned to Contractor without review.
- C. Additional copies may be required by individual sections of these Specifications.
- D. Substitution of Equivalent Products: Where individual sections require submittal for substitution of manufacturers and products equivalent to those listed under Manufacturers paragraph, submittals shall be in accordance with that section. Engineer has final authority on equivalence and acceptance.
1. Submittals of Substitution Request Forms are permitted by Prime Bidders only. Substitution Request Forms submitted by a vendor, distributor, or sub-contractor will not be accepted or reviewed.

#### 1.4 FAULT CURRENT, COORDINATION

- A. Contractor shall perform a power system study (PSS) and provide a bound report that includes a system one-line model, a fault current analysis, over current/trip coordination and arc flash study. Study shall include analysis of all new equipment and existing overcurrent equipment devices one level upstream or downstream that project is connecting to.
- B. All system modeling to be performed using SKM Power\*Tools or ESA EasyPower electrical engineering software. All equipment depicted on electrical distribution riser diagram, as well as all motors 30 horsepower and larger, shall be included in system model. Fault contribution to system shall be based on available fault current on secondary of service transformer as provided by utility company. The fault current analysis portion of the report shall include a printout from the software listing the available three-phase and single-phase fault current at each piece of equipment. The overcurrent setting coordination shall create a set of time-current curves depicting successive breakers and fuses for each unique distribution system branch. Included in the coordination will be a summary of any overcurrent devices where selective coordination is not possible. The arc flash study portion of the report will list the incident energy and arc flash boundary for each piece of equipment in the electrical distribution system utilizing industry standard IEEE 1584 calculation methodology. The personal protective equipment category and associated clothing requirements in accordance with IEEE 1584 and NFPA 70E shall also be included. Included in the report shall be a summary narrative recommending adjustments, where practical, to overcurrent trip settings to reduce energy levels to within available protection ratings. NFPA 70E compliant adhesive arc flash warning labels depicting items above shall be provided and installed on equipment.
- C. At the beginning of the project contractor shall determine the information required and the associated schedule to ensure that all information is obtained in the needed timeframe to complete the study and make recommendations that can be incorporated into the electrical equipment submittals. The following is generally the schedule the project should follow.
1. Notice to Proceed (NTP). Minimum 30 days before shop drawings, required information that contractor shall obtain to start performing PSS:
    - a. Construction schedule with anticipated Shop Drawing receipt date and Substantial Completion date.

- b. Most current electrical plans, risers, schedules (post local permitting & DHSR)
  - c. Completed preliminary feeder schedule/spreadsheet based on contractor take-offs. This includes all feeders upstream to source utility transformers and emergency generators. Contractor shall perform all necessary fieldwork to obtain this information.
  - d. Manufacturer, model number, and current settings of existing overcurrent equipment devices one level upstream or downstream that project is connecting to.
  - e. Utility primary fault current contribution and transformer impedance source information. Coordinate with Owner as necessary to obtain approval for this information.
  - f. Emergency generator information transient and sub-transient reactances from equipment name plate and generator manufacturer.
2. Preliminary Short Circuit and Coordination Report. Contractor will issue report with gear shop drawings.
  3. Receipt of Changes. 6 weeks prior to Substantial Completion, the contractor shall obtain the following information for finalizing the PSS:
    - a. Final Approved Submittals.
    - b. Revisions to electrical plans, risers, schedules.
    - c. Final feeder schedule/spreadsheet based on contractor actual install lengths.
  4. Final Report. Contractor shall Issue 2 weeks prior to Substantial Completion, including labels. Contractor will be responsible for entering final settings, confirming settings, and installing labels.
  5. Corrected Final Report.
- D. Contractor shall be responsible for inputting all breaker settings provided per the results of the coordination study and documenting this on a written report that indicates actual settings, date they were inputted and person responsible for inputting the settings. Report shall be submitted to owner and engineer for review and record.
- E. A copy of the final corrected PSS report and settings documentation report shall be included with the Owner's Operations & Maintenance Manuals.

## **1.5 RECORD DOCUMENTS**

- A. Prepare record documents in accordance with the requirements in Division 01 Section "Closeout Procedures." In addition to the requirements specified in Division 01, indicate installed conditions for:
1. Major raceway systems, size and location, for both exterior and interior; locations of control devices; distribution and branch electrical circuitry; and fuse and circuit breaker size and arrangements.
  2. Equipment locations (exposed and concealed), dimensioned from prominent building lines.
  3. Approved substitutions, Contract Modifications, and actual equipment and materials installed.

## **1.6 OPERATION & MAINTENANCE MANUALS**

- A. Prepare maintenance manuals in accordance with Division 01 Section "Closeout Procedures" In addition to the requirements specified in Division 01, include the following information for equipment items:
1. Product data for all equipment installed during construction. Product data shall be manufacturer literature, cutsheets, and/or catalogs and shall clearly depict

manufacturer and model number along with standard features and optional features where applicable.

2. Where available for installed equipment, Contractor shall include manufacturer's published Installation and/or Owner's manuals.
3. Description of function, normal operating characteristics and limitations, performance curves, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts.
4. Programming report/summary for all systems with conditional logic programming (i.e., fire alarm, lighting control system, and PLCs)
5. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.
6. Warranty Information: Copies of documentation for all additional and secondary warranties shall be included. Purchase Order numbers shall be included.

### **1.7 DELIVERY, STORAGE, AND HANDLING**

- A. Deliver products to the project properly identified with names, model numbers, types, grades, compliance labels, and other information needed for identification.

## **PART 2 - PRODUCTS (Not Applicable)**

## **PART 3 - EXECUTION**

### **3.1 ROUGH-IN**

- A. Verify final locations for rough-ins with field measurements and with the requirements of the actual equipment to be connected.
- B. Refer to equipment specifications in Divisions 26 for rough-in requirements.

### **3.2 ELECTRICAL INSTALLATIONS**

- A. General: Sequence, coordinate, and integrate the various elements of electrical systems, materials, and equipment. Comply with the following requirements:
  1. Coordinate electrical systems, equipment, and materials installation with other building components.
  2. Verify all dimensions by field measurements.
  3. Arrange for chases, slots, and openings in other building components during progress of construction, to allow for electrical installations.
  4. Coordinate the installation of required supporting devices and sleeves to be set in poured-in-place concrete and other structural components, as they are constructed.
  5. Sequence, coordinate, and integrate installations of electrical materials and equipment for efficient flow of the Work. Give particular attention to large equipment requiring positioning prior to closing in the building.
  6. Decommissioned conduit, wiring and cables shall be removed.
  7. Where mounting heights are not detailed or dimensioned, install systems, materials, and equipment to provide the maximum headroom possible.
  8. Coordinate connection of electrical systems with exterior underground utilities and services. Comply with requirements of governing regulations, franchised service companies, and controlling agencies. Provide required connection for each service.

9. Install systems, materials, and equipment to conform with approved submittal data, including coordination drawings, to greatest extent possible. Conform to arrangements indicated by the Contract Documents, recognizing that portions of the Work are shown only in diagrammatic form. Where coordination requirements conflict with individual system requirements, refer conflict to the Architect.
  10. Install systems, materials, and equipment level and plumb, parallel and perpendicular to other building systems and components, where installed exposed in finished spaces.
  11. Install electrical equipment to facilitate servicing, maintenance, and repair or replacement of equipment components. As much as practical, connect equipment for ease of disconnecting, with minimum interference with other installations.
  12. Install access panels or doors where units are concealed behind finished surfaces.
  13. Install systems, materials, and equipment giving right-of-way priority to systems required to be installed at a specified slope.
- B. Basis of Design: Where specific systems and products are shown or specified with a Basis of Design, the supporting work and appurtenances are shown and specified uniquely for the Basis of Design. Where systems and products other than the Basis of Design are installed, Contractor shall adjust circuiting, raceway infrastructure, cable type, wire size, supporting means, backbox type, and any other appurtenance as required for a complete, fully functional and operational system or product.

### **3.3 CUTTING AND PATCHING**

- A. General: Perform cutting and patching in accordance with the following requirements:
1. Perform cutting, fitting, and patching of electrical equipment and materials required to:
    - a. Remove and replace defective Work.
    - b. Remove and replace Work not conforming to requirements of the Contract Documents.
    - c. Upon written instructions from the Engineer, uncover and restore Work to provide for Engineer observation of concealed Work.
  2. Cut, remove, and legally dispose of selected electrical equipment, components, and materials as indicated, including but not limited to removal of electrical items indicated to be removed and items made obsolete by the new Work.
  3. Protect the structure, furnishings, finishes, and adjacent materials not indicated or scheduled to be removed.
  4. Protection of Installed Work: During cutting and patching operations, protect adjacent installations. Provide and maintain temporary partitions or dust barriers adequate to prevent the spread of dust and dirt to adjacent areas.
  5. Patch existing finished surfaces and building components using new materials matching existing materials and experienced Installers. Installers' qualifications refer to the materials and methods required for the surface and building components being patched.

### **3.4 INSPECTIONS**

- A. Authority Having Jurisdiction: Notify and schedule all inspections, with a minimum of 10 days' notice in writing prior to the Authority Having Jurisdiction.

END OF SECTION

## **SECTION 26 0500**

### **BASIC ELECTRICAL MATERIALS AND METHODS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Electrical Equipment Installation.
  - 2. Sleeves and sleeve seals for raceway and cable.
  - 3. Firestopping.
  - 4. Concrete equipment bases.
  - 5. Cutting and patching for electrical construction.
  - 6. Touchup painting.

##### **1.3 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. National Fire Protection Association: NFPA 70, National Electrical Code.
- C. National Fire Protection Association: NFPA 99, Healthcare Facilities.
- D. NC Division of Health Service Regulation.

##### **1.4 COORDINATION**

- A. Coordinate chases, slots, inserts, sleeves, and openings with general construction work and arrange in building structure during progress of construction to facilitate the electrical installations that follow.
  - 1. Set inserts and sleeves in poured-in-place concrete, masonry work, and other structural components as they are constructed.
- B. Sequence, coordinate, and integrate installing electrical materials and equipment for efficient flow of the Work. Coordinate installing large equipment requiring positioning before closing in rooms.
- C. Coordinate electrical service connections to components furnished by utility companies.
  - 1. Coordinate installation and connection of exterior underground and overhead utilities and services, including provision for electricity-metering components.
  - 2. Comply with requirements of authorities having jurisdiction and of utility company providing electrical power and other services.
- D. Coordinate location of access panels and doors for electrical items that are concealed by finished surfaces.

## **PART 2 - PRODUCTS**

### **2.1 SLEEVES FOR RACEWAYS AND CABLES**

- A. Steel Pipe Sleeves: ASTM A 53/A 53M, Type E, Grade B, Schedule 40, galvanized steel, plain ends.
- B. Sleeves for Rectangular Openings: Galvanized sheet steel.
  - 1. Minimum Metal Thickness:
    - a. For sleeve cross-section rectangle perimeter less than 50 inches and no side more than 16 inches, thickness shall be 0.052 inch.
    - b. For sleeve cross-section rectangle perimeter equal to, or more than, 50 inches and 1 or more sides equal to, or more than, 16 inches, thickness shall be 0.138 inch.

### **2.2 FIRESTOPPING**

- A. Manufacturers:
  - 1. 3M fire Protection Products.
  - 2. Hilti Corp.
  - 3. Dow Corning Corp.
- B. Product Description: If contractor proposes to use an alternate manufacturer from the one shown on the drawings, submit UL details for all required penetrations.
  - 1. Silicone Firestopping Elastomeric Firestopping: Single or Multiple component silicone elastomeric compound and compatible silicone sealant.
  - 2. Foam Firestopping Compounds: Single or Multiple component foam compound.
  - 3. Formulated Firestopping Compound of Incombustible Fibers: Formulated compound mixed with incombustible non-asbestos fibers.
  - 4. Fiber Stuffing and Sealant Firestopping: Composite of ceramic fiber stuffing insulation with silicone elastomer for smoke stopping.
  - 5. Mechanical Firestopping Device with Fillers: Mechanical device with incombustible fillers and silicone elastomer, covered with sheet stainless steel jacket, joined with collars, penetration sealed with flanged stops.
  - 6. Intumescent Firestopping: Intumescent putty compound which expands on exposure to surface heat gain.
  - 7. Firestop Pillows: Formed mineral fiber pillows.

### **2.3 FIRESTOPPING ACCESSORIES**

- A. Primer: Type recommended by firestopping manufacturer for specific substrate surfaces and suitable for required fire ratings.
- B. Dam Material: Permanent:
  - 1. Mineral fiberboard.
  - 2. Mineral fiber matting.
  - 3. Sheet metal.
  - 4. Alumina silicate fire board.
- C. Installation Accessories: Provide clips, collars, fasteners, temporary stops or dams, and other devices required to position and retain materials in place.
- D. General:
  - 1. Furnish UL listed products or products tested by independent testing laboratory.
  - 2. Select products with rating not less than rating of wall or floor being penetrated.

- E. Non-Rated Surfaces:
  - 1. Stamped steel, chrome plated, hinged, split ring escutcheons or floor plates or ceiling plates for covering openings in occupied areas where piping is exposed.
  - 2. For exterior wall openings below grade, furnish mechanical sealing device to continuously fill annular space between piping and cored opening or water-stop type wall sleeve.

## **2.4 CONCRETE BASES**

- A. Concrete Forms and Reinforcement Materials: As specified in Division 03 Section "Cast-in-Place Concrete."
- B. Concrete: 3000-psi (20.7-MPa), 28-day compressive strength as specified in Division 03 Section "Cast-in-Place Concrete."

## **2.5 TOUCHUP PAINT**

- A. For Equipment: Equipment manufacturer's paint selected to match installed equipment finish.
- B. Galvanized Surfaces: Zinc-rich paint recommended by item manufacturer.

# **PART 3 - EXECUTION**

## **3.1 ELECTRICAL EQUIPMENT INSTALLATION**

- A. Headroom Maintenance: If mounting heights or other location criteria are not indicated, arrange and install components and equipment to provide the maximum possible headroom.
- B. Materials and Components: Install level, plumb, and parallel and perpendicular to other building systems and components, unless otherwise indicated.
- C. Equipment: Install to facilitate service, maintenance, and repair or replacement of components. Connect for ease of disconnecting, with minimum interference with other installations. Provide any additional supporting means not provided by manufacturer to install equipment.
- D. Right of Way: Give to raceways and piping systems installed at a required slope.

## **3.2 SLEEVE INSTALLATION FOR ELECTRICAL PENETRATIONS**

- A. Electrical penetrations occur when raceways, cables, wireways, cable trays, or busways penetrate concrete slabs, concrete or masonry walls, or fire-rated floor and wall assemblies.
- B. Concrete Slabs and Walls: Install sleeves for penetrations unless core-drilled holes or formed openings are used. Install sleeves during erection of slabs and walls.
- C. Use pipe sleeves unless penetration arrangement requires rectangular sleeved opening.
- D. Fire-Rated Assemblies: Install sleeves for penetrations of fire-rated floor and wall assemblies unless openings compatible with firestop system used are fabricated during construction of floor or wall.

- E. Sleeves for power raceway and cables: Steel, cut sleeves to length for mounting flush with both surfaces of walls.
- F. Sleeves for telecommunication cables: Rigid galvanized steel conduit, extend sleeves 2" on each side of wall. Provide plastic bushing on each end.
- G. Extend sleeves installed in floors 2 inches above finished floor level.
- H. Size pipe sleeves to provide 1/4-inch annular clear space between sleeve and raceway or cable, unless indicated otherwise.
- I. Seal space outside of sleeves with grout for penetrations of concrete and masonry
  - 1. Promptly pack grout solidly between sleeve and wall so no voids remain. Tool exposed surfaces smooth; protect grout while curing.
- J. Interior Penetrations of Non-Fire-Rated Walls and Floors: Seal annular space between sleeve and raceway or cable, using joint sealant appropriate for size, depth, and location of joint. Comply with requirements in Division 07 Section "Joint Sealants."
- K. Fire-Rated-Assembly Penetrations: Maintain indicated fire rating of walls, partitions, ceilings, and floors at raceway and cable penetrations. Install sleeves and seal raceway and cable penetration sleeves with firestop materials. Comply with requirements in Division 07 Section "Penetration Firestopping."
- L. Roof-Penetration Sleeves: Seal penetration of individual raceways and cables with flexible boot-type flashing units applied in coordination with roofing work.
- M. Aboveground, Exterior-Wall Penetrations: Seal penetrations using steel pipe sleeves and mechanical sleeve seals. Select sleeve size to allow for 1-inch annular clear space between pipe and sleeve for installing mechanical sleeve seals.
- N. Underground, Exterior-Wall Penetrations: Install cast-iron pipe sleeves. Size sleeves to allow for 1-inch annular clear space between raceway or cable and sleeve for installing mechanical sleeve seals.

### **3.3 SLEEVE-SEAL INSTALLATION**

- A. Install to seal exterior wall penetrations.
- B. Use type and number of sealing elements recommended by manufacturers for raceway or cable material and size. Position raceway or cable in center of sleeve. Assemble mechanical sleeve seals and install in annular space between raceway or cable and sleeve. Tighten bolts against pressure plates that cause sealing elements to expand and make watertight seal.

### **3.4 FIRESTOPPING**

- A. Install material at fire rated construction perimeters and openings containing penetrating sleeves, piping, ductwork, and other items, requiring firestopping.
- B. Apply primer where recommended by manufacturer for type of firestopping material and substrate involved, and as required for compliance with required fire ratings.
- C. Apply firestopping material in sufficient thickness to achieve required fire and smoke rating.

- D. Compress fibered material to maximum 40 percent of its uncompressed size.
- E. Place foamed material in layers to ensure homogenous density, filling cavities and spaces. Place sealant to completely seal junctions with adjacent dissimilar materials.
- F. Place intumescent coating in sufficient coats to achieve rating required.
- G. Remove dam material after firestopping material has cured.
- H. Fire Rated Surface:
  - 1. Seal opening at floor, wall, partition, ceiling, and roof as follows:
    - a. Install sleeve through opening and extending beyond minimum of 1 inch on both sides of building element.
    - b. Size sleeve allowing minimum of 1 inch void between sleeve and building element.
    - c. Pack void with backing material.
    - d. Seal ends of sleeve with UL listed fire resistive silicone compound to meet fire rating of structure penetrated.
  - 2. Where conduit, penetrates fire rated surface, install firestopping product in accordance with manufacturer's instructions.
- I. Non-Rated Surfaces:
  - 1. Seal opening through non-fire rated wall, partition floor, ceiling, and roof opening as follows:
    - a. Install sleeve through opening and extending beyond minimum of 1 inch on both sides of building element.
    - b. Size sleeve allowing minimum of 1 inch void between sleeve and building element.
    - c. Install type of firestopping material recommended by manufacturer.
  - 2. Install escutcheons, floor plates or ceiling plates where conduit penetrates non-fire rated surfaces in occupied spaces. Occupied spaces include rooms with finished ceilings and where penetration occurs below finished ceiling.
  - 3. Exterior wall openings below grade: Assemble rubber links of mechanical sealing device to size of piping and tighten in place, in accordance with manufacturer's instructions.
  - 4. Interior partitions: Seal pipe penetrations at clean rooms, laboratories, hospital spaces (required to have pressure control), computer rooms, telecommunication rooms, and data rooms. Apply sealant to both sides of penetration to completely fill annular space between sleeve and conduit.

### **3.5 CONCRETE BASES**

- A. Construct concrete bases of dimensions indicated, but not less than 4 inches (100 mm) larger, in both directions, than supported unit. Follow supported equipment manufacturer's anchorage recommendations and setting templates for anchor-bolt and tie locations, unless otherwise indicated. Use 3000-psi (20.7-MPa), 28-day compressive-strength concrete and reinforcement as specified in Division 03 Section "Cast-in-Place Concrete."

### **3.6 CUTTING AND PATCHING**

- A. Cut, channel, chase, and drill floors, walls, partitions, ceilings, and other surfaces required to permit electrical installations. Perform cutting by skilled mechanics of trades involved.

- B. Repair and refinish disturbed finish materials and other surfaces to match adjacent undisturbed surfaces. Install new fireproofing where existing firestopping has been disturbed. Repair and refinish materials and other surfaces by skilled mechanics of trades involved.

### **3.7 REFINISHING AND TOUCHUP PAINTING**

- A. Refinish and touch up paint. Paint materials and application requirements are specified in Division 09 Section "Interior Painting" and Division 09 Section "Exterior Painting"
  - 1. Clean damaged and disturbed areas and apply primer, intermediate, and finish coats to suit the degree of damage at each location.
  - 2. Follow paint manufacturer's written instructions for surface preparation and for timing and application of successive coats.
  - 3. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
  - 4. Repair damage to PVC or paint finishes with matching touchup coating recommended by manufacturer.

### **3.8 CLEANING AND PROTECTION**

- A. On completion of installation, including outlets, fittings, and devices, inspect exposed finish. Remove burrs, dirt, paint spots, and construction debris.
- B. Protect equipment and installations and maintain conditions to ensure that coatings, finishes, and cabinets are without damage or deterioration at time of Substantial Completion.

END OF SECTION

**SECTION 26 05 02**

**ELECTRICAL TESTING**

**PART 1 GENERAL**

**1.01 SECTION INCLUDES**

- A. Testing of electrical systems.

**1.02 REFERENCES**

- A. National Fire Protection Association: NFPA 70, National Electrical Code.
- B. National Fire Protection Association: NFPA 99, Healthcare Facilities.
- C. NC Division of Health Service Regulation.
- D. IEEE 142, Recommended Practice for Grounding of Industrial and Commercial Power Systems.
- E. InterNational Electrical Testing Association: NETA MTS – Maintenance Testing Specifications.

**PART 2 PRODUCTS – NOT USED.**

**PART 3 EXECUTION**

**3.01 FEEDER INSULATION RESISTANCE TESTING**

- A. All current carrying phase conductors and neutrals shall be tested as installed, and before connections are made, for insulation resistance and accidental grounds. This shall be done with a 500-volt megohmmeter. The procedures listed below shall be followed:
  - 1. Minimum readings shall be one million (1,000,000) or more ohms for #6 wire and smaller, 250,000 ohms or more for #4 wire or larger, between conductors and between conductor and the grounding conductor.
  - 2. After all fixtures, devices and equipment are installed and all connections completed to each panel, the Contractor shall disconnect the neutral feeder conductor from the neutral bar and take a megohmmeter reading between the neutral bar and the grounded enclosure. If this reading is less than 250,000 ohms, the Contractor shall disconnect the branch circuit neutral wires from this neutral bar. Contractor shall then test each one separately to the panel and until the low readings are found. The Contractor shall correct troubles, reconnect and retest until at least 250,000 ohms from the neutral bar to the grounded panel can be achieved with only the neutral feeder disconnected.
  - 3. The Contractor shall send a letter to the Engineer certifying that the above has been done and tabulating the megohmmeter readings for each panel. This shall be done at least four (4) days prior to final inspection.
  - 4. At final inspection, the Contractor shall furnish a megohmmeter and show the Engineer that the panels comply with the above requirements. He shall also furnish a hook-on type ammeter and voltmeter to take current and voltage readings as directed by the representatives.

**3.02 GROUND SYSTEM TESTING**

- A. Upon completion of installation of the electrical grounding and bonding systems, test the ground resistance with a ground resistance tester. Where tests show resistance-to-ground is over 5 ohms, take appropriate action to reduce the resistance to 5 ohms or less, by driving additional ground rods. Then retest to demonstrate compliance.

**3.03 EQUIPOTENTIAL GROUNDING TEST**

- A. For patient areas, perform equipotential grounding test in accordance with NFPA 99.

**3.04 ISOLATED POWER TESTING**

- A. Perform isolated power testing in accordance with NFPA 99.

**3.05 CIRCUIT BREAKER TESTS**

- A. For switchboards and panelboards 800 amperes and larger, perform the following tests on main circuit breakers and distribution circuit breakers 225A and larger. Testing shall be performed by a qualified factory technician at the job site. All readings shall be tabulated:
  1. Phase tripping tolerance (within 20 percent of UL requirements).
  2. Trip time (per phase) in seconds.
  3. Instantaneous trip (amps) per phase.
  4. Insulation resistance (in Megaohms) at 100 volts (phase to phase, and line to load).

**3.06 GROUND-FAULT PROTECTION SYSTEM**

- A. The ground fault protection on the new circuit breakers (if provided) shall be performance tested in the field and properly calibrated and set in accordance with the coordination study.

**3.07 DOCUMENTATION**

- A. All tests specified shall be completely documented indicating time of day, date, temperature, and all pertinent test information.
- B. All required documentation of readings indicated above shall be submitted to the Engineer prior to, and as one of the prerequisites for, final acceptance of the project.

**END OF SECTION**

**SECTION 26 0503**  
**EQUIPMENT WIRING CONNECTIONS**

**PART 1 GENERAL**

**1.1 SUMMARY**

- A. Section includes electrical connections to equipment.

**1.2 SUBMITTALS**

- A. Submittal Procedures: Refer to Division 1 for Submittal procedures.
- B. Product Data: Submit wiring device manufacturer's catalog information showing dimensions, configurations, and construction.
- C. Manufacturer's installation instructions.

**1.3 CLOSEOUT SUBMITTALS**

- A. Execution and Closeout Requirements: Refer to Division 1 for Submittal procedures.
- B. Project Record Documents: Record actual locations, sizes, and configurations of equipment connections.

**1.4 COORDINATION**

- A. Administrative Requirements: Refer to Division 1 for Coordination and project conditions.
- B. Obtain and review shop drawings, product data, manufacturer's wiring diagrams, and manufacturer's instructions for equipment furnished under other sections.
- C. Determine connection locations and requirements.
- D. Sequence rough-in of electrical connections to coordinate with installation of equipment.
- E. Sequence electrical connections to coordinate with start-up of equipment.

**PART 2 PRODUCTS**

- A. Not Used.

**PART 3 EXECUTION**

**3.1 EXAMINATION**

- A. Administrative Requirements: Refer to Division 1 for Coordination and project conditions.

- B. Verify equipment is ready for electrical connection, for wiring, and to be energized.

### **3.2 EXISTING WORK**

- A. Remove exposed abandoned equipment wiring connections, including abandoned connections above accessible ceiling finishes.
- B. Disconnect abandoned utilization equipment and remove wiring connections. Remove abandoned components when connected raceway is abandoned and removed. Install blank cover for abandoned boxes and enclosures not removed.
- C. Extend existing equipment connections using materials and methods compatible with existing electrical installations, or as specified.

### **3.3 INSTALLATION**

- A. Make electrical connections.
- B. Make conduit connections to equipment using flexible conduit.
- C. Connect heat producing equipment using wire and cable with insulation suitable for temperatures encountered.
- D. Install receptacle outlet to accommodate connection with attachment plug.
- E. Install suitable strain-relief clamps and fittings for cord connections at outlet boxes and equipment connection boxes.
- F. Install disconnect switches, controllers, control stations, and control devices to complete equipment wiring requirements.
- G. Install terminal block jumpers to complete equipment wiring requirements.
- H. Install interconnecting conduit and wiring between devices and equipment to complete equipment wiring requirements.

### **3.4 ADJUSTING**

- A. Execution and Closeout Requirements: Refer to Division 1 for Testing, adjusting, and balancing.
- B. Cooperate with utilization equipment installers and field service personnel during checkout and starting of equipment to allow testing and balancing and other startup operations. Provide personnel to operate electrical systems and check out wiring connection components and configurations.

END OF SECTION

## **SECTION 26 05 19**

### **LOW-VOLTAGE CONDUCTORS AND CABLES**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Building wires and cables rated 600 V and less.
  - 2. Connectors, splices, and terminations rated 600 V and less.

##### **1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Field quality-control test reports: Submit all cable tests reports to Engineer ten days prior to Final Inspection.

##### **1.4 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. National Fire Protection Association: NFPA 70, National Electrical Code.
- C. National Fire Protection Association: NFPA 99, Healthcare Facilities.
- D. NC Division of Health Service Regulation.

#### **PART 2 - PRODUCTS**

##### **2.1 CONDUCTORS AND CABLES**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Southwire Company.
  - 2. General Cable Corporation.
  - 3. Encore Wire.
- B. Conductors: Comply with NEMA WC 70.
- C. Conductor Insulation: Comply with NEMA WC 70 for Types THHN-THWN and XHHW.
- D. Copper conductors only.

## **2.2 CONNECTORS AND SPLICES**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. 3M; Electrical Products Division.
  - 2. T&B (ABB).
  - 3. Ideal Industries, Inc.
  - 4. Polaris (NSI Industries; for splices 100A and larger)
- B. Description: UL listed, factory-fabricated connectors and splices of size, ampacity rating, material, type, and class for application and service indicated. Permanent crimp connectors are not acceptable.

## **PART 3 - EXECUTION**

### **3.1 CONDUCTOR MATERIAL APPLICATIONS**

- A. Feeders: Copper. Stranded for all sizes.
- B. Branch Circuits: Copper.
  - 1. Solid conductor for 10 AWG and smaller.
  - 2. Stranded Class B conductors for 8 AWG and larger.
- C. Stranded conductors for control circuits
- D. Minimum Size: No. 12 AWG for power and lighting circuits.

### **3.2 CONDUCTOR INSULATION AND MULTICONDUCTOR CABLE APPLICATIONS AND WIRING METHODS**

- A. Service Entrance: Type THHN-THWN or XHHW, single conductors in raceway.
- B. Exposed Feeders: Type THHN-THWN or XHHW, single conductors in raceway.
- C. Feeders Concealed in Ceilings, Walls, Partitions, and Crawlspace: Type THHN-THWN or XHHW, single conductors in raceway.
- D. Feeders Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN-THWN or XHHW, single conductors in raceway.
- E. Exposed Branch Circuits, Including in Crawlspace: Type THHN-THWN or XHHW, single conductors in raceway.
- F. Branch Circuits Concealed in Ceilings, Walls, and Partitions: Type THHN-THWN or XHHW, single conductors in raceway.
- G. Branch Circuits Concealed in Concrete, below Slabs-on-Grade, and Underground: Type THHN-THWN or XHHW, single conductors in raceway.
- H. Cord Drops and Portable Appliance Connections: Type SO, hard service cord with stainless-steel, wire-mesh, strain relief device at terminations to suit application.
- I. Isolation power branch Circuits Concealed in Ceilings, Walls, and Partitions: Type XHHW-2, single conductors in raceway

- J. Fire Alarm Circuits: See Section "Fire Alarm," in raceway.
- K. Class 1 Control Circuits: Type THHN-THWN or XHHW, in raceway.
- L. Class 2 Control Circuits: Type THHN-THWN or XHHW, single conductors in raceway.

### **3.3 INSTALLATION OF CONDUCTORS AND CABLES**

- A. Conceal cables in finished walls, ceilings, and floors, unless otherwise indicated.
- B. Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- C. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips that will not damage cables or raceway.
- D. Install exposed cables parallel and perpendicular to surfaces of exposed structural members and follow surface contours where possible.
- E. Voltage Drop: Conductor size shall be increased to account for voltage drop as follows:
  - 1. Where the conductor length from the panel to the first outlet on a 277V circuit exceeds 125 feet, the branch circuit conductors from the panel to the first outlet shall not be smaller than #10 AWG.
  - 2. Where the conductor length from the panel to the furthest outlet on a 120V circuit exceeds 75 feet, the branch circuit conductors from the panel to the furthest outlet shall not be smaller than #10 AWG. Increase an additional wire size for every additional 50' to furthest outlet.
- F. Dedicated Neutrals: Provide dedicated neutral for all single-pole branch circuits, unless otherwise noted on plans.
- G. All neutral conductors shall be phased colored at all junction boxes.
  - 1. For 208Y/120 Volt systems, the neutral shall be white, or white with either a black, red, or blue factory-applied tracer. The color of the tracer shall match the phase associated with the neutral conductor.
  - 2. For 480Y/277 Volt systems, the neutral shall be gray, or gray with either a brown, orange, or yellow factory-applied tracer. The color of the tracer shall match the phase associated with the neutral conductor.
- H. Branch Circuit Conductors: Install no more than three 3 phase wires, their respective neutrals and ground in each conduit. Duplicate phases shall not be present in the same raceway so that neutrals can be clearly identified.
- I. Class 2 and Class 3 circuit conductors shall be physically separated from 600V power circuit conductors and Class 1 circuit conductors per NEC 725.
- J. Neatly train and lace wiring inside boxes, equipment, and panelboards. No pass-thru conductors shall be pulled tight in junction boxes; provide a 6" hand loop.
- K. Support cables according to Division 26 Section "Hangers and Supports for Electrical Systems."
- L. Identify and color-code conductors and cables according to Division 26 Section "Identification for Electrical Systems."

- M. All abandoned conduit, wire and cables shall be removed completely when removed in existing areas. Exception: conduit properly marked for future use.

### 3.4 CONNECTIONS

- A. Keep conductor splices to a minimum. No feeders shall be spliced. No splicing shall be made except within outlet or junction boxes, troughs, or gutters.
- B. "Sta-kon" or other permanent type crimp connectors shall not be used for branch circuit connections.
- C. Make splices and taps that are compatible with conductor material and that possess equivalent or better mechanical strength and insulation ratings than unspliced conductors.
  - 1. Splices shall be made using with pre-insulated spring/coil connectors (wing nuts) for #10 AWG and smaller.
  - 2. Joints or taps for conductors larger than AWG #8 shall be made by the use of insulated power distribution blocks. Power distribution blocks must be attached to the gutter, box, or trough which contains the conductors; power distribution blocks shall not be supported only by the conductors.
- D. Wiring at Outlets: Install conductor at each outlet, with at least 12 inches of slack.

### 3.5 FIELD QUALITY CONTROL

- A. Perform tests and inspections and prepare test reports.
- B. Testing Technician
  - 1. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.
- C. Tests and Inspections: After installing conductors and cables and before electrical circuitry has been energized, test conductors for compliance with following requirements.
  - 1. Physical Inspection and Testing
    - a. Verify cable ratings and data correspond to drawings and specifications.
    - b. Verify electrical connections are made to provide the electrical system described in the drawings and specifications.
    - c. Confirm bolted electrical connections do not have high impedance using one of the following means:
      - 1) Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be compared to resistances measured on similar connections. Any similar resistance values that deviate more than 50 percent should be investigated.
      - 2) Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench.
    - d. A thermographic test of the service entrance conductors and distribution feeders. Conductor connection points shall be visible during the test. All equipment should be energized and loaded during tests. Thermographic images of any connections that fail the test must be submitted with a description of the failure including the probable cause of the failure. A thermographic test shall be performed, but not limited to, the following areas.
      - 1) Service entrance conductors and feeders rated for 1000A or more.
    - e. Inspect cable connectors to verify they are correctly installed.

- f. Verify all cables are identified and arranged according to the drawings and specifications.
- g. Verify that all cable jackets and insulation are in good condition and did not sustain damage during installation.
- 2. Electrical Inspection and Testing
  - a. For feeder current-carrying phase conductors and neutrals: test the insulation resistance with respect to ground for one minute. Cables rated for 300 volts shall be tested with 500 volts DC and cables rated for 600 volts shall be tested with 1000 volts DC. All insulation resistance data gathered shall comply with manufacturer's documentation; if documentation does not exist, comply with the values found in Table 100.1 in the ANSI/NETA ATS-2009.
- D. Remove and replace malfunctioning units and retest as specified above.
- E. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

**END OF SECTION**

## **SECTION 26 05 26**

### **GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Refer to Division 26 "Electrical Testing".

##### **1.2 SUMMARY**

- A. This Section includes methods and materials for grounding systems and equipment.

##### **1.3 SUBMITTALS**

- A. Product Data: For each type of product indicated.
- B. Field quality-control test reports.

##### **1.4 QUALITY ASSURANCE**

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. Comply with UL 467 for grounding and bonding materials and equipment.
- C. Comply with National Fire Protection Association, NFPA 99, Healthcare Facilities.
- D. Comply with IEEE 142.

#### **PART 2 - PRODUCTS**

##### **2.1 MANUFACTURERS**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Erico (nVent).
  - 2. Blackburn Grounding Systems (ABB).
  - 3. Crouse-Hinds (Eaton).

##### **2.2 CONDUCTORS**

- A. Insulated Conductors: Copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
  - 1. Stranded Conductors: ASTM B 8.

2. Bonding Cable: stranded conductor sized per NEC 250 requirements.
3. Bonding Conductor: stranded conductor sized per NEC 250 requirements.
4. Bonding Jumper: Copper tape, braided conductors, terminated with copper ferrules; sized per NEC 250 requirements.

- C. Grounding Bus: Rectangular bars of annealed copper, 1/4 by 2 inches (6 by 50 mm) in cross section, unless otherwise indicated; with insulators and stand-off brackets. Provide length required to terminate all conductors plus an additional 25% for future, with a minimum length of 24". Use Erico #EGBA1444xxCC or approved equal.

## **2.3 CONNECTORS**

- A. Listed and labeled by a nationally recognized testing laboratory acceptable to authorities having jurisdiction for applications in which used, and for specific types, sizes, and combinations of conductors and other items connected.
- B. Bolted Connectors for Conductors and Pipes: Copper or copper alloy, bolted pressure-type, with at least two bolts.
1. Pipe Connectors: Clamp type, sized for pipe.
- C. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

## **2.4 GROUNDING ELECTRODES**

- A. Ground Rods: Copper-clad steel; 3/4-inch diameter by 10 feet in length.

## **PART 3 - EXECUTION**

### **3.1 APPLICATIONS**

- A. Conductors: Stranded conductors for all sizes, unless otherwise indicated.
- B. Underground Grounding Conductors: Install bare copper conductor, No. 3/0 AWG minimum. Bury at least 24 inches (600 mm) below grade.
- C. Grounding Bus: Install in electrical and telecommunication equipment rooms, in rooms housing service equipment, and elsewhere as indicated. Install bus on insulated spacers 1 inch (25 mm), minimum, with stand-off bracket from wall 6 inches (150 mm) above finished floor, unless otherwise indicated.
- D. Conductor Terminations and Connections:
1. Pipe and Equipment Grounding Conductor Terminations: Bolted connectors.
  2. Underground Connections: Welded connectors.
  3. Connections to Structural Steel: Welded connectors.

### **3.2 EQUIPMENT GROUNDING**

- A. Install insulated equipment grounding conductors with all feeders and branch circuits. The raceway shall not be relied on for ground continuity.
- B. Signal and Communication Systems: For telephone, alarm, voice and data, and other communication systems, provide a No. 3/0 AWG minimum insulated grounding conductor

(Telecommunications Bonding Backbone, TBB) in raceway from grounding electrode system to each service location, terminal cabinet, wiring closet, and central equipment location.

### 3.3 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible, unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Rods: Drive rods until tops are 6 inches below finished floor or final grade, unless otherwise indicated.
  - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating, if any.
- C. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance, except where routed through short lengths of conduit.
  - 1. Bonding to Structure: Bond straps directly to basic structure, taking care not to penetrate any adjacent parts.
  - 2. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install so vibration is not transmitted to rigidly mounted equipment.
  - 3. Provide exothermic-welded connection to building structural steel.
  - 4. Use exothermic-welded connectors for outdoor locations, but if a disconnect-type connection is required, use a bolted clamp.
- D. Grounding Bushings and Jumpers: Boxes provided with concentric, eccentric or over-sized knockouts shall be provided with bonding bushings and jumpers lugged to box.
- E. Grounding and Bonding for Piping:
  - 1. Metal Water Service Pipe: Install insulated copper grounding conductors, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes, using a bolted clamp connector or by bolting a lug-type connector to a pipe flange, using one of the lug bolts of the flange. Where a dielectric main water fitting is installed, connect grounding conductor on street side of fitting. Bond metal grounding conductor conduit or sleeve to conductor at each end.
  - 2. Water Meter and Backflow Preventer Piping: Use braided-type bonding jumpers to electrically bypass water meters and backflow preventers where located inside the building. Connect to pipe with a bolted connector.
- F. Grounding for Steel Building Structure: Install a driven ground rod at base of each corner column and at intermediate exterior columns at distances not more than 60 feet apart.
- G. Install a minimum of 60 feet of #4 AWG bare copper wire in foundation footing.
- H. Install grounding and bonding in patient care areas to meet requirements of NFPA 99.
- I. Equipment Grounding Conductor: Install separate, insulated conductor within each feeder and branch circuit raceway. Terminate each end on suitable lug, bus, or bushing.
- J. Install branch circuits feeding isolated ground receptacles with separate insulated grounding conductor, connected only at isolated ground receptacle, ground terminals, and at ground bus of serving panel.

### 3.4 FIELD QUALITY CONTROL

- A. Perform the following tests and inspections and prepare test reports:
  - 1. After installing grounding system, but before permanent electrical circuits have been energized, test for compliance with requirements.
  
- B. Testing Technician
  - 1. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.
  
- C. Physical Inspection and Testing
  - 1. Inspect grounding system to verify that it complies with the requirements in the drawings and specifications, as well as NFPA 70 *National Electric Code Article 250*, and NFPA 99 *Healthcare Facilities*.
  - 2. Inspect the physical and mechanical condition and verify that it complies with manufacturer's standards. All portions of the grounding system shall be free of corrosion.
  - 3. Confirm bolted electrical connections are provided with high impedance using one of the following means:
    - a. Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be compared to resistances measured on similar connections. Any similar resistance values that deviate more than 20 percent should be investigated.
    - b. Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench.
  - 4. Verify that adequate anchorage is in place for the grounding system.
  
- D. Electrical Inspection and Testing
  - 1. Conduct tests for fall of potential as defined by ANSI/IEEE 81 on the grounding system.
  - 2. Perform ground resistance testing in accordance with IEEE 142.
  - 3. Perform continuity testing in accordance with IEEE 142.
  - 4. Perform leakage current testing in accordance with NFPA 99.
  - 5. Determine the resistance to ground throughout grounding system including equipment frames, systems neutral, and equipment grounding bars. Measure ground resistance not less than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
  
- E. Remove and replace malfunctioning units and retest.
  
- F. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

**END OF SECTION**

## **SECTION 26 05 29**

### **HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. This Section includes the following:
  - 1. Hangers and supports for electrical equipment and systems.

##### **1.3 DEFINITIONS**

- A. EMT: Electrical metallic tubing.
- B. IMC: Intermediate metal conduit.
- C. RMC: Rigid metal conduit.

##### **1.4 SUBMITTALS**

- A. Product Data: For anchors, supports, and slotted channel/strut systems.

##### **1.5 QUALITY ASSURANCE**

- A. Comply with NFPA 70.

##### **1.6 COORDINATION**

- A. Coordinate size and location of concrete bases. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 03.
- B. Coordinate installation of roof curbs, equipment supports, and roof penetrations. These items are specified in Division 07 Section "Roof Accessories."

#### **PART 2 - PRODUCTS**

##### **2.1 AVAILABLE MANUFACTURERS**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. B-Line (Eaton).
  - 2. Kinetic Noise Control, Inc.
  - 3. Unistrut (Atkore International).

## **2.2 COATINGS**

- A. Coating: Supports, support hardware, and fasteners shall be protected with zinc coating or with treatment of equivalent corrosion resistance using approved alternative treatment, finish, or inherent material characteristic. Products for use outdoors shall be hot-dip galvanized.

## **2.3 MANUFACTURED SUPPORTING DEVICES**

- A. Raceway Supports: Clevis hangers, riser clamps, two-hole conduit straps, threaded C-clamps with retainers, ceiling trapeze hangers, wall brackets, and spring steel clamps as described in NECA 1 and NECA 101.
- B. Fasteners: Types, materials, and construction features as follows:
  - 1. Expansion Anchors: Carbon steel wedge or sleeve type for use in hardened Portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
  - 2. Powder-Driven Threaded Studs: Heat-treated steel for use in hardened Portland cement concrete, steel, or wood, with tension, shear, and pullout capacities appropriate for supported loads and building materials where used.
  - 3. Toggle Bolts: All steel springhead type.
  - 4. Hanger Rods: Threaded steel.
- C. Conduit Sealing Bushings: Factory-fabricated watertight conduit sealing bushing assemblies suitable for sealing around conduit, or tubing passing through concrete floors and walls. Construct seals with steel sleeves, malleable iron body, neoprene sealing grommets or rings, metal pressure rings, pressure clamps, and cap screws.
- D. Cable Supports for Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug for nonarmored electrical cables in riser conduits. Provide plugs with number and size of conductor gripping holes as required to suit individual risers. Construct body of malleable-iron casting with hot-dip galvanized finish.
- E. U-Channel Systems: Comply with MFMA-4, factory-fabricated components for field assembly; 12-gauge steel channels, with 9/16-inch-diameter holes, at a minimum of 2 inches on center, in top surface. Provide fittings and accessories that mate and match with U-channel and are of the same manufacture.

## **2.4 FABRICATED SUPPORTING DEVICES**

- A. General: Shop- or field-fabricated supports or manufactured supports assembled from U-channel components.
- B. Steel Brackets: Fabricated of angles, channels, and other standard structural shapes. Connect with welds and machine bolts to form rigid supports.

## **PART 3 - EXECUTION**

### **3.1 INSTALLATION**

- A. Install supporting devices to fasten electrical components securely and permanently in accordance with NEC requirements.
- B. Coordinate with the building structural system and with other electrical installation.

- C. Raceway Supports: Comply with the NEC and the following requirements:
1. Conform to manufacturer's recommendations for selection and installation of supports.
  2. Strength of each support shall be adequate to carry present and future load multiplied by a safety factor of at least four. Where this determination results in a safety allowance of less than 200 lbs., provide additional strength until there is a minimum of 200 lbs. safety allowance in the strength of each support.
  3. Install individual and multiple (trapeze) raceway hangers and riser clamps as necessary to support raceways. Provide U-bolts, clamps, attachments, and other hardware necessary for hanger assembly and for securing hanger rods and conduits.
  4. Support parallel runs of horizontal raceways together on trapeze-type hangers.
  5. Support individual horizontal raceways by separate pipe hangers. Spring steel fasteners may be used in lieu of hangers only for 1-1/2-inch and smaller raceways serving lighting and receptacle branch circuits above suspended ceilings only. For hanger rods with spring steel fasteners, use 1/4-inch-diameter or larger threaded steel. Use spring steel fasteners that are specifically designed for supporting single conduits or tubing. Spring steel fasteners are not permitted for use where exposed.
  6. Support raceways installed on interior of exterior building walls a minimum of 1/4 inch from wall surface using "clamp-back" struts.
  7. Space supports for raceways in accordance with Table I of this section. Space supports for raceway types not covered by the above in accordance with NEC.
  8. Support exposed and concealed raceway within 1 foot of an unsupported box and access fittings. In horizontal runs, support at the box and access fittings may be omitted where box or access fittings are independently supported and raceway terminals are not made with chase nipples or threadless box connectors.
  9. In vertical runs, arrange support so the load produced by the weight of the raceway and the enclosed conductors are carried entirely by the conduit supports with no weight load on raceway terminals. Spring steel fasteners are not permitted for use in vertical runs. Support individual vertical runs using two-hole straps. Support parallel runs of vertical raceway together on channel using bolted clamps.
- D. Miscellaneous Supports: Support miscellaneous electrical components as required to produce the same structural safety factors as specified for raceway supports. Install metal channel racks for mounting cabinets, panelboards, disconnects, control enclosures, pull boxes, junction boxes, transformers, and other devices.
- E. In open overhead spaces, cast boxes threaded to raceways need not be supported separately except where used for fixture support, support sheet metal boxes directly from the building structure or by bar hangers. Where bar hangers are used, attach the bar to raceways on opposite sides of the box and support the raceway with an approved type of fastener not more than 24 inches from the box.
- F. Fastening: Unless otherwise indicated, fasten electrical items and their supporting hardware securely to the building structure, including but not limited to conduits, raceways, cables, cable trays, busways, cabinets, panelboards, transformers, boxes, disconnect switches, and control components in accordance with the following:
1. Fasten by means of wood screws or screw-type nails on wood, toggle bolts on hollow masonry units, concrete inserts or expansion bolts on concrete or solid masonry, and machine screws, welded threaded studs, or spring-tension clamps on steel. Threaded studs driven by a powder charge and provided with lock washers and nuts may be used instead of expansion bolts and machine or wood screws. Do not weld conduit, pipe straps, or items other than threaded studs to steel structures. In partitions of light steel construction, use sheet metal screws.
  2. Holes cut to depths of more than 1-1/2 inches in reinforced concrete beams or to depths of more than 3/4 inch in concrete shall not cut the main reinforcing bars. Fill holes that are not used.

3. Ensure that the load applied to any fastener does not exceed 25 percent of the proof test load. Use vibration- and shock- resistant fasteners for attachments to concrete slabs.

**3.2 TABLE I: SPACING FOR RACEWAY SUPPORTS**

HORIZONTAL RUNS

<u>Raceway Size (Inches)</u>	<u>No. of Conductors in Run</u>	<u>Location</u>	<u>RMC (1)</u>	<u>EMT (1)</u>
1/2,3/4	1 or 2	Flat ceiling or wall.	5	5
1/2,3/4	1 or 2	Where it is difficult to provide supports except at intervals fixed by the building construction.	7	7
1/2,3/4	3 or more	Any location.	7	7
1/2-1	3 or more	Any location.		
1 & larger	1 or 2	Flat ceiling or wall.	6	6
1 & larger	1 or 2	Where it is difficult to provide supports except at intervals fixed by the building construction.	10	10
1 & larger	3 or more	Any location.	10	10
Any	....	Concealed.	10	10

VERTICAL RUNS

<u>Raceway Size (Inches)</u>	<u>No. of Conductors in Run</u>	<u>Location</u>	<u>RMC (1,2)</u>	<u>EMT (1)</u>
1/2,3/4	....	Exposed.	7	7
1,1-1/4	....	Exposed.	8	8
1-1/2 and larger	....	Exposed.	10	10
Up to 2	....	Shaftway.	14	10
2-1/2	....	Shaftway.	16	10
3 & larger	....	Shaftway.	20	10
Any	....	Concealed.	10	10

NOTES:

(1) Support spacing listed in feet. Maximum spacing of supports 10 feet.

Abbreviations: EMT Electrical metallic tubing.  
 RMC Rigid metallic conduit.

**END OF SECTION**

## SECTION 26 05 33

### RACEWAY AND BOXES FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.2 SUMMARY

- A. This Section includes interior and exterior raceways, fittings, boxes, enclosures, and cabinets for electrical wiring.

##### 1.3 DEFINITIONS

- A. EMT: Electrical metallic tubing.
- B. FMC: Flexible metal conduit.
- C. GRS: Galvanized rigid steel.
- D. LFMC: Liquidtight flexible metal conduit.
- E. RNC: Rigid nonmetallic conduit.
- F. IMC: Intermediate Metal Conduit

##### 1.4 SUBMITTALS

- A. Product Data: For raceways, wireways and fittings, floor boxes, hinged-cover enclosures, and cabinets.

##### 1.5 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- B. Comply with NFPA 70.

#### PART 2 - PRODUCTS

##### 2.1 METAL CONDUIT AND FITTINGS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Allied Tube & Conduit (Atkore International)
  - 2. O-Z Gedney (Emerson)
  - 3. Wheatland Tube Company.

- B. Rigid Steel Conduit: ANSI C80.1.
- C. Aluminum Rigid Conduit: ANSI C80.5.
- D. IMC: ANSI C80.6.
- E. EMT: ANSI C80.3.
- F. LFMC: Flexible steel conduit with PVC jacket.
- G. Fittings for Conduit (Including all Types and Flexible and Liquidtight), EMT, and Cable: NEMA FB 1; listed for type and size raceway with which used, and for application and environment in which installed.
  - 1. Conduit Fittings for Hazardous (Classified) Locations: Comply with UL 886.
  - 2. Fittings for EMT: Plated-steel hexagonal compression type. Cast, pot metal, set screw, or crimp type fittings are not acceptable.
    - a. Couplings shall be "concrete tight" where concealed in masonry.
    - b. Box connectors shall be insulated throat type.
- H. Joint Compound for GRS or IMC: Listed for use in cable connector assemblies and compounded for use to lubricate and protect threaded raceway joints from corrosion and enhance their conductivity.

## **2.2 NONMETALLIC CONDUIT AND FITTINGS**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. AFC Cable Systems (Atkore International).
  - 2. Thomas & Betts (ABB).
  - 3. Crouse-Hinds (Eaton)
- B. RNC: NEMA TC 2, Type EPC-40-PVC, unless otherwise indicated.
- C. Fittings for RNC: NEMA TC 3; match to conduit or tubing type and material.

## **2.3 METAL WIREWAYS, ENCLOSURES AND CABINETS**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. B-Line (Eaton).
  - 2. Hoffman (nVent).
  - 3. Square D (Schneider Electric).
- B. Description: Sheet metal sized and shaped as indicated, NEMA 250, Type 1, unless otherwise indicated.
- C. Fittings and Accessories: Include couplings, offsets, elbows, expansion joints, adapters, hold-down straps, end caps, and other fittings to match and mate with wireways as required for complete system.
- D. Wireway Covers: Hinged type.
- E. Finish: Manufacturer's standard enamel finish.

- F. Hinged-Cover Enclosures: NEMA 250, Type 1, with continuous-hinge cover with flush latch, unless otherwise indicated.
  - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
- G. Cabinets:
  - 1. NEMA 250, Type 1, galvanized-steel box with removable interior panel and removable front, finished inside and out with manufacturer's standard enamel.
  - 2. Hinged door in front cover with flush latch and concealed hinge.
  - 3. Key latch to match panelboards.
  - 4. Metal barriers to separate wiring of different systems and voltage.
  - 5. Accessory feet where required for freestanding equipment.

## **2.4 BOXES**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Crouse-Hinds (Eaton)
  - 2. Steel City (ABB)
  - 3. RACO (Hubbell)
- B. Sheet Metal Outlet and Device Boxes: NEMA OS 1.
- C. Cast-Metal Outlet and Device Boxes: NEMA FB 1, ferrous alloy, Type FD, with gasketed cover.
- D. Minimum junction box size shall be 4" square x 2 1/8" deep.
- E. Knockouts: Boxes having eccentric or concentric knockouts are not acceptable.

## **2.5 SPECIALTY FLOOR, WALL AND CEILING BOXES**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Wiremold (Legrand).
  - 2. Approved Alternative.
- B. Floor Boxes – Power, Data & AV: Wiremold (Legrand) 8AT poke-thru; Wiremold (Legrand) EFB6S-OG cast-in-place should not be used unless reviewed and approved by E&O. See details on plans.
  - 1. All floor boxes shall meet UL scrub water exclusion requirements.
- C. Floor Boxes – Furniture Connections: Wiremold (Legrand) 6ATCFF poke-thru; Wiremold (Legrand) EFBFF-OG cast-in-place should not be used unless reviewed and approved by E&O. See details on plans.
  - 1. All floor boxes shall meet UL scrub water exclusion requirements.
- D. Wall Boxes – Power, Data & AV: Wiremold (Legrand) EFSB4
- E. Ceiling Boxes – Wireless Access Point (WAP) Enclosure: Wiremold (Legrand) WAPENCL
- F. Ceiling Boxes – Projector Mount: Wiremold (Legrand) ECB2SP

## **2.6 WALL SINGLE AND DUAL CHANNEL RACEWAY**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

1. Wiremold (Legrand), ALA4800 Series.
2. Approved Alternative.

## 2.7 HANDHOLES AND BOXES FOR EXTERIOR UNDERGROUND WIRING

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  1. Quazite (Hubbell).
  2. Oldcastle Infrastructure (CRH).
  3. NewBasis.
- B. Fiberglass Reinforced Concrete Handholes: Constructed of polymer concrete and reinforced by heavy-weave fiberglass. Composite material shall be rated for no less than 8,000 lbs. Over a 10"x10" area and tested to temperatures of -50°F. Compressive strength should be no less than 11,000 psi. Covers shall have a minimum coefficient of friction of .5 and have a design load of minimum 15,000 lbs. per 10"x10" area. Units, when buried, shall be designed to support AASHTO H10 loading.
- C. Cover Legend: "ELECTRIC" or "COMMUNICATIONS" accordingly.

## PART 3 - EXECUTION

### 3.1 RACEWAY APPLICATION

- A. Outdoors: Apply raceway products as specified below, unless otherwise indicated:
  1. Exposed Conduit: GRS conduit with watertight connectors
  2. Concealed Conduit, Above ground: GRS conduit with watertight connectors
  3. Underground Conduit & Duct:
    - a. Service Entrance: RNC, Type EPC-40-PVC, concrete encased.
    - b. Feeders: RNC, Type EPC-40-PVC, concrete encased.
    - c. Branch Circuit: RNC, Type EPC-40-PVC, direct buried.
    - d. Telecommunications: RNC, Type EPC-40-PVC, concrete encased.
  4. Underground Conduit within 5 feet from foundation wall: GRS conduit.
  5. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): LFMC.
  6. Boxes and Enclosures, Aboveground: NEMA 250, Type 4.
- B. Indoors: Apply raceway products as specified below, unless otherwise indicated:
  1. Exposed, Not Subject to Physical Damage: EMT.
  2. Exposed and Subject to Physical Damage (This includes all conduit in Mechanical Rooms): GRS conduit.
    - a. Exposed conduit routed vertically and horizontally below 8' above finished floor in mechanical, electrical, and telecom rooms is considered subject to physical damage.
    - b. Exposed conduit routed vertically up through floor slabs shall be considered subject to physical damage until it reaches 8' above finished floor or enters a box, cabinet, or enclosure.
    - c. Exposed conduit routed down vertically from above 8' which enters boxes, cabinets, or enclosures mounted 48" to top above finished floor or higher is not considered exposed and subject to physical damage and EMT may be used.
  3. Concealed in Ceilings and Interior Walls and Partitions: EMT.
  4. Connection to Vibrating Equipment (Including Transformers and Hydraulic, Pneumatic, Electric Solenoid, or Motor-Driven Equipment): FMC, except use LFMC in damp or wet locations.

5. Damp or Wet Locations, including crawlspace: GRS conduit or IMC. EMT may be used for conduits 3" and above in a crawl space.
  6. Boxes and Enclosures: NEMA 250, Type 1, except use NEMA 250, Type 4, stainless steel in damp or wet locations.
  7. Raceway Color Coding: Refer to Section 260553 "Electrical Identification" for color coding requirements; otherwise, Contractor shall comply with the client's existing color-coding system.
- C. Raceway Fittings: Compatible with raceways and suitable for use and location.
1. Rigid and Intermediate Steel Conduit: Use threaded GRS conduit fittings, unless otherwise indicated.
- D. No metal clad (MC) or armored cable (AC) shall be used unless specifically stated elsewhere in contract documents.

### **3.2 INSTALLATION**

- A. Install raceways, boxes, enclosures, and cabinets as indicated, according to manufacturer's written instructions.
- B. Minimum Raceway Size:
1. Interior: 3/4-inch trade size.
  2. Exterior, below grade: 1-inch trade size.
- C. Install branch circuit junction boxes in each room or space where circuit serves equipment within same room or space for each branch source of power to accommodate future additions or renovations.
- D. Conceal conduit and EMT, unless otherwise indicated, within finished walls, ceilings, and floors.
- E. Keep raceways at least 12 inches away from parallel runs of flues and steam or hot-water pipes. Install horizontal raceway runs above water and steam piping.
- F. Install raceways level and square and at proper elevations. Provide adequate headroom.
- G. Complete raceway installation before starting conductor installation.
- H. Support raceways as specified in Division 26 Section "Supporting Devices."
- I. Use temporary closures to prevent foreign matter from entering raceways.
- J. Protect stub-ups from damage where conduits rise through floor slabs. Arrange so curved portion of bends is not visible above the finished slab.
- K. Make bends and offsets so ID is not reduced. Keep legs of bends in the same plane and straight legs of offsets parallel, unless otherwise indicated.
- L. Use raceway fittings compatible with raceways and suitable for use and location. For intermediate steel conduit, use threaded GRS conduit fittings, unless otherwise indicated.
- M. Run concealed raceways, with a minimum of bends, in the shortest practical distance considering the type of building construction and obstructions, unless otherwise indicated.

- N. Raceways Embedded in Slabs: Raceway shall not be installed embedded within floor and roof slabs, except where connecting to floor boxes. Install in middle third of slab thickness where practical and leave at least 1-inch (25-mm) concrete cover.
1. All raceway embedded in slabs shall be GRS conduit.
  2. Raceway shall extend a maximum of 24" from floor box before offsetting beneath slab. Raceway shall extend 12" from penetration of floor slab before transitioning back to electrical metallic tubing.
  3. Space raceways laterally to prevent voids in concrete.
  4. Run conduit parallel to or at right angles to main reinforcement. Where at right angles to reinforcement, place conduit close to slab support.
  5. Roofing slab: Raceway shall not be embedded in roofing slabs.
  6. Use PVC coated raceway where raceway exits concrete.
- O. Install exposed raceways parallel to or at right angles to nearby surfaces or structural members and follow the surface contours as much as practical.
1. Run parallel or banked raceways together, on common supports where practical.
  2. Make bends in parallel or banked runs from same centerline to make bends parallel. Use factory elbows only where elbows can be installed parallel; otherwise, provide field bends for parallel raceways.
- P. Join raceways with fittings designed and approved for the purpose and make joints tight.
1. Make raceway terminations tight. Use bonding bushings or wedges at connections subject to vibration. Use bonding jumpers where joints cannot be made tight.
  2. Use insulating bushings to protect conductors.
- Q. Terminations: Where raceways are terminated with locknuts and bushings, align raceways to enter squarely and install locknuts with dished part against the box. Where terminations are not secure with 1 locknut, use 2 locknuts: 1 inside and 1 outside the box.
1. Where concentric, eccentric, or over-sized knockouts are encountered, a grounding-type insulated bushing shall be provided.
- R. Where raceways are terminated with threaded hubs, screw raceways or fittings tightly into the hub, so the end bears against the wire protection shoulder. Where chase nipples are used, align raceways so the coupling is square to the box and tighten the chase nipple, so no threads are exposed.
- S. Install pull wires in empty raceways. Use No. 14 AWG zinc-coated steel or monofilament plastic line with not less than 200-lb (90-kg) tensile strength. Leave at least 12 inches (300 mm) of slack at each end of the pull wire.
- T. Telecommunications Stub-ups & Sleeves: Provide plastic bushings on all conduit stub-ups and sleeves.
- U. Telecommunications Raceways, 4-Inch Trade Size (DN53) and Smaller: In addition to the above requirements, install raceways at maximum lengths of 100 feet (30 m) and with a maximum of two 90-degree bends or equivalent. Separate lengths with pull or junction boxes sized per BICSI's Telecommunications Distribution Methods Manual where necessary to comply with these requirements. All bends shall be sweeping long radius manufactured elbows.
1. Type LB and similar conduit fittings are not permitted for use with any telecommunications raceways.
  2. Flexible metal conduit (FMC) is not permitted for use as a telecommunications raceway.
- V. Install raceway sealing fittings according to manufacturer's written instructions. Locate fittings at suitable, approved, and accessible locations and fill them with UL-listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a

finish similar to that of adjacent plates or surfaces. Install raceway sealing fittings at the following points:

1. Where conduits pass from warm to cold locations, such as the boundaries of refrigerated spaces.
2. Where conduits serve areas with a pressure monitoring system.
3. Where otherwise required by NFPA 70.

- W. Stub-up Connections: Where underground raceways are required to turn up into equipment, cabinets, etc., the elbow and stub-up shall be GRS. Install with an adjustable top or coupling threaded inside for plugs set flush with the finished floor. Extend to equipment with GRS conduit; FMC may be used 6 inches above the floor. Install screwdriver-operated, threaded flush flush with floor for future equipment connections.
- X. Flexible Connections: Use a maximum of 6 feet (1830 mm) of flexible conduit for recessed and semi-recessed lighting fixtures; for equipment subject to vibration, noise transmission, or movement; for fire alarm devices mounted in accessible lay-in tile ceilings; and for all motors. Use liquidtight flexible conduit in wet or damp locations. Install separate ground conductor across flexible connections.
- Y. Set floor boxes level and adjust to finished floor surface.
- Z. Install hinged-cover enclosures and cabinets plumb. Support at each corner.
- AA. Install no more than equivalent of three 90-degree bends between boxes. Install conduit bodies to make sharp changes in direction, as around beams. Install factory elbows for bends in metal conduit larger than 2-inch size.
- BB. Avoid moisture traps; install junction box with drain fitting at low points in conduit system.
- CC. Install fittings to accommodate expansion and deflection where raceway crosses seismic, control and expansion joints.
- DD. Do not support raceway with wire or perforated pipe straps. Remove wire used for temporary support.
- EE. Do not attach raceway or boxes to ceiling support wires or other piping systems.
- FF. Support boxes independently of conduit.
- GG. Do not install flush mounting box back-to-back in walls; install with minimum 6 inches separation. Install with minimum 24 inches separation in acoustic rated walls.
- HH. All fire alarm and nurse call wire and cable shall be run in raceway.
- II. For Life Safety and Critical branch circuits, use EMT to connect to chain hung/suspended light fixtures and to receptacles integral to nurse station casework per NC DHSR interpretation of NEC Article 517.31(C)(3).

### **3.3 INSTALLATION OF UNDERGROUND CONDUIT**

- A. Direct-Buried Conduit:
1. Excavate trench bottom to provide firm and uniform support for conduit. Prepare trench bottom as specified in Division 31 Section "Earth Moving" for pipe less than 6 inches (150 mm) in nominal diameter.
  2. Install backfill as specified in Division 31 Section "Earth Moving."

3. After installing conduit, backfill and compact. Start at tie-in point, and work toward end of conduit run, leaving conduit at end of run free to move with expansion and contraction as temperature changes during this process. Firmly hand tamp backfill around conduit to provide maximum supporting strength. After placing controlled backfill to within 12 inches (300 mm) of finished grade, make final conduit connection at end of run and complete backfilling with normal compaction as specified in Division 31 Section "Earth Moving."
  4. Install manufactured GRS conduit elbows for stub-ups at equipment and at building entrances through the floor.
    - a. Couple steel conduits to ducts with adapters designed for this purpose.
    - b. For stub-ups at equipment mounted on outdoor concrete bases, extend steel conduit horizontally a minimum of 60 inches (1500 mm) from edge of equipment pad or foundation. Install insulated grounding bushings on terminations at equipment.
- B. Concrete-Encased Conduit/Duct:
1. For electrical-power duct banks, note that ampacity of cables may be reduced in duct banks of more than two tiers of two ducts each.
  2. Slope: Pitch ducts a minimum slope of 1:300 down toward manholes and handholes and away from buildings and equipment. Slope ducts from a high point in runs between two manholes to drain in both directions.
  3. Manufactured sweep bends in first paragraph below are available in various radii up to 25 ft. (7.5 m) for 4- and 5-inch (100- and 125-mm) ducts, although a 48-inch (1220-mm) radius is the longest regularly stocked. To minimize pulling tensions, specify the longest radius possible, consistent with other Project requirements. See Editing Instruction No. 3 in the Evaluations. Coordinate with Drawings.
  4. Stub-ups are specified in paragraphs for concrete-encased and direct-buried ducts and duct banks.
  5. Curves and Bends: Use 5-degree angle couplings for small changes in direction. Use long sweep bends with a minimum radius of 25 feet, both horizontally and vertically, at other curve locations unless otherwise indicated. Use manufactured long sweep bends with a minimum radius of 48 inches only where indicated.
  6. Joints: Use solvent-cemented joints in ducts and fittings and make watertight according to manufacturer's written instructions. Stagger couplings so those of adjacent ducts do not lie in same plane.
  7. Duct Entrances to Manholes and Concrete and Polymer Concrete Handholes: Use end bells, spaced approximately 8 inches o.c. for 4-inch ducts, and vary proportionately for other duct sizes.
    - a. Begin change from regular spacing to end-bell spacing 10 ft. (3 m) from the end bell without reducing duct line slope and without forming a trap in the line.
    - b. Grout end bells into structure walls from both sides to provide watertight entrances.
  8. Coordinate design of concrete-encased duct banks approaching building wall penetrations with building structural design to support ducts at wall without reducing structural or watertight integrity of building. Do not use steel conduit in highly corrosive soils. Coordinate with Drawings.
  9. Sleeves and sleeve seals for conduits penetrating building walls below grade are specified in Division 26 Section "Basic Electrical Materials and Methods."
  10. Building Wall Penetrations: Make a transition from underground duct to GRS conduit at least 5 ft. (1.5 m) outside the building wall without reducing duct line slope away from the building and without forming a trap in the line. Use fittings manufactured for duct-to-conduit transition. Install conduit penetrations of building walls as specified in Division 26 Section "Common Work Results for Electrical."
  11. Sealing: Provide temporary closure at terminations of ducts that have cables pulled. Seal spare ducts at terminations. Use sealing compound and plugs to withstand at least 15-psig (1.03-MPa) hydrostatic pressure.
  12. Pulling Cord: Install 100-lbf- (445-N-) test nylon cord in ducts, including spares.
  13. Concrete-Encased Ducts: Support ducts on duct separators.

- a. Separator Installation: Space separators close enough to prevent sagging and deforming of ducts, with not less than 5 spacers per 20 ft. (6 m) of duct. Secure separators to earth and to ducts to prevent floating during concreting. Stagger separators approximately 6 inches (150 mm) between tiers. Tie entire assembly together using fabric or plastic straps; do not use tie wires or reinforcing steel that may form conductive or magnetic loops around ducts or duct groups.
  - b. Concreting Sequence: Pour each run of envelope between manholes or other terminations in one continuous operation.
    - 1) Start at one end and finish at the other, allowing for expansion and contraction of ducts as their temperature changes during and after the pour. Use expansion fittings installed according to manufacturer's written recommendations or use other specific measures to prevent expansion-contraction damage.
    - 2) If more than one pour is necessary, terminate each pour in a vertical plane and install 3/4-inch (19-mm) reinforcing rod dowels extending 18 inches (450 mm) into concrete on both sides of joint near corners of envelope.
  - c. Pouring Concrete: Spade concrete carefully during pours to prevent voids under and between conduits and at exterior surface of envelope. Do not allow a heavy mass of concrete to fall directly onto ducts. Use a plank to direct concrete down sides of bank assembly to trench bottom. Allow concrete to flow to center of bank and rise up in middle, uniformly filling all open spaces. Do not use power-driven agitating equipment unless specifically designed for duct-bank application.
  - d. Reinforcement: Reinforce concrete-encased duct banks. Arrange reinforcing rods and ties without forming conductive or magnetic loops around ducts or duct groups.
  - e. Color Dye: For concrete-encased duct banks under building slabs, provide red dye in concrete mix. Dye is not required for duct banks installed outside the footprint of the building slab.
  - f. Forms: Use walls of trench to form side walls of duct bank where soil is self-supporting and concrete envelope can be poured without soil inclusions; otherwise, use forms.
  - g. Depth: Install top of duct bank at least 24 inches (600 mm) below finished grade in areas not subject to deliberate traffic, and at least 30 inches (750 mm) below finished grade in deliberate traffic paths for vehicles unless otherwise indicated.
  - h. Stub-Ups: Use manufactured GRS conduit elbows for stub-ups at equipment and at building entrances through the floor.
    - 1) Couple steel conduits to ducts with adapters designed for this purpose and encase coupling with 3 inches (75 mm) of concrete.
    - 2) Stub-Ups to Equipment: For equipment mounted on outdoor concrete bases, extend steel conduit horizontally a minimum of 60 inches (1500 mm) from edge of base. Install insulated grounding bushings on terminations at equipment.
14. Warning Tape: Provide underground-line warning tape specified in Division 26 Section "Electrical Identification." Install 6 to 8" below finished grade.

### **3.4 INSTALLATION OF BOXES, ENCLOSURES, AND CABINETS**

- A. Device boxes shall have drawn cover 1/8" deeper than surface material thickness.
- B. Device boxes shall have rigid support to maintain box against wall surface.
- C. Provide 3" x 2" x 3" gangable boxes where 3-gang or more boxes are required.

### **3.5 INSTALLATION OF UNDERGROUND HANDHOLES AND BOXES**

- A. Install handholes and boxes level and plumb and with orientation and depth coordinated with connecting conduits to minimize bends and deflections required for proper entrances.
- B. Unless otherwise indicated, support units on a level bed of crushed stone or gravel, graded from 1/2-inch (12.5-mm) sieve to No. 4 (4.75-mm) sieve and compacted to same density as adjacent undisturbed earth.
- C. Elevation: In paved areas, set so cover surface will be flush with finished grade. Set covers of other enclosures 1 inch (25 mm) above finished grade.
- D. Field-cut openings for conduits according to enclosure manufacturer's written instructions. Cut wall of enclosure with a tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.

### **3.6 FIRESTOPPING**

- A. Apply firestopping to electrical penetrations of fire-rated floor and wall assemblies to restore original fire-resistance rating of assembly. Firestopping materials and installation requirements are specified in Division 07 Section "Penetration Firestopping."

### **3.7 PROTECTION**

- A. Provide final protection and maintain conditions that ensure coatings, finishes, and cabinets are without damage or deterioration at time of Substantial Completion.
  - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

**END OF SECTION**

## **SECTION 26 0553**

### **IDENTIFICATION FOR ELECTRICAL SYSTEMS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. This Section includes electrical identification materials and devices required to comply with ANSI C2, NFPA 70, OSHA standards, and authorities having jurisdiction.

##### **1.3 SUBMITTALS**

- A. Product Data: For each electrical identification product indicated.
- B. Identification Schedule: An index of nomenclature of all electrical equipment and system components used in identification signs and labels. Schedule shall depict preliminary printouts of proposed equipment labels for review prior to order.

##### **1.4 QUALITY ASSURANCE**

- A. Comply with ANSI C2.
- B. Comply with NFPA 70.
- C. Comply with ANSI A13.1 and NFPA 70 for color-coding.
- D. Comply with ANSI Z535.4 for safety signs and labels.
- E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, shall comply with UL 969.

#### **PART 2 - PRODUCTS**

##### **2.1 RACEWAY AND CABLE LABELS**

- A. Colored Adhesive Tape: Self-adhesive vinyl tape not less than 3 mils thick by 1 to 2 inches wide (0.08 mm thick by 25 to 51 mm wide).
- B. Underground-Line Warning Tape: Permanent, bright-colored, continuous-printed, vinyl tape.
  - 1. Not less than 6 inches wide by 4 mils thick (152 mm wide by 0.102 mm thick).
  - 2. Compounded for permanent direct-burial service.
  - 3. Embedded continuous metallic strip or core.
  - 4. Printed legend indicating type of underground line.
- C. Tape Markers: Vinyl or vinyl-cloth, self-adhesive, wraparound type with preprinted numbers and letters.

- D. Plasticized Card-Stock Tags: Vinyl cloth with preprinted and field-printed legends. Orange background, unless otherwise indicated, with eyelet for fastener.

## **2.2 NAMEPLATES AND SIGNS**

- A. Safety Signs: Comply with 29 CFR, Chapter XVII, Part 1910.145.
- B. Engraved Plastic Nameplates and Signs: Engraving stock, melamine plastic laminate, minimum 1/16 inch (1.6 mm) thick for signs up to 20 sq. in. (129 sq. cm) and 1/8 inch (3.2 mm) thick for larger sizes.
  - 1. Punched or drilled for mechanical fasteners.
- C. Baked-Enamel Signs for Interior Use: Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for the application. 1/4-inch (6.4-mm) grommets in corners for mounting.
- D. Exterior, Metal-Backed, Butyrate Signs: Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs with 0.0396-inch (1-mm) galvanized-steel backing; and with colors, legend, and size required for the application. 1/4-inch (6.4-mm) grommets in corners for mounting.
- E. Fasteners for Nameplates and Signs: Self-tapping, stainless-steel screws or No. 10/32, stainless-steel machine screws with nuts and flat and lock washers.

## **2.3 MISCELLANEOUS IDENTIFICATION PRODUCTS**

- A. Cable Ties: Fungus-inert, self-extinguishing, one-piece, self-locking, Type 6/6 nylon cable ties.
  - 1. Minimum Width: 3/16 inch (5 mm).
  - 2. Tensile Strength: 50 lb. (22.3 kg) minimum.
  - 3. Temperature Range: Minus 40 to plus 185 deg F (Minus 40 to plus 85 deg C).
  - 4. Color: According to color-coding.
- B. Paint: Formulated for the type of surface and intended use.
  - 1. Primer for Galvanized Metal: Single-component acrylic vehicle formulated for galvanized surfaces.
  - 2. Primer for Concrete Masonry Units: Heavy-duty-resin block filler.
  - 3. Primer for Concrete: Clear, alkali-resistant, binder-type sealer.
  - 4. Enamel: Silicone-alkyd or alkyd urethane as recommended by primer manufacturer.

## **PART 3 - EXECUTION**

### **3.1 INSTALLATION**

- A. Identification Materials and Devices: Install at locations for most convenient viewing without interference with operation and maintenance of equipment.
- B. Lettering, Colors, and Graphics: Coordinate names, abbreviations, colors, and other designations with corresponding designations in the Contract Documents or with those required by codes and owner standards. Use consistent designations throughout Project.
  - 1. General 277/480V and 120/208V Equipment: Black label with white core.
  - 2. Emergency Generation & Distribution Equipment: Orange label with white core.
  - 3. Stand-by Distribution Equipment: Green label with white core.
  - 4. Fire Alarm Equipment: Red label with white core.
  - 5. Voice, Data & Video Systems: Blue label with white core.

6. Security Systems: Burgundy label with white core.
- C. Sequence of Work: If identification is applied to surfaces that require finish, install identification after completing finish work.
- D. Degrease and clean surfaces to receive nameplates and labels.
- E. Install nameplate and label parallel to equipment lines.
- F. Secure nameplate to equipment front using screws or rivets.
- G. Secure nameplate to inside surface of door on recessed panelboards in finished locations.
- H. Identify underground conduits using one underground warning tape for each trench at 3 inches below finished grade.
- I. Self-Adhesive Identification Products: Clean surfaces before applying.
- J. Install painted identification according to manufacturer's written instructions and as follows:
  1. Clean surfaces of dust, loose material, and oily films before painting.
  2. Prime surfaces using type of primer specified for surface.
  3. Apply one intermediate and one finish coat of enamel.
- K. Raceways:
  1. Color code raceway and boxes per "Raceway and Boxes for Electrical Systems" section.
  2. Spare raceway for future use shall be identified as such and shall indicate where raceway originates and terminates on each end.
  3. Any abandoned conduit shall also be marked with a tag and tie wrap. Tag shall be labeled "Abandoned" with date of abandonment and if known, indicate where the conduit originates.
- L. Caution Labels for Indoor Boxes and Enclosures for Power and Lighting: Install pressure-sensitive, self-adhesive labels identifying system voltage with black letters on orange background. Install on exterior of door or cover.
- M. Circuit Identification Labels on Device Plates:
  1. Each receptacle and switch shall contain feeder panel name and circuit number.
  2. Labels shall be industrial high strength 1/2" clear vinyl tape with thermo-applied pure resin ink. Use Panduit Panther LS8E label maker on 3M vinyl or approved equal. Normal power devices shall have black letters on a clear background. Emergency power devices shall have red letters on a clear background.
- N. Circuit Identification Labels on Conduits, Outlet Boxes, Junction Boxes and Pull Boxes: Install labels externally.
  1. Conduits: All conduits shall have labels identifying panelboard name and circuit number that are installed within the conduit at the following locations:
    - a. Both sides of the conduit where they pass through a wall, floor, or other barrier.
    - b. Any conduits terminated at a pull or junction box containing more than one circuit.
    - c. All conduits terminated at a switchboard or panelboard.
  2. Normal power conduits shall have black letters on a white background. Emergency power conduits shall have red letters on a white background. Do not use handwritten labels.
  3. Exposed junction boxes: Each box shall be identified using an adhesive tape type of label (Panduit type) with the panelboard name and circuit number serving the device.

- Normal power devices shall have black letters on a clear background. Emergency power devices shall have red letters on a clear background.
4. Concealed junction and pull boxes: All junction box covers shall be marked in indelible ink (magic marker) with the panelboard name and circuit number of the conductors in the box. Each junction box shall be identified using an adhesive tape type of label (Panduit type) with the panelboard name and circuit number serving the device. Normal power devices shall have black letters on a white background. Emergency power devices shall have red letters on a white background. Do not use handwritten labels.
  5. Labeling Legend: Permanent, waterproof listing of panel and circuit number or equivalent.
  6. Future Use Circuits: Circuits for future use shall be identified as such and list panelboard and circuit number of the source.
- O. Secondary Service, Feeder, and Branch-Circuit Conductors: Color-code throughout the secondary electrical system.
1. Color-code 208/120-V system as follows:
    - a. Phase A: Black.
    - b. Phase B: Red.
    - c. Phase C: Blue.
    - d. Neutral: White.
    - e. Ground: Green.
  2. Color-code 480/277-V system as follows:
    - a. Phase A: Brown.
    - b. Phase B: Orange.
    - c. Phase C: Yellow.
    - d. Neutral: Gray.
    - e. Ground: Green.
  3. Factory apply color the entire length of all conductors, except the following field-applied, color-coding methods may be used instead for service conductors:
    - a. Colored, pressure-sensitive plastic tape in half-lapped turns for a distance of 6 inches (150 mm) from terminal points and in boxes where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding. Use 1-inch- (25-mm-) wide tape in colors specified. Adjust tape bands to avoid obscuring cable identification markings.
    - b. Colored cable ties applied in groups of three ties of specified color to each wire at each terminal or splice point starting 3 inches (76 mm) from the terminal and spaced 3 inches (76 mm) apart. Apply with a special tool or pliers, tighten to a snug fit, and cut off excess length.
- P. All circuits shall be identified with a machine-printed label identifying the source panelboard and the circuit number. The label shall be applied to each end of the conductors at all points where the conductors are accessible. Circuit numbers must match the circuit numbers as presented on the Contract Documents.
- Q. Apply identification to conductors as follows:
1. Conductors to Be Extended in the Future: Indicate source and circuit numbers.
  2. Multiple Power or Lighting Circuits in the Same Enclosure: Identify each conductor with source, voltage, circuit number, and phase. Use color-coding to identify circuits' voltage and phase.
  3. Multiple Control and Communication Circuits in the Same Enclosure: Identify each conductor by its system and circuit designation. Use a consistent system of tags, color-coding, or cable marking tape.
- R. Apply warning, caution, and instruction signs as follows:
1. Warnings, Cautions, and Instructions: Install to ensure safe operation and maintenance of electrical systems and of items to which they connect. Install engraved plastic-

- laminated instruction signs with approved legend where instructions are needed for system or equipment operation. Install metal-backed butyrate signs for outdoor items.
2. Emergency Operation: Install engraved laminated signs with white legend on red background with minimum 3/8-inch- (9-mm-) high lettering for emergency instructions on power transfer, load shedding, and other emergency operations.
- S. Equipment Identification Labels: Engraved phenolic. Install on each unit of equipment, including central or master unit of each system. Locate label on exterior of any enclosure. This includes power, lighting, signal, and alarm systems, unless units are specified with their own self-explanatory identification. Unless otherwise indicated, provide three lines of text with 1/4-inch-high lettering on 2-1/2-inch-high label; where four lines of text are required, use labels 2-1/2 inches high. Use surface and core colors as listed in Part 2 above. Provide labels for all electrical equipment listed below. In general, all labels shall include riser diagram ID, branch of power, amperage, voltage, number of phases/poles, and equipment served from (source). Provide additional information as listed below:
1. Switchboard: include bus amperage and mimic bus.
  2. Panelboards: MCB/MLO.
  3. Disconnect switches: equipment served by.
    - a. Provide labels for all disconnects provided by Division 23, 24 or 26.
  4. Enclosed circuit breakers: equipment served by.
    - a. Provide labels for all disconnects provided by Division 23, 24 or 26.
  5. Contactors & Relay Panels.
  6. Transformers: equipment served by.
  7. Generator Systems
  8. Transfer Switches: normal power source, emergency power source, and panelboard served by.
  9. Fire Alarm Control Panel and auxiliary power supplies and enclosures.

END OF SECTION

## **SECTION 26 2200**

### **DRY-TYPE TRANSFORMERS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. This Section includes power distribution control dry-type transformers rated 600 V and less.

##### **1.3 SUBMITTALS**

- A. Product Data: Include rated nameplate data, capacities, weights, dimensions, minimum clearances, installed devices and features, and performance for each type and size of transformer indicated.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 1. Wiring Diagrams: Power, signal, and control wiring.
- C. Field quality-control test reports.
- D. Operation and Maintenance Data: For transformers to include in emergency, operation, and maintenance manuals.

##### **1.4 QUALITY ASSURANCE**

- A. Source Limitations: Obtain each transformer type through one source from a single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with IEEE C57.12.91, "Test Code for Dry-Type Distribution and Power Transformers."

##### **1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Temporary Heating: Apply temporary heat according to manufacturer's written instructions within the enclosure of each ventilated-type unit, throughout periods during which equipment is not energized and when transformer is not in a space that is continuously under normal control of temperature and humidity.

## **1.6 COORDINATION**

- A. Coordinate size and location of concrete bases with actual transformers provided. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 03.
- B. Coordinate installation of wall-mounting and structure-hanging supports with actual transformers provided.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include the following:
  - 1. Square D (Schneider Electric)

### **2.2 GENERAL TRANSFORMER REQUIREMENTS**

- A. Description: Factory-assembled and -tested, air-cooled units for 60-Hz service.
- B. Cores: Grain-oriented, non-aging silicon steel.
- C. Coils: Continuous windings without splices except for taps.
  - 1. Internal Coil Connections: Brazed or pressure type.
  - 2. Coil Material: Aluminum.

### **2.3 DISTRIBUTION TRANSFORMERS**

- A. Comply with NEMA ST 20-2014, and list and label as complying with UL 1561.
- B. Cores: One leg per phase.
- C. Enclosure: Ventilated, NEMA 250, Type 3R.
  - 1. Core and coil shall be encapsulated within resin compound, sealing out moisture and air.
- D. Taps for Transformers 25 kVA and Larger: Two 2.5 percent taps above and four 2.5 percent taps below normal full capacity.
- E. Insulation Class: 220 deg C, UL-component-recognized insulation system with a maximum of 115 deg C rise above 40 deg C ambient temperature.
- F. Energy Efficiency for Transformers Rated 15 kVA and Larger:
  - 1. Complying with DOE 2016 (10 CFR 431.196) efficiency levels.
  - 2. Tested according to NEMA ST 20-2014.
- G. Wall Brackets: Manufacturer's standard brackets.

### **2.4 CONTROL AND SIGNAL TRANSFORMERS**

- A. Units comply with NEMA ST 1 and are listed and labeled as complying with UL 506.
- B. Ratings: Continuous duty. If rating is not indicated, provide capacity exceeding peak load by 50 percent minimum.

- C. Description: Self-cooled, 2 windings.

## **2.5 FINISHES**

- A. Indoor Units: Manufacturer's standard paint over corrosion-resistant pretreatment and primer.

## **2.6 IDENTIFICATION DEVICES**

- A. Nameplates: Engraved, laminated-plastic or metal nameplate for each transformer, mounted with corrosion-resistant screws. Nameplates and label products are specified in Division 26 Section "Identification for Electrical Systems."

## **2.7 SOURCE QUALITY CONTROL**

- A. Test and inspect transformers according to IEEE C57.12.91.

# **PART 3 - EXECUTION**

## **3.1 EXAMINATION**

- A. Examine conditions for compliance with enclosure- and ambient-temperature requirements for each transformer.
- B. Verify that field measurements are as needed to maintain working clearances required by NFPA 70 and manufacturer's written instructions.
- C. Examine walls, floors, roofs, and concrete bases for suitable mounting conditions where transformers will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

## **3.2 INSTALLATION**

- A. Comply with safety requirements of IEEE C2.
- B. Arrange equipment to provide adequate spacing for access and for circulation of cooling air.
- C. Install floor-mounted transformers on concrete base, 4-inch nominal thickness extending 2" beyond enclosure with chamfered edge. Comply with requirements for concrete base specified in Division 03 Section "Cast-in-Place Concrete."
- D. Install wall-mounting transformers level and plumb with wall brackets fabricated by transformer manufacturer.
- E. Install suspended transformers from ceiling on trapeze mounts with vibration isolators.
- F. Grounding: Provide single, multi-barrel (conductor) mechanical grounding lug for all grounding and bonding connections in transformers.

## **3.3 CONNECTIONS**

- A. Ground equipment according to Division 26 Section "Grounding and Bonding for Electrical Systems."

- B. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values.

### 3.4 FIELD QUALITY CONTROL

- A. Testing Technician
  - 1. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.
- B. Physical Inspection and Testing
  - 1. Verify equipment rating corresponds to drawings and specifications.
  - 2. Inspect the physical and mechanical condition and verify that it complies with manufacturer's standards.
  - 3. Verify equipment is properly secured and aligned with the required clearances as specified in the drawings and specifications. Assure that the equipment is properly grounded.
  - 4. Verify that all packing materials have been removed and the equipment has been cleaned.
  - 5. Confirm bolted electrical connections are provided with high impedance using one of the following means:
    - a. Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be compared to resistances measured on similar connections. Any similar resistance values that deviate more than 50 percent should be investigated.
    - b. Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench. If manufacturer's data is not available verify the torque meets the requirements of Table 100.12 in the ANSI/NETA ATS-2009.
    - c.
  - 6. Inspect tap positions and verify they meet the requirements of the drawings and specifications. Tap connections should not be changed unless otherwise specified.
- C. Electrical Inspection and Testing
  - 1. Test the Insulation resistance from winding to winding and from winding to ground. Compare the gathered resistance data with manufacturer's data if manufacturer's data is not available compare against Table 100.5 in the ANSI/NETA ATS-2009. Determine the polarization index and verify that it is not less than 1.
  - 2. Measure the secondary voltage from phase to phase and from phase to ground to verify it meets the requirements of the drawings and specifications as well as the equipment rating. Measurements should be taken after the transformer has been energized but prior to transformer loading.
- D. Test Labeling: On completion of satisfactory testing of each unit, attach a dated and signed "Satisfactory Test" label to tested component.
- E. Remove and replace units that do not pass tests or inspections and retest as specified above.
- F. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

**3.5 CLEANING**

- A. Vacuum dirt and debris; do not use compressed air to assist in cleaning. Remove paint splatters and other spots, dirt, and debris. Repair scratches and mars on finish to match original finish. Clean components internally using methods and materials recommended by manufacturers.

END OF SECTION

## **SECTION 26 2413**

### **SWITCHBOARDS**

#### **PART 1 GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section

##### **1.2 SUMMARY**

- A. Section includes all switchboards.

##### **1.3 SUBMITTALS**

- A. Shop Drawings: Indicate front and side views of enclosures with overall dimensions shown; conduit entrance locations and requirements; nameplate legends; size and number of bus bars for each phase, neutral, and ground; and switchboard instrument details.
- B. Product Data: Submit electrical characteristics including voltage, frame size and trip ratings, fault current withstand ratings, and time-current curves of equipment and components.
- C. Test Reports: Indicate results of factory production and field tests.

##### **1.4 CLOSEOUT SUBMITTALS**

- A. Project Record Documents: Record actual locations, configurations, and ratings of switchboards and their components on single line diagrams and plan layouts.
- B. Operation and maintenance Data: Submit spare data listing; source and current prices of replacement parts and supplies; and recommended maintenance procedures and intervals.

##### **1.5 DELIVERY, STORAGE, AND HANDLING**

- A. Deliver in 48-inch maximum width shipping splits, individually wrapped for protection and mounted on shipping skids.
- B. Accept switchboards on site. Inspect for damage.
- C. Store in clean, dry space. Maintain factory wrapping or provide additional canvas or plastic cover to protect units from dirt, water, construction debris, and traffic.
- D. Handle in accordance with NEMA PB 2.1. Lift only with lugs provided. Handle carefully to avoid damage to switchboard internal components, enclosure, and finish.

## 1.6 ENVIRONMENTAL REQUIREMENTS

- A. Conform to NEMA PB 2 service conditions during and after installation of switchboards.

## 1.7 FIELD MEASUREMENTS

- A. Verify field measurements prior to fabrication.

## 1.8 SEQUENCING

- A. Sequence work to avoid interferences with building finishes and installation of other products.

## 1.9 WARRANTY

- A. Manufacturer shall provide standard one-year warranty against defects in materials and workmanship for all products specified in this Section. Warranty period shall begin on date of final project acceptance.

## 1.10 MAINTENANCE

- A. Extra Materials: furnish extra materials described below that match product installed, are packaged with protective covering for storage, and are identified with labels describing contents.
  - 1. Potential Transformer Fuses: equal to 10 percent of amount installed for each size and type, but no fewer than 2 of each size and type.
  - 2. Control-Power Fuses: equal to 10 percent of amount installed for each size and type, but no fewer than 2 of each size and type.
  - 3. Indicating Lights: furnish 6 of each type required.

## PART 2 PRODUCTS

### 2.1 SWITCHBOARD ASSEMBLIES

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include the following:
  - 1. Basis of Design: Square D "QED-2"
- B. Product Description: NEMA PB 2, enclosed switchboard with electrical ratings and configurations as indicated on Drawings.
- C. Device Mounting:
  - 1. Main Section: See Drawings
  - 2. Distribution Section: See Drawings
- D. Barriers:
  - 1. Main Circuit Breaker Section: Provide barrier between main circuit breaker section and any distribution section. Provide horizontal barrier if distribution breakers are mounted in same section as main circuit breaker.
  - 2. Individually Mounted Circuit Breaker Sections: Provide barrier between all individually mounted circuit breaker distribution sections. Provide horizontal barrier if distribution breakers are mounted in same section as other individually mounted distribution breakers.

3. Metering Compartment: Provide barriers separating metering compartment from main circuit breaker. Meter, display, and fuses shall be capable of being accessed and replaced without exposure to main circuit breaker terminals.
  4. SPD Compartment: Provide barriers separating SPD compartment from main circuit breaker. Meter, display, and fuses shall be capable of being accessed and replaced without exposure to main circuit breaker terminals.
- E. Bus:
1. Material: Copper with tin plating, standard size.
  2. Connections: Bolted.
  3. Use copper for feeder circuit-breaker line connections.
  4. Provide 100% neutral bus.
  5. Uniform capacity for entire length of switchboard's main and distribution sections. Provide for future extensions from both ends.
- F. Ground Bus: Copper, extend length of switchboard.
- G. Line and Load Terminations: suitable for conductor materials and sizes as indicated on Drawings.
- H. Pull Section: Size as indicated on Drawings.
- I. Future Provisions: Fully equip spaces for future devices with bussing and bus connections, insulated and braced for short circuit currents. Furnish continuous current rating as indicated on Drawings.
- J. Enclosure: Type 1 - General Purpose.
- K. Front-Connected, Front-Accessible Switchboard. Align sections at front only.
- L. Switchboard Height: 90 inches, excluding floor sills, lifting members and pull boxes.
- M. Finish: Manufacturer's standard light gray enamel over external surfaces. Coat internal surfaces with minimum one coat corrosion-resisting paint, or plate with cadmium or zinc.
- N. Mimic Bus: mimic bus shall be factory applied to front of switchboard. Arrange in single-line diagram format showing bussing, connections and devices using symbols and letter designations consistent with final mimic-bus diagram. Use black color factory painting. Coordinate mimic-bus segments with devices in switchboard sections to which they are applied. Produce concise visual presentation of principal switchboard components and connections.
- O. Hinged Front Panels: Allow access to circuit-breaker, metering, accessory, and blank compartments.

## **2.2 SWITCHBOARD - DEVICES**

- A. Main Section Device: Main Circuit Breaker shall be Two-Step stored energy electronic trip molded case circuit breaker, fixed, 100% rated and individually mounted power terminals to accommodate cable connections. Circuit breaker handle accessories shall provide provisions for locking handle in the "ON" or "OFF" position.
- B. Fixed Individual Mounted Section Device: Circuit Breaker shall be Two-Step stored energy electronic trip molded case circuit breaker, fixed, 100% rated and individually

mounted power terminals to accommodate cable connections. Circuit breaker handle accessories shall provide provisions for locking handle in the "ON" or "OFF" position.

- C. Main Circuit Breaker and Fixed Individual Mounted Circuit Breakers.
1. Circuit Breaker
    - a. Circuit protective devices shall be two-step stored energy type circuit breaker, they shall be UL Listed for 100% continuous current where indicated on drawings. Sensor (frame) ampere ratings shall be as shown on the drawings.
    - b. Provide a High Level Selective Override circuit on circuit breaker with a defeatable instantaneous adjustment to allow the breaker (1) to remain closed for up to 30 cycles during overcurrents below the following RMS symmetrical short time withstand ratings (shown below) and (2) to trip instantaneously when current levels exceed these withstand ratings:
      - 1) UL LISTED 600V:
      - 2) Withstand Ratings: 65kA
    - c. Main circuit breaker shall be constructed in accordance with the following standards:
      - 1) UL 489
      - 2) NEMA AB1
      - 3) CSA 22.2, no. 5-M1986
      - 4) Federal Specification W-C 375B/GEN
      - 5) IEC 157-1
    - d. Circuit breaker shall utilize a glass reinforced insulating material providing high dielectric strength. Current carrying components shall be completely isolated from the trip unit and accessory mounting area. Breaker shall have common tripping of all poles and shall be trip free. The circuit breaker shall be UL Listed for reverse connection without requiring special construction.
    - e. Circuit breaker shall be factory sealed and shall have a date code on the face of the circuit breaker. Poles shall be labeled with respective phase designations.
    - f. Circuit breaker escutcheon shall have International I/O markings, in addition to standard ON/OFF markings. Circuit breaker handle accessories shall provide provisions for locking handle in the "ON" or "OFF" position.
    - g. Breaker handle and faceplate shall indicate rated ampacity. Breaker faceplate shall indicate UL and IEC certification standards with applicable voltage systems and corresponding AIC ratings.
    - h. Main circuit breaker shall be equipped with a push-to-trip button to mechanically operate the circuit breaker tripping mechanism.
    - i. Main Circuit Breaker: Provide true two-step stored energy mechanism for 5 cycle closing. Energy required to close breaker shall be stored pending release to close action. Circuit breaker shall have multiple CHARGE/CLOSE provisions allowing the following sequence: CHARGE, CLOSE, RECHARGE, OPEN/CLOSE/OPEN.
    - j. Main Circuit Breaker: Provide for local manual charging following CLOSE operation. Include color-coded visual indication of mechanism CHARGED and DISCHARGED position on the face of the breaker. Visual indicator shall indicate CHARGED only when closing springs are completely charged.
    - k. Circuit breaker shall be equipped with electrical accessories as noted on the drawings.
  2. Electronic Trip System

- a. The integral trip system shall be independent of any external power source and shall contain electronic components to measure ampacity and time the output from internal current sensors and initiate automatic tripping action. The trip unit shall be factory installed and capable of being field interchangeable while retaining UL Listing.
- b. Circuit breaker shall have an integral external power source such that the screen will display load current and breaker settings whenever the switchboard is energized. Relying solely on the current flowing in the circuit breaker to energize the display screen shall not be acceptable.
- c. The entire trip system shall be a microprocessor-based, true RMS sensing design with sensing accuracy through the 13th harmonic.
- d. The continuous ampere rating of the circuit breaker shall be determined by the combination of an interchangeable rating plug, the sensor size, and the long-time ampere rating switch of the circuit breaker. The ampere rating resulting from the rating plug/sensor/ switch combination shall be clearly marked on the front of the circuit breaker.
- e. Provide a means to seal the rating plug and trip unit adjustments to discourage unauthorized tampering in accordance with NEC 240-6.
- f. Provide the following time/current curve shaping adjustments to maximize system selective coordination. Each adjustment shall have discrete settings and each function is independent from all other adjustments.
  - a) LSIG (Ground Fault where indicated)
  - b) Adjustable Long Time Ampere Rating and Delay
  - c) Adjustable Short Time Pickup and Delay (delay includes  $I^2 t$  IN and  $I^2 t$  OUT)
  - d) Adjustable Instantaneous Rating
  - e) Adjustable Ground Fault Pickup and Delay (delay includes  $I^2 t$  IN and  $I^2 t$  OUT)
  - f) High Level Selective Override
- g. Provide local visual trip indicators for overload, short circuit and ground fault trip functions.
- h. Provide a local ammeter to individually display all phase currents flowing through the circuit breaker. If the circuit breaker is equipped with ground fault, provide the ability to display % of trip and inherent ground fault current flowing in the system. All current values shall be displayed in true RMS values with 2% accuracy.
- i. For the Main Circuit Breaker and all Feeder Breakers, circuit breaker shall be provided with zone selective interlocking on both Short Time and Ground Fault functions to provide maximum protection yet permit optimum coordination with all other electronic trip circuit breakers.
- j. Provide Long Time pickup indication to signal when load approaches or exceeds the continuous current carrying capacity of the circuit breaker.
- k. The trip system shall include a memory circuit to detect intermittent overcurrent conditions.
- l. Circuit breaker trip system is to include an externally accessible test port for use with a Universal Equipment Test Set. Provide (1) one Universal Equipment Test Set for this project job; deliver to the owner at final inspection. This test set shall be suitable for testing all electric circuit breakers specified for this project. No disassembly of the circuit breaker is required for testing. Test set shall be capable of verifying the operation of all trip functions with or without tripping the circuit breaker.
- m. Circuit breaker trip unit shall be capable of communicating the following data via a high-speed network (19.2k Baud)

Phase current of A, B, and C phases and ground fault in real-time.

Switch settings:

Long-time settings: pickup and delay

Short-time settings: pickup and delay

Instantaneous settings

Ground fault settings: pickup and delay

Trip History

Last fault type

Phase current of A, B, & C phases and ground fault at time of last trip

Short circuit pickup

Overload pickup

Overload trips (cumulative)

Short circuit trips (cumulative)

Ground fault trips (cumulative)

- n. Where not already protected by a zone selective interlocking system, all breakers 1200 Amp and larger shall have an Energy Reduction Maintenance Switch (ERMS) per NEC 240.87.

3. Equipment Ground Fault Protection (Where Indicated)

- a. Circuit breaker shall be provided with integral equipment protection for grounded systems. The circuit breaker shall be suitable for use on three phase, four wire systems.
- b. The ground fault system shall include a memory circuit for positive tripping action despite intermittent arcing ground faults.
- c. The ground fault system shall be Zero Sequence.
- d. Provide an integral means of testing the ground fault system to meet the on-site testing requirements of NEC article 230-95(c).
- e. Provide a separate neutral current transformer for three phase four wire systems as shown.
- f. Provide Short Time and Ground Fault Zone Selective Interlocking (ZSI) wired.

4. Terminations

- a. All lugs shall be UL Listed to accept solid and/or stranded copper conductors only. Lugs shall be suitable for 90°C rated wire, sized according to the 75°C temperature rating in the NEC.
- b. All circuit breakers shall be UL Listed to accept field installable/removable mechanical type lugs.
- c. All circuit breakers shall be suitable for bus connection.

D. Group Mounted I-Line Electronic Trip Molded Case Circuit Breakers:

1. Circuit Breaker

- a. Circuit breaker shall be factory sealed and shall have a date code on the face of the circuit breaker. Poles shall be labeled with respective phase designations.
- b. Circuit breaker escutcheon shall have International I/O markings, in addition to standard ON/OFF markings. Circuit breaker handle accessories shall provide provisions for locking handle in the "ON" or "OFF" position.
- c. Breaker handle and faceplate shall indicate rated ampacity. Breaker faceplate shall indicate UL and IEC certification standards with applicable voltage systems and corresponding AIC ratings.

2. Electronic Trip System

- a. The integral trip system shall be independent of any external power source and shall contain electronic components to measure ampacity and time the output from internal current sensors and initiate automatic tripping action. The trip unit shall be factory installed and capable of being field interchangeable while retaining UL Listing.
  - b. Circuit breaker shall have an integral external power source such that the screen will display load current and breaker settings whenever the switchboard is energized. Relying solely on the current flowing in the circuit breaker to energize the display screen shall not be acceptable.
  - c. The entire trip system shall be a microprocessor-based, true RMS sensing design with sensing accuracy through the 13th harmonic.
  - d. The continuous ampere rating of the circuit breaker shall be determined by the combination of an interchangeable rating plug, the sensor size, and the long-time ampere rating switch of the circuit breaker. The ampere rating resulting from the rating plug/sensor/ switch combination shall be clearly marked on the front of the circuit breaker.
  - e. Provide a means to seal the rating plug and trip unit adjustments to discourage unauthorized tampering in accordance with NEC 240-6.
  - f. Provide the following time/current curve shaping adjustments to maximize system selective coordination. Each adjustment shall have discrete settings and each function is independent from all other adjustments.
    - a) LSIG (Ground Fault where indicated)
    - b) Adjustable Long Time Ampere Rating and Delay
    - c) Adjustable Short Time Pickup and Delay (delay includes  $I^2 t$  IN and  $I^2 t$  OUT)
    - d) Adjustable Instantaneous Rating
    - e) Adjustable Ground Fault Pickup and Delay (delay includes  $I^2 t$  IN and  $I^2 t$  OUT)
    - f) High Level Selective Override
  - g. Provide local visual trip indicators for overload, short circuit and ground fault trip functions.
  - h. Provide a local ammeter to individually display all phase currents flowing through the circuit breaker. If the circuit breaker is equipped with ground fault, provide the ability to display % of trip and inherent ground fault current flowing in the system. All current values shall be displayed in true RMS values with 2% accuracy.
  - i. Provide Long Time pickup indication to signal when load approaches or exceeds the continuous current carrying capacity of the circuit breaker.
  - j. The trip system shall include a memory circuit to detect intermittent overcurrent conditions.
3. Equipment Ground Fault Protection (Where Indicated)
- a. Circuit breaker shall be provided with integral equipment protection for grounded systems. The circuit breaker shall be suitable for use on three phase, four wire systems.
  - b. The ground fault system shall include a memory circuit for positive tripping action despite intermittent arcing ground faults.
  - c. The ground fault system shall be Zero Sequence.
  - d. Provide an integral means of testing the ground fault system to meet the on-site testing requirements of NEC article 230-95(c).
  - e. Provide a separate neutral current transformer for three phase four wire systems as shown.
  - f. Provide Short Time and Ground Fault Zone Selective Interlocking (ZSI) wired.
4. Terminations

- a. All lugs shall be UL Listed to accept solid and/or stranded copper conductors only. Lugs shall be suitable for 90°C rated wire, sized according to the 75°C temperature rating in the NEC.
- b. All circuit breakers shall be UL Listed to accept field installable/removable mechanical type lugs.
- c. All circuit breakers shall be suitable for bus connection.

### **2.3 MOLDED CASE CIRCUIT BREAKER**

- A. Product Description: NEMA AB 1, molded-case circuit breaker.
- B. Field-Adjustable Trip Circuit Breaker: Circuit breakers with frame sizes 200 amperes and larger have mechanism for adjusting continuous current setting for automatic operation. Range of Adjustment: percent.
- C. Field-Changeable Ampere Rating Circuit Breaker: Circuit breakers with frame sizes 800 amperes and larger have changeable trip units.
- D. Solid-State Circuit Breaker: Electronic sensing, timing, and tripping circuits for adjustable current settings; ground fault trip with integral ground fault sensing; instantaneous trip; and adjustable short time trip.
- E. Accessories: As indicated on Drawings.

### **2.4 INSULATED CASE CIRCUIT BREAKER**

- A. Product Description: NEMA AB 1, enclosed, insulated-case circuit breaker.
- B. Trip Unit: Electronic sensing, timing, and tripping circuits for adjustable current settings; ground fault trip with integral ground fault sensing; instantaneous trip; and adjustable short time trip.
- C. Accessories: As indicated on Drawings.

### **2.5 SURGE PROTECTIVE DEVICES**

- A. General: Integrally mounted, modular, solid-state, parallel-connected, sinewave tracking suppression and filtering modules. All Surge Protective Devices (SPD) systems shall be modular permanently connected, parallel designs. Series suppression elements shall not be acceptable. SPD system shall be a hybrid design utilizing thermally Protected Metal Oxide Varistors and Filter capacitors to suppress EMI/RFI electrical noise.
- B. The SPD shall be rated for 480/277VAC, three-phase, four-wire plug ground. The SPD system shall provide surge protection in all possible modes (L-N, L-G, L-L, and N-G). Each replaceable module shall provide the ability to deliver full surge current rating per mode.
  1. Per Mode: 250 kA.
  2. Per Phase: 500 kA.
- C. The SPD shall provide EMI/RFI electrical noise attenuation of 36 to 44dB in the range of 50kHz to 100MHz.

- D. Voltage Protection Ratings: The UL 1449 3<sup>rd</sup> Edition Voltage Protection Ratings "VPR" (6kV, 3000 Amps, 8/20 $\mu$ s waveform) shall not exceed the UL assigned values listed below:
  - 1. L-L: 2000 V.
  - 2. L-N, L-G, N-G: 1200 V.
- E. The SPD shall have a minimum UL 1449 3<sup>rd</sup> Edition Nominal Discharge Current Rating ( $I_n$ ) of 10,000 Amps.
- F. Each individual module shall feature a green LED indicating the individual module has all surge protection devices active and a red LED indicating protective device is off-line.
- G. Accessories shall include the following:
  - 1. Form-C contacts, one normally open and one normally closed, for remote monitoring of system operation. Contacts to reverse position on failure of any surge diversion module.
  - 2. Audible alarm activated on failure of any surge diversion module.
  - 3. Six-digit transient-counter set to totalize transient surges that deviate from the sine-wave envelope by more than 125 V

## 2.6 POWER METERS

- A. Provide sufficient quantity and size of CT's to operate all monitoring and circuit breaker operations.
- B. CIRCUIT MONITORS - Intermediate (CM4000)
  - 1. General Provisions
  - 2. All setup parameters required by the Circuit Monitors shall be stored in nonvolatile memory and retained in the event of a control power interruption.
  - 3. The Circuit Monitor may be applied in three-phase, three- or four-wire systems. In four-wire connections the Circuit Monitor shall utilize the circuit neutral common reference and not earth ground, to provide metering accuracy.
- C. Measured values
  - 1. The Circuit Monitors shall provide the following, true RMS metered quantities. In addition, the circuit monitor shall record and save in nonvolatile memory the minimum and maximum values of all listed values since last reset. The circuit monitor shall also record and save in nonvolatile memory the interval minimum, maximum, and average of any of the values pre-defined over a user specified interval.
    - a. Real-Time Readings - Current (Per-Phase, N, G, 3-Phase Avg, Apparent rms, % Unbalanced ), Voltage (L-L Per-Phase, L-L 3-Phase Avg, L-N Per-Phase, 3-Phase Avg, % unbalanced) Real Power (Per-Phase, 3-Phase Total), Reactive Power (Per-Phase, 3-Phase Total), Apparent Power (Per-Phase, 3-Phase Total), Power Factor (True)(Per-Phase, 3-Phase Total),
    - b. Demand Readings - Demand Current Calculations (Per-Phase, 3-Phase Avg, Neutral), Present Running Average Last completed interval Peak Demand Voltage Calculations(L-N, L-L, Per-Phase, 3-Phase avg.)
    - c. Demand Real Power Calculations (3-Phase Total): Present, Running Average
  - 2. Power Analysis Values
    - a. THD – Voltage, Current (3-Phase, Per-Phase, Neutral), thd - Voltage, Current (3-Phase, Per-Phase, Neutral), Total Demand Distortion, Displacement Power Factor (Per-Phase, 3-Phase), Fundamental

Voltage, Magnitude and Angle (Per-Phase), Fundamental Currents, Magnitude and Angle (Per-Phase), Fundamental Real Power (Per-Phase, 3-Phase), Fundamental Reactive Power (Per-Phase)

- D. Demand
1. All power demand calculations shall use any one of the following calculation methods, selectable by the user:
    - a. Thermal demand using a sliding window updated every second for the present demand and at the end of the interval for the last interval. The window length shall be set by the user from 1-60 minutes in one-minute increments.
    - b. Demand can be calculated using a Synchronization signal:
  2. Sampling
    - a. The current and voltage signals shall be digitally sampled at a rate high enough to provide true rms accuracy to the 63<sup>rd</sup> harmonic (fundamental of 60 Hz).
    - b. The circuit monitor shall provide continuous sampling at a minimum of up to 128 samples/cycle, simultaneously on all voltage and current channels in the meter.
  3. Minimum and Maximum Values
    - a. The Circuit Monitor shall record and save in a nonvolatile memory the minimum and maximum values of all true RMS metered values since the last reset.
    - b. For each min/max value, the Circuit Monitor shall record the following attributes: Date/Time of the min/max value, Min./Max. value, Phase of value recorded (for multi-phase quantities)
    - c. The Circuit Monitor shall also record and save in a nonvolatile memory the interval minimum, maximum and average of any of the metered values predefined over a user specified interval.
  4. Harmonic Resolution
    - a. Advanced harmonic information shall be available via the Circuit Monitor. This shall include the calculation of the harmonic magnitudes and angles for each phase voltage and current through the 63<sup>rd</sup> harmonic.
  5. Current Inputs
    - a. The circuit monitors shall accept current inputs from standard instrument current transformers with 5-amp secondary output and shall have a metering range of 0-10 amps with the following withstand currents: 15 amp continuous, 50-amp 10 sec per hour, 500-amp 1 sec per hour.
  6. Voltage Inputs
    - a. The circuit monitor shall allow connection to circuits up to 600 volts AC without the use of potential transformers.
  7. Accuracy
    - a. The circuit monitor shall comply with ANSI C12.20 .5 class and IEC 60687 0.5S class for revenue meters and shall provide wire seal attachment ability to protect revenue settings.
    - b. The Circuit Monitor shall be accurate to 0.15% of reading + .025% of full scale for power and energy. Voltage and current shall be accurate to 0.075% of reading plus 0.025% of full scale. Power factor metering shall be accurate to  $\pm 0.002$  from .5 leading to .5 lagging. Frequency metering shall be accurate  $\pm 0.01$  Hz at 45-67 Hz.
    - c. No annual calibration shall be required to maintain this accuracy.
  8. Waveform Capture
    - a. Steady State Waveform Capture The circuit monitor shall provide steady state waveform captures of the voltage and current waveforms. Waveform capture shall be for 2 cycles and is initiated manually, using

- software. Harmonic analysis performed on the captured waveforms shall resolve harmonics through the 63<sup>rd</sup>.
- b. The Disturbance Waveform shall be initiated manually, by an external contact closure, or by an alarm. The Waveform shall take 128 samples/cycle for 12 to 60 cycles. The number of pre-alarm cycles will be adjustable.
9. Disturbance Detection - Sag and Swell detection
- a. The circuit monitor shall include the following Sag and Swell detection capabilities: The Circuit Monitor shall continuously monitor for disturbances in the current and voltage. There shall be zero blind time; each cycle shall be individually monitored.
  - b. Upon detecting a disturbance, the Circuit Monitor shall be capable of : Capturing a waveform of the event, 12- 60 cycles in length, of all phase currents and voltages, Initiating the 100mSec Event Recording log, Operating any output relay on an optional I/O module, Force the recording of data logs, Recording the disturbance parameters into an on-board alarm log with a date and time stamp to the millisecond Causing an operator alarm on the display and at the PC workstation if supplied with power management software.
10. Input/Output
- a. The circuit monitor shall support multiple input/output options including digital inputs, mechanical relay outputs, and solid state KYZ pulse outputs. This optional I/O shall be in the form of an option card that can be field installable.
  - b. The circuit monitor shall be capable of operating a solid state KYZ output relay to provide output pulses for a user definable increment of reported energy. Minimum relay life shall be in excess of one billion operations. The standard KYZ output shall operate up to 240-volt AC, 300-volt DC, 96mA max, and provide 3750-volt rms isolation.
11. Alarming
- a. Alarm events shall be user definable.
  - b. The following classes of events shall be available as alarm events: Over/under current, Over/under voltage, Current imbalance, Phase loss, current, Phase loss, voltage, Voltage imbalance, Over kVA
  - c. For each over/under metered value alarm, the user shall be able to define a pick-up, drop-out, and delay.
12. Feature Addition
- a. It shall be possible to field upgrade the firmware in the Circuit Monitor to enhance functionality. These firmware upgrades shall be done through the communication connection and shall allow upgrades of individual meters or groups. No disassembly or changing of integrated circuit chips shall be required and it will not be necessary to de-energize the circuit or the equipment to perform the upgrade.
13. Control Power
- a. The Circuit Monitor shall operate properly over a wide range of control power including 100-264 VAC or 100-300 VDC. Connections to 18-60 VDC shall also be available.
  - b. Ride through capability shall be available for backup control power for up to 8 seconds.
14. Communications
- a. The Circuit Monitor shall communicate via RS-485 Modbus or Jbus protocol with provisions for both 2-wire or 4-wire connection.
  - b. Using an optional ECC-21 Ethernet Communications Card, the Circuit Monitor shall provide Modbus communications using Modbus TCP via an

Ethernet network at 10/100Mbaud using UTP or at 100 Mbaud using a Fiber connection.

15. Display
  - a. The Circuit Monitor display shall allow the user to select one of six languages to view on the screen: English, French, Italian, Polish, German or Spanish. The Circuit Monitor display shall also allow the user to select a date/time format and the ability to create additional screens for user-specified views and/or custom quantities without overwriting existing standard screens.
  - b. For ease in operator viewing, two displays are offered for local viewing of Circuit Monitor data. The liquid crystal display (LCD) shall include backlighting. A proximity sensor as the operator approaches shall automatically activate the enhanced vacuum fluorescent display (VFD).
- E. The Circuit Monitor shall be a CM4000 series manufactured by Square D Company.

## **2.7 ACCESSORIES**

- A. Circuit Breaker Lifting Device: Carriage and track mounted at top front of each switchboard with lifting device, complete with hoist and lifting yoke, to serve draw-out circuit breakers in switchboard.

## **2.8 SOURCE QUALITY CONTROL**

- A. Furnish shop inspection and testing in accordance with NEMA PB 2.

## **PART 3 EXECUTION**

### **3.1 EXAMINATION**

- A. Receive, inspect, handle, and store switchboards according to NEMA PB 2.1.
- B. Examine switchboards before installation. Reject switchboards that are moisture damaged or physically damaged.
- C. Examine elements and surfaces to receive switchboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 INSTALLATION**

- A. Install switchboards and accessories according to NEMA PB 2.1.
- B. Equipment Mounting: Install switchboards on concrete base, 4-inch (100-mm) nominal thickness. Comply with requirements for concrete base specified in Division 03 Section "Cast-in-Place Concrete."
  1. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch (450-mm) centers around the full perimeter of concrete base.
  2. For supported equipment, install epoxy-coated anchor bolts that extend through concrete base and anchor into structural concrete floor.

3. Place and secure anchorage devices. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  4. Install anchor bolts to elevations required for proper attachment to switchboards.
- C. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from switchboard units and components.
- D. Install filler plates in unused spaces of panel-mounted sections.
- E. Install overcurrent protective devices, transient voltage suppression devices, and instrumentation.

### 3.3 CONNECTIONS

- A. Install equipment grounding connections for switchboards with ground continuity to main electrical ground bus.
- B. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values.

### 3.4 IDENTIFICATION

- A. Switchboard Nameplates: Label each switchboard compartment with a nameplate complying with requirements for identification specified in Division 26 Section "Identification for Electrical Systems."
- B. Device Nameplates: Label each disconnecting and overcurrent protective device and each meter and control device mounted in compartment doors with a nameplate complying with requirements for identification specified in Division 26 Section "Identification for Electrical Systems."

### 3.5 FIELD QUALITY CONTROL

- A. Tests and Inspections:
1. Testing Technician
    - a. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.
  2. Physical inspection and Testing
    - a. Verify equipment rating correspond to drawings and specifications.
    - b. Inspect the physical and mechanical condition and verify that it complies with manufacturer's standards.
    - c. Verify equipment is properly secured and aligned with the required clearances as specified in the drawings and specifications. Assure that the equipment is properly grounded.
    - d. Verify that all packing materials have been removed and the equipment has been cleaned.
    - e. Confirm all breaker sizes, quantities, and configurations correspond to the drawings and specifications.
    - f. Confirm bolted electrical connections are provided with high impedance using one of the following means:
      - 1) Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be

- compared to resistances measured on similar connections. Any similar resistance values that deviate more than 50 percent should be investigated.
- 2) Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench. If manufacturer's data is not available verify the torque meets the requirements of Table 100.12 in the ANSI/NETA ATS-2009.
  - g. A thermographic test shall be conducted. Equipment will be open at the time of the scan in order to get an accurate reading from the testing device. All equipment should be energized and loaded during test. Thermographic images of any connections that fail the test must be submitted with a description of the failure including the probable cause of the failure.
  - h. Test the functionality of any breaker interlock system for proper use by verifying breakers will only operate when correctly activated.
  - i. Inspect any moving electrical components and ensure that proper lubricant is applied to allow for easy operation.
  - j. Verify insulators have not sustained physical damage or been exposed to contamination.
  - k. Verify all active components operate correctly.
3. Electrical Inspection and Testing
- a. Test each bus section for insulation resistance for one minute on phase to phase and phase to ground connections. Verify the test results comply with manufacturer's documentation or the requirements established in Table 100.1 of the ANSI/NETA ATS-2009.
  - b. Test ground resistance at the main electrical distribution ground bus.
  - c. Verify all meters' functionality and accuracy after testing and calibrating all inputs.
  - d. Verify transformers meet all the requirements of the drawings and specifications.
    - 1) Test transformer wiring integrity and proper transformer operation.
    - 2) Verify transformer output voltage is at the specified level.
  - e. Test each current section within the switchboard including each device in the circuit with current injection test. Injection current magnitudes shall be a minimum of 1 amp.
  - f. Inspect equipment space heaters for proper operation.
  - g. Verify phases are connected to the same bus for switchboards with multiple sources so that loads do not cross phases when sources are transitioned.
  - h. Test each breaker in accordance with sections 2.9 and 2.10.
4. Test Reports: Prepare a written report to record the following:
- a. Test procedures used.
  - b. Test results that comply with requirements.
  - c. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.
5. For services 1000 amperes and larger, the following tests should be performed on the service circuit breakers and the distribution circuit breakers. Testing shall be performed by a qualified factory technician at the job site.
- a. Phase tripping tolerance (within 20% of UL requirements)
  - b. Trip time (per phase) in seconds
  - c. Instantaneous trip (amps) per phase
  - d. Insulation resistance (in megohms) at 100 volts (phase to phase, and line to load).

6. For breakers 1200 Amp and larger with an Energy Reduction Maintenance Switch (ERMS), they shall be tested by primary current injection per NEC 240.87(C).
  7. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
  8. Test and adjust controls, remote monitoring, and safeties. Replace damaged and malfunctioning controls and equipment.
- B. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each power wiring termination and each bus connection. Remove all access panels so terminations and connections are accessible to portable scanner.
1. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  2. Record of Infrared Scanning: Prepare a certified report that identifies terminations and connections checked and that describes scanning results. Include notation and thermal photograph of deficiencies detected, remedial action taken, and observations with thermal photograph after remedial action demonstrating resolution.
    - a. Switchboard will be considered defective if it does not pass tests and inspections.
    - b. Prepare test and inspection reports, that identify switchboards included and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### **3.6 ADJUSTING**

- A. Set field-adjustable circuit-breaker trip ranges. Unless otherwise noted, trip settings shall mimic trip characteristics for thermal magnetic circuit-breakers of similar trip rating.

### **3.7 PROTECTION**

- A. Temporary Heating: Apply temporary heat, to maintain temperature according to manufacturer's written instructions, until switchboard is ready to be energized and placed into service.

### **3.8 CLEANING**

- A. On completion of installation, inspect interior and exterior of switchboards. Remove paint splatters and other spots. Vacuum dirt and debris; do not use compressed air to assist in cleaning. Repair exposed surfaces to match original finish.

### **3.9 DEMONSTRATION**

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain switchboards, overcurrent protective devices, instrumentation, and accessories.

END OF SECTION

## **SECTION 26 24 16**

### **PANELBOARDS**

#### **PART 1 - GENERAL**

##### **1.1 RELATED DOCUMENTS**

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### **1.2 SUMMARY**

- A. Section Includes:
  - 1. Distribution panelboards.
  - 2. Lighting and appliance branch-circuit panelboards.

##### **1.3 DEFINITIONS**

- A. SVR: Suppressed voltage rating.
- B. TVSS: Transient voltage surge suppressor.

##### **1.4 SUBMITTALS**

- A. Product Data: For each type of panelboard, switching and overcurrent protective device, transient voltage suppression device, accessory, and component indicated. Include dimensions and manufacturers' technical data on features, performance, electrical characteristics, ratings, and finishes.
- B. Shop Drawings: For each panelboard and related equipment.
  - 1. Include dimensioned plans, elevations, sections, and details. Show tabulations of installed devices, equipment features, and ratings.
  - 2. Detail enclosure types and details for types other than NEMA 250, Type 1.
  - 3. Detail bus configuration, current, and voltage ratings.
  - 4. Short-circuit current rating of panelboards and overcurrent protective devices.
  - 5. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices and auxiliary components.
- C. Field Quality-Control Reports:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.
- D. Panelboard Schedules: For installation in panelboards. Room names and numbers shall match the final signage at the site. Submit final versions prior to installation in panelboard.
- E. Operation and Maintenance Data: For panelboards and components to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 01 Section "Operation and Maintenance Data," include the following:
  - 1. Manufacturer's written instructions for testing and adjusting overcurrent protective devices.

## **1.5 QUALITY ASSURANCE**

- A. Source Limitations: Obtain panelboards, overcurrent protective devices, components, and accessories from single source from single manufacturer.
- B. Product Selection for Restricted Space: Drawings indicate maximum dimensions for panelboards including clearances between panelboards and adjacent surfaces and other items. Comply with indicated maximum dimensions.
- C. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- D. Comply with NEMA PB 1.
- E. Comply with NFPA 70.
- F. Comply with NFPA 99.

## **1.6 DELIVERY, STORAGE, AND HANDLING**

- A. Handle and prepare panelboards for installation according to NEMA PB 1.

## **1.7 PROJECT CONDITIONS**

- A. Environmental Limitations:
  - 1. Do not deliver or install panelboards until spaces are enclosed and weathertight, wet work in spaces is complete and dry, work above panelboards is complete, and temporary HVAC system is operating and maintaining ambient temperature and humidity conditions at occupancy levels during the remainder of the construction period.

## **1.8 COORDINATION**

- A. Coordinate layout and installation of panelboards and components with other construction that penetrates walls or is supported by them, including electrical and other types of equipment, raceways, piping, encumbrances to workspace clearance requirements, and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

## **PART 2 - PRODUCTS**

### **2.1 MANUFACTURERS**

- A. Available Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include the following:
  - 1. Square D (Schneider Electric)

### **2.2 GENERAL REQUIREMENTS FOR PANELBOARDS**

- A. Enclosures: Flush- and surface-mounted cabinets as noted on schedules.
  - 1. Rated for environmental conditions at installed location.
    - a. Indoor Dry and Clean Locations: NEMA 250, Type 1.
    - b. Outdoor Locations: NEMA 250, Type 3R.
    - c. Kitchen Areas: NEMA 250, Type 4X stainless steel.
    - d. Other Wet or Damp Indoor Locations: NEMA 250, Type 4X stainless steel.

2. Front Cover: For surface-mounted fronts, match box dimensions; for flush-mounted fronts, overlap box.
    - a. Flush Mounted Panelboards: Door-in-door hinged front cover. Secured to box with concealed trim clamps. Match box dimensions.
    - b. Surface Mounted Panelboards: Entire front trim hinged to box. Continuous piano hinge. Provide standard door with front trim.
  3. Gutter Extension and Barrier: Same gage and finish as panelboard enclosure; integral with enclosure body. Arrange to isolate individual panel sections.
  4. Finishes:
    - a. Panels and Trim: Steel, factory finished immediately after cleaning and pretreating with manufacturer's standard two-coat, baked-on finish consisting of prime coat and thermosetting topcoat.
    - b. Back Boxes: Galvanized steel.
  5. Directory Card: Inside panelboard door, mounted in metal frame with transparent protective cover.
  6. Main Overcurrent Protective Devices: Molded-case circuit breakers.
    - a. Center Mounted Main: Branch mounted main breakers are not allowed.
  7. Branch Overcurrent Protective Devices: Molded-case circuit breakers.
    - a. Center mounted branch devices and sub-feed branch devices are not allowed.
- B. Incoming Mains Location: Top and bottom.
- C. Phase, Neutral, and Ground Buses: Hard-drawn copper, 98 percent conductivity
  1. Neutral Bus: Neutral bus rated 100 percent of phase bus.
  2. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment grounding conductors; bonded to box.
- D. Main and Neutral Lugs: Mechanical type suitable for use with conductor material.
- E. Feed-through Lugs: Not acceptable.
- F. Future Devices: Mounting brackets, bus connections, filler plates, and necessary appurtenances required for future installation of devices. Future devices indicated as "SPACE" on drawings.
- G. Panelboard Short-Circuit Current Rating: Fully rated to interrupt symmetrical short-circuit current available at terminals. Series rating of equipment is not acceptable.

### **2.3 DISTRIBUTION PANELBOARDS**

- A. Manufacturers:
  1. Square D I-Line.
- B. Panelboards: NEMA PB 1, power and feeder distribution type
- C. Doors: Secured with vault-type latch with tumbler lock; keyed alike.
  1. For doors more than 36 inches high, provide two latches, keyed alike.
- D. Mains: Electronic trip circuit breaker with trip function indicated on drawings.
  1. LSI: Micrologic 5.2A/5.3A/5.0A, depending on breaker frame.
  2. LSIG: Micrologic 6.2A/6.3A/6.0A, depending on breaker frame.
- E. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes 125 A and Smaller: Bolt-on circuit breakers.

- F. Branch Overcurrent Protective Devices for Circuit-Breaker Frame Sizes Larger than 125 A: Bolt-on circuit breakers; plug-in circuit breakers where individual positive-locking device requires mechanical release for removal.
- G. Provide thermal-magnetic circuit breakers for branch and feeder breakers unless an electronic trip circuit breaker is indicated in panel schedule or on riser diagram in drawings. Where electronic trip circuit breakers are specified, provide trip function indicated on drawings as follows.
  - 1. LSI: Micrologic 5.2A/5.3A/5.0A, depending on breaker frame.
  - 2. LSIG: Micrologic 6.2A/6.3A/6.0A, depending on breaker frame.

## **2.4 LIGHTING AND APPLIANCE BRANCH-CIRCUIT PANELBOARDS**

- A. Manufacturers:
  - 1. 480Y/277V: Square D NF.
  - 2. 208Y/120V: Square D NQ.
- B. Panelboards: NEMA PB 1, lighting and appliance branch-circuit type.
- C. Mains: Circuit breaker, unless otherwise noted on drawings. Provide thermal-magnetic circuit breakers for main breaker unless an electronic trip circuit breaker is indicated in panel schedule or on riser diagram in drawings. Where electronic trip circuit breakers are specified, provide trip function indicated on drawings as follows.
  - 1. LSI: Micrologic 5.2A/5.3A/5.0A, depending on breaker frame.
  - 2. LSIG: Micrologic 6.2A/6.3A/6.0A, depending on breaker frame.
- D. Branch Overcurrent Protective Devices for Feeders: Provide thermal-magnetic circuit breakers for feeder breakers unless an electronic trip circuit breaker is indicated in panel schedule or on riser diagram in drawings. Where electronic trip circuit breakers are specified, provide trip function indicated on drawings as follows.
  - 1. LSI: Micrologic 5.2A/5.3A/5.0A, depending on breaker frame.
  - 2. LSIG: Micrologic 6.2A/6.3A/6.0A, depending on breaker frame.
- E. Branch Overcurrent Protective Devices: Bolt-on circuit breakers, replaceable without disturbing adjacent units.
- F. Doors: Concealed hinges; secured with flush latch with tumbler lock; keyed alike.

## **2.5 NEC ARTICLE 517 LIFE SAFETY & NEC ARTICLE 700 EMERGENCY PANELBOARDS**

- A. Provide a surge protective device (SPD) for all NEC Article 517 Life Safety branch and NEC Article 700 Emergency branch panelboards.
  - 1. Square D Surelogic Type HWA.
  - 2. Standalone hardwired unit.
  - 3. 10 modes of protection.
  - 4. NEMA 4X enclosure.
  - 5. 200 kA Short Circuit Rating.
  - 6. Provide 50 kA Surge Current Rating for 208Y/120V panels 225 Amps or less; 80 kA for greater than 225 Amps.
  - 7. Provide 80 kA Surge Current Rating for 480Y/277V panels 100 Amps or less; 100 kA for greater than 100 Amps.

## 2.6 DISCONNECTING AND OVERCURRENT PROTECTIVE DEVICES

- A. Molded-Case Circuit Breaker (MCCB): Comply with UL 489, with full interrupting capacity to meet available fault currents.
1. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads, and instantaneous magnetic trip element for short circuits. Field adjustable instantaneous trip setting for circuit-breaker frame sizes 250 A to 600A.
  2. Electronic trip circuit breakers with RMS sensing; field-replaceable rating plug or field-replicable electronic trip; and field-adjustable instantaneous trip and long- and short-time pickup level settings. Provide for circuit-breaker frame sizes 800A and larger and when indicated on drawings.
  3. Breakers Serving Elevator Controllers: Field adjustable instantaneous-trip setting for circuit-breaker.
  4. GFCI Circuit Breakers: Single- and two-pole configurations with Class A ground-fault protection (6-mA trip).
  5. Ground-Fault Equipment Protection (GFEP) Circuit Breakers: Class B ground-fault protection (30-mA trip).
  6. Arc-Fault Circuit Interrupter (AFCI) Circuit Breakers: Comply with UL 1699; 120/240-V, single-pole configuration.
  7. 1200 Amp and larger breakers: Shall have an Energy Reduction Maintenance Switch (ERMS) per NEC 240.87.
  8. Molded-Case Circuit-Breaker (MCCB) Features and Accessories:
    - a. Standard frame sizes, trip ratings, and number of poles.
    - b. Lugs: Mechanical style, suitable for number, size, trip ratings, and conductor materials.
    - c. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge (HID) lighting circuits.
    - d. Ground-Fault Protection: Integrally mounted relay and trip unit with adjustable pickup and time-delay settings, push-to-test feature, and ground-fault indicator.
    - e. Shunt Trip: Trip coil energized from separate circuit, set to trip at [55] [75] percent of rated voltage. Match coil voltage to control power source.
    - f. Undervoltage Trip: Set to operate at 35 to 75 percent of rated voltage without intentional time delay.
    - g. Auxiliary Contacts: Two SPDT switches with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts and "b" contacts operate in reverse of circuit-breaker contacts.
    - h. Handle Clamp: Loose attachment, for holding circuit-breaker handle in on position.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Receive, inspect, handle, and store panelboards according to NEMA PB 1.1.
- B. Examine panelboards before installation. Reject panelboards that are damaged or rusted or have been subjected to water saturation.
- C. Examine elements and surfaces to receive panelboards for compliance with installation tolerances and other conditions affecting performance of the Work.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

### **3.2 INSTALLATION**

- A. Install panelboards and accessories according to NEMA PB 1.1.
- B. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from panelboards.
- C. Mount top of trim 78 inches above finished floor unless otherwise indicated.
- D. Mount panelboard cabinet plumb and rigid without distortion of box. Mount recessed panelboards with fronts uniformly flush with wall finish and mating with back box.
- E. Install filler plates in unused spaces.
- F. For flush mounted panelboards located in finished spaces, stub (8) 3/4-inch empty conduits from panelboard into accessible ceiling space or space designated to be ceiling space in the future. Stub (4) 3/4-inch empty conduits into raised floor space or below slab not on grade.
- G. Arrange conductors in gutters into groups and bundle and wrap with wire ties.
- H. Comply with NECA 1.

### **3.3 IDENTIFICATION**

- A. Identify field-installed conductors, interconnecting wiring, and components; provide warning signs complying with Division 26 Section "Identification for Electrical Systems."
- B. Create a directory to indicate installed circuit loads; incorporate Owner's final room designations. Obtain approval before installing. Use a computer or typewriter to create directory; handwritten directories are not acceptable.
- C. Panelboard Nameplates: Label each panelboard with a nameplate complying with requirements for identification specified in Division 26 Section "Identification for Electrical Systems."
- D. Device Nameplates: Label each branch circuit device in distribution panelboards with a nameplate complying with requirements for identification specified in Division 26 Section "Identification for Electrical Systems."

### **3.4 FIELD QUALITY CONTROL**

- A. Tests and Inspections:
  - 1. Perform each visual and mechanical inspection and electrical test stated in NETA Acceptance Testing Specification. Issue report indicating compliance with test parameters.
  - 2. For breakers 1200 Amp and larger with an Energy Reduction Maintenance Switch (ERMS), they shall be tested by primary current injection per NEC 240.87(C).
  - 3. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- B. Panelboards will be considered defective if they do not pass tests and inspections.
- C. Prepare test and inspection reports. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### **3.5 ADJUSTING**

- A. Set field-adjustable circuit-breaker trip ranges. Unless otherwise noted, trip settings shall mimic trip characteristics for thermal magnetic circuit-breakers of similar trip ratings.
  
- B. Load Balancing: After Substantial Completion, but not more than 60 days after Final Acceptance, measure load balancing and make circuit changes.
  - 1. Measure as directed during period of normal system loading.
  - 2. Perform load-balancing circuit changes outside normal occupancy/working schedule of the facility and at time directed. Avoid disrupting critical 24-hour services such as fax machines and on-line data processing, computing, transmitting, and receiving equipment.
  - 3. After circuit changes, recheck loads during normal load period. Record all load readings before and after changes and submit test records.
  - 4. Tolerance: Difference exceeding 20 percent between phase loads, within a panelboard, is not acceptable. Rebalance and recheck as necessary to meet this minimum requirement.

**END OF SECTION**

## SECTION 26 2816

### ENCLOSED SWITCHES AND CIRCUIT BREAKERS

#### PART 1 - GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

##### 1.2 SUMMARY

- A. This Section includes individually mounted enclosed switches and circuit breakers used for the following:
  - 1. Feeder and branch-circuit protection.
  - 2. Motor and equipment disconnecting means.

##### 1.3 DEFINITIONS

- A. NC: Normally closed.
- B. NO: Normally open.
- C. SPDT: Single pole, double throw.

##### 1.4 SUBMITTALS

- A. Product Data: For each type of enclosed switch, circuit breaker, accessory, and component indicated. Include dimensioned elevations, sections, weights, and manufacturers' technical data on features, performance, electrical characteristics, ratings, accessories, and finishes.
  - 1. Enclosure types and details for types other than NEMA 250, Type 1.
  - 2. Current and voltage ratings.
  - 3. Short-circuit current ratings (interrupting and withstand, as appropriate).
  - 4. Detail features, characteristics, ratings, and factory settings of individual overcurrent protective devices, accessories, and auxiliary components.
- B. Shop Drawings: For enclosed switches and circuit breakers. Include plans, elevations, sections, details, and attachments to other work.
- C. Field quality-control reports.
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Results of failed tests and corrective action taken to achieve test results that comply with requirements.
- D. Operation and Maintenance Data: For enclosed switches and circuit breakers to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 01 Section "Operation and Maintenance Data," include the following:
  - 1. Manufacturer's written instructions for testing and adjusting enclosed switches and circuit breakers.

## 1.5 QUALITY ASSURANCE

- A. Source Limitations: Obtain enclosed switches and circuit breakers, overcurrent protective devices, components, and accessories, within same product category, from single source from single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with NFPA 70.
- D. Comply with NFPA 99.

## 1.6 COORDINATION

- A. Coordinate layout and installation of switches, circuit breakers, and components with equipment served and adjacent surfaces. Maintain required workspace clearances and required clearances for equipment access doors and panels.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
  - 1. Fusible & Non-Fusible Switches:
    - a. Square D (Schneider Electric)
  - 2. Molded-Case Circuit Breakers:
    - a. Square D (Schneider Electric)

### 2.2 ENCLOSED SWITCHES

- A. All Switches:
  - 1. Heavy Duty type with non-teasible, positive, quick make-quick break mechanisms.
  - 2. Handles whose positions are easily recognizable and are padlockable in either the "on" or "off" positions.
  - 3. Defeatable door interlocks that prevent the door from opening when the operating handle is in the "on" position.
- B. Enclosed, Non-Fusible Switch: NEMA KS 1, Type HD, with lockable handle.
- C. Enclosed, Fusible Switch, 800 A and Smaller: NEMA KS 1, Type HD, with clips to accommodate specified fuses, lockable handle with two padlocks.
  - 1. Class R Fuse Kit: Provides rejection of other fuse types when Class R fuses are specified.

### 2.3 MOLDED-CASE CIRCUIT BREAKERS

- A. General Requirements: Comply with UL 489, NEMA AB 1, and NEMA AB 3, with interrupting capacity to comply with available fault currents.
- B. Thermal-Magnetic Circuit Breakers: Inverse time-current element for low-level overloads and instantaneous magnetic trip element for short circuits. Field-adjustable magnetic instantaneous trip setting for circuit-breaker frame sizes 250 A and larger.

1. Breakers Serving Transfer Switches: Type and Frame size as required to meet "Specific Coordinated Molded Case Breaker" as required by automatic transfer switch for a minimum 42,000 A withstand rating.
  2. Breakers Serving Elevator Controllers: Field adjustable instantaneous-trip setting for circuit-breaker.
- C. Features and Accessories:
1. Standard frame sizes, trip ratings, and number of poles.
  2. Lugs: Mechanical type, suitable for number, size, trip ratings, and conductor material.
  3. Application Listing: Appropriate for application; Type SWD for switching fluorescent lighting loads; Type HID for feeding fluorescent and high-intensity discharge lighting circuits.
  4. Ground-Fault Protection: Comply with UL 1053; integrally mounted, self-powered type with mechanical ground-fault indicator; relay with adjustable pickup and time-delay settings, push-to-test feature, internal memory, and shunt trip unit; and three-phase, zero-sequence current transformer/sensor.
  5. Energy Reduction Maintenance Switch (ERMS): Comply with NEC 240.87 for 1200 Amp and larger breakers.
  6. Shunt Trip: Trip coil energized from separate circuits, with coil-clearing contact.
  7. Auxiliary Contacts: Two SPDT switches with "a" and "b" contacts; "a" contacts mimic circuit-breaker contacts, "b" contacts operate in reverse of circuit-breaker contacts.

## 2.4 ENCLOSURES

- A. Enclosed Switches and Circuit Breakers: NEMA AB 1, NEMA KS 1, NEMA 250, and UL 50, to comply with environmental conditions at installed location.
1. Indoor, Dry and Clean Locations: NEMA 250, Type 1.
  2. Outdoor Locations: NEMA 250, Type 3R.
  3. Other Wet or Damp, Indoor Locations: NEMA 250, Stainless Steel Type 4X.

## PART 3 - EXECUTION

### 3.1 EXAMINATION

- A. Examine elements and surfaces to receive enclosed switches and circuit breakers for compliance with installation tolerances and other conditions affecting performance of the Work.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

### 3.2 INSTALLATION

- A. Install individual wall-mounted switches and circuit breakers with tops at uniform height unless otherwise indicated.
- B. Temporary Lifting Provisions: Remove temporary lifting eyes, channels, and brackets and temporary blocking of moving parts from enclosures and components.
- C. Install fuses in fusible devices.
- D. Comply with NECA 1.

### 3.3 IDENTIFICATION

- A. Comply with requirements in Division 26 Section "Identification for Electrical Systems."

### 3.4 FIELD QUALITY CONTROL

- A. Tests and Inspections:
- B. Testing Technician
  - 1. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.
- C. Physical Inspection and Testing
  - 1. Verify equipment rating corresponds to drawings and specifications.
  - 2. Inspect the physical and mechanical condition and verify that it complies with manufacturer's standards.
  - 3. Verify equipment is properly secured and aligned as specified in the drawings and specifications.
  - 4. Verify the equipment is clean.
  - 5. Open and close circuit breaker to verify smooth and proper operation.
  - 6. Confirm bolted electrical connections are provided with high impedance using one of the following means:
    - a. Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be compared to resistances measured on similar connections. Any similar resistance values that deviate more than 50 percent should be investigated.
    - b. Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench. If manufacturer's data is not available verify the torque meets the requirements of Table 100.12 in the ANSI/NETA ATS-2009.
- D. Electrical Inspection and Testing
  - 1. Test the insulation resistance on each pole. The resistance should be measured from phase to phase and phase to ground while the breaker is engaged. When the switch is not engaged the insulation resistance should be measured across each pole. For testing purposes apply a voltage as recommended by the manufacturer. If no recommendations are available from the manufacturer refer to Table 100.1 in the ANSI/NETA ATS-2009.
  - 2. For breakers 1200 Amp and larger with an Energy Reduction Maintenance Switch (ERMS), they shall be tested by primary current injection per NEC 240.87(C).
- E. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, replace with new units and retest.
- F. Enclosed switches and circuit breakers will be considered defective if they do not pass tests and inspections.
- G. Remove and replace units that do not pass tests and inspections and retest as specified above.
- H. Retain paragraph below if tests and inspections are performed by Contractor or manufacturer's field-service representative engaged by Contractor.
- I. Test Reports: Prepare a written report to record the following:
  - 1. Test procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

END OF SECTION

## SECTION 26 2826

### ENCLOSED TRANSFER SWITCHES

#### PART 1 GENERAL

##### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

##### 1.2 SUMMARY

- A. Section includes transfer switches in individual enclosures.

##### 1.3 SUBMITTALS

- A. Shop Drawings: Dimensioned plans, elevations, sections, and details showing minimum clearances, conductor entry provisions, gutter space, installed features and devices, and material lists for each switch specified.
- B. Product Data: Submit catalog sheets showing voltage, switch size, ratings and size of switching and overcurrent protective devices, operating logic, short circuit ratings, dimensions, and enclosure details.
- C. Field quality-control test reports.
- D. Operation and Maintenance Data: For each type of product to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 01 Section "Operation and Maintenance Data," include the following:
  - 1. Features and operating sequences, for both automatic and manual operation.
  - 2. List of all final settings of relays and delays.

##### 1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain transfer switches through one source from a single manufacturer.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NEMA ICS 1.
- D. Comply with NFPA 70.
- E. Comply with NFPA 99.
- F. Comply with UL 1008 unless requirements of these Specifications are stricter

## PART 2 PRODUCTS

### 2.1 AUTOMATIC TRANSFER AND BYPASS/ISOLATION SWITCH

- A. Manufacturers:
  - 1. ASCO 7000 series.
- B. Product Description: NEMA ICS 10, automatic transfer switch with isolated manual bypass switch.
- C. Configuration: Closed transition Draw-out type electrically operated, mechanically held transfer switch with manually operated CONNECTED, TEST, AND DISCONNECTED draw-out positions, and with mechanically operated, mechanically held transfer switch connected to bypass automatic switch in both NORMAL and EMERGENCY positions.
- D. The transfer switch controls shall contain the following multiple levels of protection against extended parallel times in excess of 100ms by taking the following action:
  - 1. If the Normal or Emergency main contacts both remain closed after a preset time delay, the controller shall attempt to return the transfer switch to "safe" state by removing paralleled condition.
    - a. The controller shall open the last set of contacts that closed to remove the overlap condition.
    - b. The controller is locked out from any further automatic operation.
    - c. The "TS Locked Out" indicator (Red LED) is lit.
    - d. The operation shall remain locked out until the "TS Locked Out" push – button is reset.
  - 2. If the main contacts still remain paralleled after the transfer switch controller action described in paragraph D. (1), a second independent extended parallel alarm timer (ASCO Feature 62F) will then operate an output relay with (2) form C contacts to alarm the extended overlap condition and/or shunt trip either the normal or emergency source breaker through a customer connected circuit to the breaker.
- E. ATS/elevator interface operation:
  - 1. When the normal source fails, all elevators will automatically brake to a stop. The ATS shall close a contact to signal all the elevator controllers when emergency power is available.
  - 2. Before any transfer between two live sources, the ATS shall send a pretransfer signal of at least 20 seconds to the elevator system. This enables the running elevator to stop at the next available floor, open its doors and lock the elevator out of operation.
  - 3. Elevator system contacts: Provide one set of the following three (3) auxiliary contacts for each elevator bank having one or more elevators operating on emergency power:
    - a. Closes when ATS is in emergency. Indicates to the elevator system that it is now powered by the engine generator and that the special elevator sequence operation can now be initiated. Rated 10 amps, 120 volts, 60 Hz, AC.
    - b. Pre-transfer signal of 20 seconds prior to transfer from emergency source back to normal source.
    - c. Pre-transfer signal of 20 seconds prior to transfer from normal source to emergency source in test mode. Rated 10 amps, 120 volts, 60 Hz, AC.

- F. Life Safety Branch & Fire Pump ATS Start Signal Monitoring: Life Safety branch or fire pump power transfer switch remote generator start signals shall be monitored for broken, disconnected, or shorted wires. Monitoring of start signals shall also be provided between paralleling gear and generators. Loss of integrity shall start the generators.
- G. Rating: Voltage and current rating and number of poles as indicated on Drawings.
- H. Indicated Current Ratings: Apply as defined in UL 1008 for continuous loading and total system transfer, including tungsten filament lamp loads not exceeding 30 percent of switch ampere rating, unless otherwise indicated.
- I. Bypass Switch Ratings: Match automatic transfer switch for electrical ratings.
- J. Interrupting Capacity: as indicated on drawings.
- K. Product Features:
  - 1. Indicating Lights: Mount in cover of enclosure to indicate NORMAL SOURCE AVAILABLE, ALTERNATE SOURCE AVAILABLE, SWITCH POSITION, NORMAL BYPASS, ALTERNATE SOURCE BYPASS.
  - 2. Digital Display: The digital display shall be accessible without opening the enclosure door and shall be provided with a 4 line by 20-character LCD display screen with touch pad function and display menus. The control panel shall be provided with menu driven display screens for transfer switch monitoring, control and field changeable functions and settings.
  - 3. Test Switch: Mount in cover of enclosure to simulate failure of normal source.
  - 4. Transfer Override Switch: Overrides automatic retransfer control so automatic transfer switch will remain connected to emergency power source regardless of condition of normal source. However, if emergency source fails while connected to emergency source, transfer back to good normal power source if available. Pilot light indicates override status.
  - 5. Engine Starting Contacts: One isolated and normally closed, and one isolated and normally open; rated 10 A at 32-V dc minimum.
  - 6. Unassigned Auxiliary Contacts: Two normally open, single-pole, double-throw contacts for switch in normal position. Two normally open, single-pole, double-throw contacts for switch in emergency position.
  - 7. Engine Start Time Delay: For override of normal-source voltage sensing to delay transfer and engine start signals. Adjustable from zero to six seconds, and factory set for three seconds.
  - 8. Transfer Normal to Emergency Time Delay: For staggered transfer of loads in multiple transfer switch systems. Adjustable from 0 to 300 seconds.
  - 9. Retransfer Emergency to Normal Source Time Delay: To allow the utility to stabilize before transfer of load and prevent power interruptions if return of normal source is temporary. Adjustable from 0 to 30 minutes.
  - 10. Return to Normal Switch: Mount in cover of enclosure to initiate manual transfer from alternate source to normal source.
  - 11. Normal Source Monitor: Monitor each line of normal source voltage and frequency; initiate transfer when voltage drops below 90 percent or frequency varies more than 3 Hertz from rated nominal value.
  - 12. Alternate Source Monitor: Monitor alternate source voltage and frequency; inhibit transfer when voltage is below 90 percent or frequency varies more than 2 Hertz from rated nominal value.
  - 13. In-Phase Monitor: Inhibit transfer until source and load are within 5 electrical degrees.

14. Provide seismically braced enclosure accessory 125A.
  15. Provide bypass switch position status contacts.
  16. Provide accessory package 150B (power meter 135SB, 72EE2 connectivity module, 1PS1 ride through memory function).
  17. Provide 30B\* Load Shed Relay (Equipment and Normal Branch ATS only).
  18. Provide accessory 31Z for pre and post transfer signal time delay for selective load disconnect with a programmable bypass on source failures - adjustable 0 to 5 minutes. This signal can be used to drive a customer furnished relay, or for (2) sets of double throw contacts rated 3 amps at 480 volts AC.
  19. Provide Group 5 controller.
  20. Product must comply with UL-1008 standard.
- L. Neutral Switching. Where four-pole switches are indicated, provide neutral pole either switched simultaneously with phase poles or with overlapping neutral contacts.
- M. Enclosure:
1. Enclosure: ICS 10, Type 1.
  2. Finish: Manufacturer's standard gray enamel.

## 2.2 SOURCE QUALITY CONTROL

- A. Furnish shop inspection and testing of each transfer switch.

## PART 3 – EXECUTION

### 3.1 INSTALLATION

- A. Install surface-mounted enclosures on 12 gauge formed steel channel having a cross-sectional dimension of at least 1-1/2" x 1-1/2". The channel and fittings shall have hot-dipped galvanized finish. Channels may be installed vertically or horizontally.
- B. Identify components according to Division 26 Section "Identification for Electrical Systems.
- C. Set field-adjustable intervals and delays.
1. Engine Start Time Delay: Set for 3 seconds.
  2. Transfer Normal to Emergency Time Delay:
    - a. Emergency ATS: Set for 0 seconds.
    - b. Stand-by ATS: Set for 10 seconds.
  3. Retransfer Emergency to Normal Source Time Delay: Set for 5 minutes.
  4. Engine Shutdown Time Delay: Set for 5 minutes.

### 3.2 FIELD QUALITY CONTROL

- A. Perform tests and inspections and prepare test reports.
1. After installing equipment and after electrical circuitry has been energized, test for compliance with requirements.
  2. Inspect and test in accordance with NFPA 99.
- B. Testing Technician
1. The testing technicians shall be trained in all the methods of correctly and safely conducting the required test. The technician shall have regular experience

conducting the required tests and they must have the knowledge to determine the serviceability of a specific piece of equipment.

- C. Physical Inspection and Testing
1. Verify equipment rating corresponds to drawings and specifications.
  2. Inspect the physical and mechanical condition and verify that it complies with manufacturer's standards.
  3. Verify equipment is properly secured, aligned, and grounded as specified in the drawings and specifications.
  4. Verify the equipment is clean.
  5. For all moving electrical parts verify that proper lubrication has been applied so that they can be operated in a smooth motion.
  6. Verify that equipment warnings and labels are clearly identified.
  7. Confirm bolted electrical connections are provided with high impedance using one of the following means:
    - a. Measure the resistance with a low-resistance ohmmeter. Bolted electrical connection resistances shall be compared to resistances measured on similar connections. Any similar resistance values that deviate more than 50 percent should be investigated.
    - b. Inspect the bolted connection and verify that it is at the manufacturer's rated torque using a calibrated torque wrench. If manufacturer's data is not available verify the torque meets the requirements of Table 100.12 in the ANSI/NETA ATS-2009.
- D. Electrical Inspection and Testing
1. Test the resistance across the switching device including the contacts and poles. The data gathered shall not exceed manufacturer's recommended values for normal operation if the manufacturer does not provide recommended values, all switches that vary more than 50 percent of the lowest value should be investigated.
  2. Verify controller is functioning according to manufacturer's recommendations as well as the requirements of the drawings and specifications.
  3. Simulate the following power states in order to test automatic transfer switch operation.
    - a. A loss of normal power.
    - b. Re-energize normal power.
    - c. A loss of emergency power.
  4. Test the following automatic transfer switch features or devices.
    - a. The normal and emergency source sensing capabilities.
    - b. Sequence of operations upon engine start-up.
    - c. Verify the time delay prior to transition.
    - d. Automatic transfer.
    - e. Sequence of operations upon engine shutdown.
  5. Measure insulation resistance phase-to-phase and phase-to-ground with insulation-resistance tester. Include external annunciation and control circuits. Use test voltages and procedure recommended by manufacturer. Comply with manufacturer's specified minimum resistance.
    - a. Check for electrical continuity of circuits and for short circuits.
    - b. Inspect for physical damage, proper installation and connection, and integrity of barriers, covers, and safety features.
    - c. Verify that manual transfer warnings are properly placed.
    - d. Perform manual transfer operation.

- E. Remove and replace units that do not pass tests and inspections and retest as specified above.
- F. Test Reports: Prepare a written report to record the following:
  - 1. Procedures used.
  - 2. Test results that comply with requirements.
  - 3. Test results that do not comply with requirements and corrective action taken to achieve compliance with requirements.

### **3.3 DEMONSTRATION**

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain transfer switches and related equipment. Refer to Division 01 Section "Demonstration and Training."

END OF SECTION

## **SECTION 26 32 13 - ENGINE GENERATORS**

### **PART 1 - GENERAL**

#### 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

#### 1.2 SUMMARY

- A. This Section includes packaged engine-generator sets for emergency and standby power supply with the following features:
  - 1. Diesel engine.
  - 2. Unit-mounted cooling system.
  - 3. Unit-mounted control and monitoring.
  - 4. Outdoor enclosure.
- B. Related Sections include the following:
  - 1. Division 26 Section "Enclosed Transfer Switches" for transfer switches including sensors and relays to initiate automatic-starting and -stopping signals for engine-generator sets.

#### 1.3 DEFINITIONS

- A. Operational Bandwidth: The total variation from the lowest to highest value of a parameter over the range of conditions indicated, expressed as a percentage of the nominal value of the parameter.

#### 1.4 SUBMITTALS

- A. Product Data: For each type of packaged engine generator indicated. Include rated capacities, operating characteristics, and furnished specialties and accessories. In addition, include the following:
  - 1. Thermal damage curve for generator.
  - 2. Time-current characteristic curves for generator protective device.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
  - 1. Dimensioned outline plan and elevation drawings of engine-generator set and other components specified.
  - 2. Wiring Diagrams: Power, signal, and control wiring.
- C. Qualification Data: For installer and manufacturer.
- D. Source quality-control test reports.
  - 1. Certified summary of prototype-unit test report.
  - 2. Certified Test Reports: For components and accessories that are equivalent, but not identical, to those tested on prototype unit.
- E. Field quality-control test reports.
- F. Operation and Maintenance Data: For packaged engine generators to include in emergency, operation, and maintenance manuals. In addition to items specified in Division 01 Section "Operation and Maintenance Data," include the following:

1. List of tools and replacement items recommended to be stored at Project for ready access. Include part and drawing numbers, current unit prices, and source of supply.

G. Warranty: Special warranty specified in this Section.

#### 1.5 QUALITY ASSURANCE

A. Installer Qualifications: Manufacturer's authorized representative who is trained and approved for installation of units required for this Project.

1. Maintenance Proximity: Not more than two hours' normal travel time from Installer's place of business to Project site.

B. Manufacturer Qualifications: A qualified manufacturer. Maintain, within 100 miles of Project site, a service center capable of providing training, parts, and emergency maintenance repairs.

C. Source Limitations: Obtain packaged generator sets and auxiliary components through one source from a single manufacturer.

D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.

E. Comply with ASME B15.1.

F. Comply with NFPA 37.

G. Comply with NFPA 70.

H. Comply with NFPA 110 requirements for Level 1 emergency power supply system.

I. Comply with UL 2200.

J. Engine Exhaust Emissions: Comply with applicable state and local government requirements.

K. Noise Emission: Comply with applicable state and local government requirements for maximum noise level at adjacent property boundaries due to sound emitted by generator set including engine, engine exhaust, engine cooling-air intake and discharge, and other components of installation.

#### 1.6 PROJECT CONDITIONS

A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary electrical service according to requirements indicated:

1. Notify Architect and Owner no fewer than two days in advance of proposed interruption of electrical service.
2. Do not proceed with interruption of electrical service without Architect's and Owner's written permission.

B. Environmental Conditions: Engine-generator system shall withstand the following environmental conditions without mechanical or electrical damage or degradation of performance capability:

1. Ambient Temperature: Minus 15 to plus 40 deg C.
2. Relative Humidity: 0 to 95 percent.
3. Altitude: Sea level to 1000 feet (300 m).

## 1.7 COORDINATION

- A. Coordinate size and location of concrete bases for package engine generators. Cast anchor-bolt inserts into bases. Concrete, reinforcement, and formwork requirements are specified in Division 03.

## 1.8 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of packaged engine generators and associated auxiliary components that fail in materials or workmanship within specified warranty period.
  - 1. Warranty Period: 2 years from date of Substantial Completion. The warranty shall include all parts, labor (including travel), expenses and equipment necessary to perform replacement and/or repairs.

## 1.9 MAINTENANCE SERVICE

- A. Initial Maintenance Service: Beginning at Substantial Completion, provide 12 months' full maintenance by skilled employees of manufacturer's designated service organization. Include quarterly exercising to check for proper starting, load transfer, and running under load. Include routine preventive maintenance as recommended by manufacturer and adjusting as required for proper operation. Provide parts and supplies same as those used in the manufacture and installation of original equipment. **[Confirm with facility Owner that service plan is needed. Many have typically requested to not provide this service.]**

## 1.10 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fuses: One for every 10 of each type and rating, but no fewer than one of each.
  - 2. Indicator Lamps: Two for every six of each type used, but no fewer than two of each.
  - 3. Filters: Two sets each of lubricating oil, fuel, and combustion-air filters.
  - 4. Belts: Furnish two engine, fan, and alternator or pump belts, for each type provided as part of the equipment.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Basis of design: Caterpillar; Engine Div.
  - 2. Cummins Power Generation; Industrial Business Group.
  - 3. MTU Onsite Energy

### 2.2 ENGINE-GENERATOR SET

- A. Factory-assembled and -tested, engine-generator set.
- B. Mounting Frame: Maintain alignment of mounted components without depending on concrete foundation; and have lifting attachments.
  - 1. Rigging Diagram: Inscribed on metal plate permanently attached to mounting frame to indicate location and lifting capacity of each lifting attachment and generator-set center of gravity.
- C. Capacities and Characteristics:

1. Power Output Ratings: 1250kW/1563kVA, 480Y/277V, three-phase, four-wire, stand-by rated with capacity as required to operate as a unit as evidenced by records of prototype testing.
2. Output Connections: Three-phase, four wire, 12 lead reconnectable.
3. Nameplates: For each major system component to identify manufacturer's name and address, and model and serial number of component.

D. Generator-Set Performance:

1. Oversizing generator compared with the rated power output of the engine is permissible to meet specified performance.
  - a. Nameplate Data for Oversized Generator: Show ratings required by the Contract Documents rather than ratings that would normally be applied to generator size installed.
2. Steady-State Voltage Operational Bandwidth: 1 percent of rated output voltage from no load to full load. Steady state voltage modulation shall not exceed one cycle per second.
3. Transient Voltage Performance:
  - a. Not more than 10 percent variation for 50 percent step-load increase or decrease. Voltage shall recover and remain within the steady-state operating band within 0.5 second.
  - b. For any addition of load up to and including ninety percent (90%) of rated, the voltage shall recover to and remain within the steady band in not more than one-and-one-half (1.5) seconds. The transient voltage dip shall not exceed twenty percent (20%) of the rated voltage at any time.
4. Steady-State Frequency Operational Bandwidth: Plus or minus 0.25 percent of rated frequency from no load to full load.
5. Steady-State Frequency Stability: When system is operating at any constant load within the rated load, there shall be no random speed variations outside the steady-state operational band and no hunting or surging of speed.
6. Transient Frequency Performance:
  - a. Less than 2-Hz variation for 50 percent step-load increase or decrease. Frequency shall recover and remain within the steady-state operating band within three seconds.
  - b. The frequency regulation from no load to rated load shall conform to the engine governor performance. For any addition of load up to ninety percent (90%) of rated load, the frequency shall recover to the steady state frequency within five (5) seconds.
7. Output Waveform: At no load, harmonic content measured line to neutral shall not exceed 2 percent total with no slot ripple. Telephone influence factor, determined according to NEMA MG 1, shall not exceed 50 percent.
8. Sustained Short-Circuit Current: For a 3-phase, bolted short circuit at system output terminals, system shall supply a minimum of 300 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to winding insulation or other generator system components.
9. Excitation System: Performance shall be unaffected by voltage distortion caused by nonlinear load.
  - a. Provide permanent magnet excitation for power source to voltage regulator.
10. Start Time: Comply with NFPA 110, Type 10, system requirements.

2.3 ENGINE

- A. Fuel: Fuel oil, Grade DF-2.
- B. Rated Engine Speed: 1800 rpm.
- C. Maximum Piston Speed for Four-Cycle Engines: 2250 fpm (11.4 m/s).

- D. The engine shall comply with the State Emission regulations at the time of installation/commissioning. Actual engine emissions values must be in compliance with applicable EPA emissions standards per ISO 8178 – D2 Emissions Cycle at specified kW / bhp rating. Emissions requirements / certifications of this package: EPA Tier 2
- E. Lubrication System: The following items are mounted on engine or skid:
1. Filter and Strainer: Rated to remove 90 percent of particles 5 micrometers and smaller while passing full flow.
  2. Thermostatic Control Valve: Control flow in system to maintain optimum oil temperature. Unit shall be capable of full flow and is designed to be fail-safe.
  3. Crankcase Drain: Arranged for complete gravity drainage to an easily removable container with no disassembly and without use of pumps, siphons, special tools, or appliances.
  4. Oil level regulator.
  5. 120V pre-lube pumps that run normally with cutoff prior to engine start.
- F. Engine Fuel System:
1. Main Fuel Pump: Mounted on engine. Pump ensures adequate primary fuel flow under starting and load conditions.
  2. Relief-Bypass Valve: Automatically regulates pressure in fuel line and returns excess fuel to source.
  3. Manual Fuel Priming Pump: Allow manual priming of system.
  4. Fuel Shut Off Solenoid. Provided as part of fuel system.
  5. Replaceable cartridge fuel filter system by Racor or approved equal.
    - a. Provide 3 replaceable fuel oil cartridges with stand. Pipe filters in parallel. Mount on generator skid adjacent to fuel pump inlet.
    - b. Provide isolation valves and pressure differential gauges for each individual filter.
- G. Coolant Jacket Heater: Dual Electric-immersion type, thermostatically controlled, factory installed in coolant jacket system. Comply with NFPA 110 requirements for Level 1 equipment for heater capacity but maintain minimum temperature of 120F. Each heater shall be provided with a disconnecting means and a controlling contactor in a rated NEMA enclosure. Heater(s) shall be disconnected while the engine is running.
- H. Governor: Adjustable isochronous, with speed sensing.
- I. Cooling System: Closed loop, liquid cooled, with radiator factory mounted on engine-generator-set mounting frame and integral engine-driven coolant pump.
1. Coolant: Solution of 50 percent ethylene or propylene glycol-based antifreeze and 50 percent water, with anticorrosion additives as recommended by engine manufacturer.
  2. Size of Radiator: Adequate to contain expansion of total system coolant from cold start to 110 percent load condition.
  3. Expansion Tank: Constructed of welded steel plate and rated to withstand maximum closed-loop coolant system pressure for engine used. Equip with gage glass and petcock.
  4. Temperature Control: Self-contained, thermostatic-control valve modulates coolant flow automatically to maintain optimum constant coolant temperature as recommended by engine manufacturer.
  5. Coolant Hose: Flexible assembly with inside surface of nonporous rubber and outer covering of aging-, ultraviolet-, and abrasion-resistant fabric.
    - a. Rating: 50-psig (345-kPa) maximum working pressure with coolant at 180 deg F (82 deg C), and non-collapsible under vacuum.
    - b. End Fittings: Flanges or steel pipe nipples with clamps to suit piping and equipment connections.

- J. Muffler/Silencer: Critical type, stainless steel, similar to Ampco, sized as recommended by engine manufacturer and selected with exhaust piping system to not exceed engine manufacturer's engine backpressure requirements.
1. Muffler: Integral to sound attenuated enclosure. See sound enclosure paragraph for further requirements.
  2. Exhaust piping shall terminate vertically with bird screen and flapper-style rain cap. Exhaust piping external to enclosure shall be A STM A 53/A 53M, Schedule 40, welded, black steel, with welded joints and fittings.
  3. Connection from Engine to Exhaust System: Flexible section of corrugated stainless-steel pipe.
  4. Connection from Exhaust Pipe to Muffler: Stainless-steel expansion joint with liner.
  5. Minimum sound attenuation of 35 dB at 500 Hz.
  6. Sound level measured at a distance of 10 feet (3 m) from exhaust discharge after installation is complete shall be 85 dBA or less.
- K. Air-Intake Filter: Heavy-duty, engine-mounted air cleaner with replaceable dry-filter element and "blocked filter" indicator.
- L. Crankcase Ventilation Filter: Provide on all outlets of crankcase ventilation system to capture oil.
- M. Starting System: 24-V electric, with negative ground.
1. Components: Sized so they will not be damaged during a full engine-cranking cycle with ambient temperature at maximum specified in Part 1 "Project Conditions" Article.
  2. Cranking Motor: Heavy-duty unit that automatically engages and releases from engine flywheel without binding.
  3. Provide redundant battery strings and chargers and connect together via a Best Battery Selector before connecting to starter.
  4. Cranking Cycle: As required by NFPA 110 for system level specified.
  5. Battery: Adequate capacity within ambient temperature range specified in Part 1 "Project Conditions" Article to provide specified cranking cycle at least three times without recharging.
  6. Battery Cable: Size as recommended by engine manufacturer for cable length indicated. Include required interconnecting conductors and connection accessories.
  7. Battery Compartment: Factory fabricated of metal with acid-resistant finish and thermal insulation. Thermostatically controlled heater shall be arranged to maintain battery above 10 deg C regardless of external ambient temperature within range specified in Part 1 "Project Conditions" Article. Include accessories required to support and fasten batteries in place.
  8. Battery-Charging Alternator: Factory mounted on engine with solid-state voltage regulation and 45-A minimum continuous rating.
  9. Battery Charger: Current-limiting, automatic-equalizing and float-charging type. Unit shall comply with UL 1236 and include the following features:
    - a. Operation: Equalizing-charging rate of 10 A shall be initiated automatically after battery has lost charge until an adjustable equalizing voltage is achieved at battery terminals. Unit shall then be automatically switched to a lower float-charging mode and shall continue to operate in that mode until battery is discharged again.
    - b. Automatic Temperature Compensation: Adjust float and equalize voltages for variations in ambient temperature from minus 40 deg C to plus 60 deg C to prevent overcharging at high temperatures and undercharging at low temperatures.
    - c. Automatic Voltage Regulation: Maintain constant output voltage regardless of input voltage variations up to plus or minus 10 percent.
    - d. Ammeter and Voltmeter: Flush mounted in door. Meters shall indicate charging rates.
    - e. Safety Functions: Sense abnormally low battery voltage and close contacts providing low battery voltage indication on control and monitoring panel. Sense high battery voltage and loss of ac input or dc output of battery charger. Either

condition shall close contacts that provide a battery-charger malfunction indication at system control and monitoring panel.

- f. Enclosure and Mounting: NEMA 250, Type 1, wall-mounted cabinet.

## 2.4 FUEL OIL STORAGE

- A. Comply with FM Global, NFPA 30, 37 and 110.
- B. Base-Mounted Fuel Oil Tank: Factory installed and piped, complying with UL 142 double-wall fuel oil tank. Features include the following:
1. Type: Closed-top diked base-mounted fuel tank. Minimum 8-gauge steel.
  2. Fuel Transfer Pump: Provide both supply and return fuel transfer pump integral to the enclosure package to pull and return fuel from *future* main above ground storage tank (AST) to fill the base-mounted fuel tank. Size pumps based on actual conditions in field including distance from AST, elevation differences, and size of existing fuel oil lines. Pump shall be factory wired to enclosure panel.
  3. Tank level indicator. Include high and low local alarms and contacts for remote alarm. Include low, high and high-high.
  4. Capacity: Fuel for 96 hours continuous operation at 100 percent rated power output. Provide minimum 8000-gallon tank.
  5. Vandal-resistant lockable fill cap.
  6. Containment Provisions: Comply with requirements of authorities having jurisdiction.
  7. Provide vent pipe riser such that vent pipe outlet is terminated a minimum of 12' above grade in accordance with the North Carolina Fire Code Article 3404.7.3.3. Vent pipe riser shall be routed outside of generator enclosure and supported per manufacturer's recommendations.
  8. Level switches suitable for annunciation to a wall mounted fuel level indicator and control for a remote filling station pump.
  9. Provide leak detection control panel and sensors for the tank(s) and fuel piping. Include local alarm annunciation and contacts for remote alarm. Provide fuel level indication in main electrical room and remote alarm for fuel leak.
  10. Include openings through tank for electrical conduits.
  11. Designed to match up with Caterpillar C32 engine.
  12. Black enamel paint, interior rust preventative coating.
  13. Provide vibration isolation between tank and engine. Vibration isolators shall be one-piece units resistant to corrosion and environmental degradation.

## 2.5 CONTROL AND MONITORING

- A. Automatic Starting System Sequence of Operation: When mode-selector switch on the control and monitoring panel is in the automatic position, remote-control contacts in one or more separate automatic transfer switches initiate starting and stopping of generator set. When mode-selector switch is switched to the on position, generator set starts. The off position of same switch initiates generator-set shutdown. When generator set is running, specified system or equipment failures or derangements automatically shut down generator set and initiate alarms. Operation of a remote emergency-stop switch also shuts down generator set.
- B. Configuration: Provide most current version of EMCP series 4.X control panel. Operating and safety indications, protective devices, basic system controls, engine gages, instrument transformers, generator disconnect switch or circuit breaker, and other indicated components shall be grouped in a combination control and power panel. Control and monitoring section of panel shall be isolated from power sections by steel barriers. Panel features shall include the following:
1. Wall-Mounting Cabinet Construction: Rigid, self-supporting steel unit complying with NEMA ICS 6. Power bus shall be copper. Bus, bus supports, control wiring, and temperature rise shall comply with UL 891.

2. Current and Potential Transformers: Instrument accuracy class.
- C. Fire Pump/Battle Short Mode: All generator safety shutdown controls save for overspeed shall be automatically locked-out by initiation of any fire pump motor start signal at the control and monitoring panel. From the fire pump controller, provide separate wiring for fire pump motor start "battle short" signal, generator start signal, and generator start signal integrity monitoring. Loss of integrity shall start the generators.
- D. Life Safety Branch System ATS Start Signal Monitoring: Life Safety branch power transfer switch remote generator start signals shall be monitored for broken, disconnected, or shorted wires. Monitoring of start signal shall also be provided between paralleling gear and generators. Loss of integrity shall start the generators.
- E. Indicating and Protective Devices and Controls: As required by NFPA 110 for Level 1 system, and the following:
  1. AC voltmeter.
  2. AC ammeter.
  3. AC frequency meter.
  4. DC voltmeter (alternator battery charging).
  5. Engine-coolant temperature gage.
  6. Engine lubricating-oil pressure gage.
  7. Running-time meter.
  8. Ammeter-voltmeter, phase-selector switch(es).
  9. Generator-voltage adjusting rheostat.
  10. Fuel tank derangement alarm.
  11. Fuel tank high-level shutdown of fuel supply alarm.
  12. Generator overload.
  13. Start-stop switch.
  14. Overspeed shutdown device.
  15. Coolant high-temperature shutdown device.
  16. Coolant low-level shutdown device.
  17. Oil low-pressure shutdown device.
- F. Supporting Items: Include sensors, transducers, terminals, relays, and other devices and include wiring required to support specified items. Locate sensors and other supporting items on engine or generator, unless otherwise indicated.
- G. Provide (2) discrete I/O modules.
- H. Common Remote Audible Alarm: Comply with NFPA 110 requirements for Level 1 systems. Include necessary contacts and terminals in control and monitoring panel.
  1. Overcrank shutdown. Set overcrank to NFPA 110 settings and not factory default settings.
  2. Coolant low-temperature alarm.
  3. Control switch not in auto position.
  4. Battery-charger malfunction alarm.
  5. Battery low-voltage alarm.
- I. Communications: Provide capability to connect to Owner's BAS system via BACnet MS/TP or Modbus-485 protocols. Provide all necessary I/O and communication components to accommodate the connection to BAS system.
- J. Remote Alarm Annunciator: Provide a NFPA 110 Level 1 compliant remote annunciator for each generator and locate in paralleling gear electrical room or associated control room. Also locate an annunciator for each generator at a 24/7/365 constantly attended location as identified

on the drawings. An LED labeled with proper alarm conditions shall identify each alarm event and a common audible signal shall sound for each alarm condition. Silencing switch in face of panel shall silence signal without altering visual indication. Connect so that after an alarm is silenced, clearing of initiating condition will reactivate alarm until silencing switch is reset. Cabinet and faceplate are surface- or flush-mounting type to suit mounting conditions indicated.

- K. Remote Emergency-Stop Switch: wall mounted, unless otherwise indicated; and labeled. Push button shall be protected from accidental operation. Install on exterior of building at location indicated on drawings.

## 2.6 GENERATOR OVERCURRENT AND FAULT PROTECTION

- A. Generator Circuit Breaker: Insulated-case, electronic-trip type; 100 percent rated; complying with UL 489.
  - 1. Tripping Characteristics: Adjustable long-time and short-time delay and instantaneous.
  - 2. Trip Settings: Selected to coordinate with generator thermal damage curve.
  - 3. Energy Reducing Maintenance Switch (ERMS): For circuit breakers 1200 Amps and greater, provide ERMS to comply with Arc Energy Reduction requirements.
  - 4. Re-settable three-phase line-current sensing circuit breakers with inverse time vs. current response, set to open after a ten(10) second fault condition
  - 5. Shunt Trip: Connected to trip breaker when generator set is shut down by other protective devices.
  - 6. Mounting: Adjacent to or integrated with control and monitoring panel.
- B. Ground-Fault Indication: Comply with NFPA 70, "Emergency System" signals for ground-fault. Integrate ground-fault alarm indication with other generator-set alarm indications.

## 2.7 GENERATOR, EXCITER, AND VOLTAGE REGULATOR

- A. Comply with NEMA MG 1.
- B. Drive: Generator shaft shall be directly connected to engine shaft. Exciter shall be rotated integrally with generator rotor. The generator housing shall have a single ball bearing support for the rotor; the rotor shall be dynamically balanced up to twenty-five percent (25%) over speed.
- C. Electrical Insulation: Class H or Class F.
- D. Stator-Winding Leads: Brought out to terminal box to permit future reconnection for other voltages if required.
- E. Construction shall prevent mechanical, electrical, and thermal damage due to vibration, overspeed up to 125 percent of rating, and heat during operation at 110 percent of rated capacity.
- F. Enclosure: Drip-proof.
- G. Voltage Regulator: Solid-state type, separate from exciter, providing performance as specified. An isolation transformer in the voltage regulator circuit shall be provided.
  - 1. Adjusting rheostat on control and monitoring panel shall provide plus or minus 5 percent adjustment of output-voltage operating band.
- H. Windings: Two-thirds pitch stator winding and fully linked amortisseur winding.
- I. Sub-transient Reactance: 12 percent, maximum.

## 2.8 OUTDOOR GENERATOR-SET ENCLOSURE

- A. Description: Vandal-resistant, class 2 sound attenuated weatherproof steel housing; wind resistant up to 120 mph. Multiple panels or doors shall be lockable and provide adequate access to components requiring maintenance. For reach-in enclosure, panels shall be removable by one person without tools. Instruments and control shall be mounted within enclosure. Enclosure shall be equipped with upflow cooling air discharge and internal super critical silencer, provided as a single package. Enclosure color selected by architect from standard colors.
1. Construction: Galvanized-steel, metal-clad, integral structural-steel-framed building erected on concrete foundation.
    - a. Walk-In: Enclosure is accessed via hinged doors and is generally serviced from within the enclosure. Enclosure has ample room for personnel and maintaining NEC clearances for electrical equipment with doors closed.
  2. Structural Design and Anchorage: Comply with ASCE 7 for wind loads.
  3. Hinged Doors: With padlocking provisions.
  4. Ventilation: Louvers equipped with bird screen and filter arranged to permit air circulation while excluding exterior dust, birds, and rodents.
  5. All air inlet and discharge dampers shall be motorized to close, mechanical spring to open.
  6. Muffler Location: Within enclosure.
  7. Sound Attenuation: Manufacturer's standard enclosure literature shall demonstrate compliance with the following sound levels at 1800 RPM engine speed. All sound levels produced by exhaust system shall be included in measurements listed below.
    - a. At 10' from enclosure: Overall engine noise not exceeding 85 dBA at full load from any direction.
- B. Engine Cooling Airflow through Enclosure: Maintain temperature rise of system components within required limits when unit operates at 110 percent of rated load for 2 hours with ambient temperature at top of range specified in system service conditions.
1. Louvers: Fixed-engine, cooling-air inlet and discharge. Storm-proof and drainable louvers prevent entry of rain and snow.
  2. Automatic Dampers: At engine cooling-air inlet and discharge. Dampers shall be closed to reduce enclosure heat loss in cold weather when unit is not operating.
- C. Unit Heater: Provide unit heater sized to maintain enclosure interior temperature at a minimum of 40-degree F in winter design conditions at installed location. Unit heater shall be 208V, 1 (acceptable) or 3 phase (preferred) and pre-wired to generator panelboard.
- D. Interior Lights with Switch: Factory-wired, vapor proof-type fixtures within housing; arranged to illuminate controls and accessible interior. Arrange for external electrical connection.
1. AC lighting system and connection point for operation when remote source is available.
  2. Switch shall be red in color.
- E. Emergency lighting unit, with battery back-up, shall be provided in the generator enclosure. The emergency lighting unit shall be completely independent of the generator set and the generator set starting system battery.
- F. Convenience Outlets: Factory wired, GFCI. Arrange for external electrical connection. Device shall be red in color.
- G. Provide 225A Bus, 150A MCB 208Y/120, 3P/4W panelboard in enclosure to serve all generator and enclosure accessories. Panelboard will be served from co-located transformer. Load center type panels are not allowed.
1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include the following:

- a. Eaton (preferred manufacturer)
  - b. Square D (Schneider Electric, acceptable alternate)
  - c. Siemens (acceptable alternate)
- H. Provide 45kVA, 480V-208Y/120, 3P/4W transformer in enclosure to serve panelboard serving all generator and enclosure accessories. Transformer will be served from building Legally Required branch.
1. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include the following:
    - a. Eaton (preferred manufacturer)
    - b. Square D (Schneider Electric, acceptable alternate)
    - c. Siemens (acceptable alternate)
  - I. Platforms: Provide aluminum platforms and stairs to access doors on both sides of generator. Where generators are located adjacent to each other, provide a single platform and stairs between them to access both generators. Bond all stairs and platforms to generator grounding system.

## 2.9 VIBRATION ISOLATION DEVICES

- A. Elastomeric Isolator Pads: Oil- and water-resistant elastomer or natural rubber, arranged in single or multiple layers, molded with a nonslip pattern and galvanized-steel baseplates of sufficient stiffness for uniform loading over pad area, and factory cut to sizes that match requirements of supported equipment.
1. Material: Standard neoprene.
  2. Durometer Rating: 50.
  3. Number of Layers: Three.
- B. Restrained Spring Isolators: Freestanding, steel, open-spring isolators with seismic restraint.
1. Housing: Steel with resilient vertical-limit stops to prevent spring extension due to wind loads or if weight is removed; factory-drilled baseplate bonded to 1/4-inch- (6-mm-) thick, elastomeric isolator pad attached to baseplate underside; and adjustable equipment mounting and leveling bolt that acts as blocking during installation.
  2. Outside Spring Diameter: Not less than 80 percent of compressed height of the spring at rated load.
  3. Minimum Additional Travel: 50 percent of required deflection at rated load.
  4. Lateral Stiffness: More than 80 percent of rated vertical stiffness.
  5. Overload Capacity: Support 200 percent of rated load, fully compressed, without deformation or failure.

## 2.10 FINISHES

- A. Indoor and Outdoor Enclosures and Components: Manufacturer's standard finish over corrosion-resistant pretreatment and compatible primer. Enclosure color selected by architect from standard colors.

## 2.11 SOURCE QUALITY CONTROL

- A. Prototype Testing: Factory test engine-generator set using same engine model, constructed of identical or equivalent components and equipped with identical or equivalent accessories.
1. Tests: Comply with NFPA 110, Level 1 Energy Converters and with IEEE 115.

### **PART 3 - EXECUTION**

#### **3.1 EXAMINATION**

- A. Examine areas, equipment bases, and conditions, with Installer present, for compliance with requirements for installation and other conditions affecting packaged engine-generator performance.
- B. Examine roughing-in of piping systems and electrical connections. Verify actual locations of connections before packaged engine-generator installation.
- C. Proceed with installation only after unsatisfactory conditions have been corrected.

#### **3.2 INSTALLATION**

- A. Comply with packaged engine-generator manufacturers' written installation and alignment instructions and with NFPA 110.
- B. Install packaged engine generator to provide access, without removing connections or accessories, for periodic maintenance.
- C. Install packaged engine generator with restrained spring isolators having a minimum deflection of 1 inch (25 mm) on concrete base. Secure sets to anchor bolts installed in concrete bases.
- D. Electrical Wiring: Install electrical devices furnished by equipment manufacturers but not specified to be factory mounted.

#### **3.3 CONNECTIONS**

- A. Connect fuel, cooling-system, and exhaust-system piping adjacent to packaged engine generator to allow service and maintenance.
- B. Ground equipment according to Division 26 Section "Grounding and Bonding for Electrical Systems."
- C. Connect wiring according to Division 26 Section "Low-Voltage Electrical Power Conductors and Cables."

#### **3.4 IDENTIFICATION**

- A. Identify system components according to Division 26 Section "Identification for Electrical Systems."

#### **3.5 FIELD QUALITY CONTROL**

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections. Report results in writing.
- B. Perform tests and inspections and prepare test reports.
  - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing.
- C. Tests and Inspections:

1. Perform tests recommended by manufacturer and each electrical test and visual and mechanical inspection for "AC Generators and for Emergency Systems" specified in NETA Acceptance Testing Specification. Certify compliance with test parameters.
  2. NFPA 110 Acceptance Tests: Perform tests required by NFPA 110 that are additional to those specified here including, but not limited to, single-step full-load pickup test.
  3. All generators shall be full load bank tested in the field and provided with NFPA 110 installation certification.
  4. After NFPA 110 test, a full tank of fuel shall be provided, replacing any fuel used for testing. Diesel fuel shall be treated with an alcohol-free additive to disperse water and clean injectors.
  5. Battery Tests: Equalize charging of battery cells according to manufacturer's written instructions. Record individual cell voltages.
    - a. Measure charging voltage and voltages between available battery terminals for full-charging and float-charging conditions. Check electrolyte level and specific gravity under both conditions.
    - b. Test for contact integrity of all connectors. Perform an integrity load test and a capacity load test for the battery.
    - c. Verify acceptance of charge for each element of the battery after discharge.
    - d. Verify that measurements are within manufacturer's specifications.
  6. Battery-Charger Tests: Verify specified rates of charge for both equalizing and float-charging conditions.
  7. System Integrity Tests: Methodically verify proper installation, connection, and integrity of each element of engine-generator system before and during system operation. Check for air, exhaust, and fluid leaks.
  8. Voltage and Frequency Transient Stability Tests: Use recording oscilloscope to measure voltage and frequency transients for 50 and 100 percent step-load increases and decreases and verify that performance is as specified.
  9. Harmonic-Content Tests: Measure harmonic content of output voltage under 25 percent and at 100 percent of rated linear load. Verify that harmonic content is within specified limits.
  10. Noise Level Tests: Measure A-weighted level of noise emanating from generator-set installation, including engine exhaust and cooling-air intake and discharge, at four locations on roof edge, and compare measured levels with required values.
- D. Coordinate tests with tests for transfer switches and run them concurrently.
- E. Test instruments shall have been calibrated within the last 12 months, traceable to standards of NIST, and adequate for making positive observation of test results. Make calibration records available for examination on request.
- F. Leak Test: After installation, charge system and test for leaks. Repair leaks and retest until no leaks exist.
- G. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
- H. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- I. Remove and replace malfunctioning units and retest as specified above.
- J. Retest: Correct deficiencies identified by tests and observations and retest until specified requirements are met.
- K. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation resistances, time delays, and other values and observations. Attach a label or tag to each tested component indicating satisfactory completion of tests.

- L. Infrared Scanning: After Substantial Completion, but not more than 60 days after Final Acceptance, perform an infrared scan of each power wiring termination and each bus connection. Remove all access panels so terminations and connections are accessible to portable scanner.
  - 1. Follow-up Infrared Scanning: Perform an additional follow-up infrared scan 11 months after date of Substantial Completion.
  - 2. Instrument: Use an infrared scanning device designed to measure temperature or to detect significant deviations from normal values. Provide calibration record for device.
  - 3. Record of Infrared Scanning: Prepare a certified report that identifies terminations and connections checked and that describes scanning results. Include notation of deficiencies detected, remedial action taken, and observations after remedial action.

### 3.6 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain packaged engine generators. Refer to Division 01 Section "Demonstration and Training."

**END OF SECTION**